



Step by Step: INVESTMENT ACCOUNTS



An identity thief may tamper with your investments or brokerage accounts. Contact your broker to report the transactions. Be sure to report it to the Securities and Exchange Commission, also.

HOW TO DEAL WITH AFFECTED INVESTMENT ACCOUNTS

STEP BY STEP:

NOTES:

☐ Contact your broker or account manager.

- ☐ Explain the nature of the fraudulent transaction(s).
- ☐ When reporting the fraud in writing, be sure to:
- Identify the amount and date of the transaction(s).
 - Include your name, address, account number and a copy of your Identity Theft Report or other proof of identity theft.
 - Send it by certified mail, return receipt requested.
- ☐ Ask for written notice of the resolution for your records.

☐ Report your lost or stolen **passport** to the U.S. Department of State.

1 (800) 732-0330
www.sec.gov/complaint/select.shtml

SEC Office of Investor Education and Advocacy
 100 F Street, NE
 Washington, DC 20549

☐ Notify your health insurer and all three credit reporting agencies about medical billing errors.

1 (803) 734-9916
www.scag.gov

Office of the SC Attorney General
 Securities Division
 P.O. Box 11549
 Columbia, SC 29211-1549

ADDITIONAL STEPS

STEP BY STEP: **NOTES:**

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| <input type="checkbox"/> Request your credit reports. | <input type="checkbox"/> Find additional information on page 1 of your toolkit. |
| <input type="checkbox"/> Place a fraud alert. | <input type="checkbox"/> Find additional information on page 2 of your toolkit. |
| <input type="checkbox"/> Consider a security freeze. | <input type="checkbox"/> Find additional information on page 1 of your toolkit. |
| <input type="checkbox"/> Update your files. | <input type="checkbox"/> Record the dates you made calls or sent letters.
<input type="checkbox"/> Keep copies of letters in your files. |

Remember to get written confirmation of resolutions made by phone.

NOTES:

This image shows a single sheet of white paper with horizontal blue or grey ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

For more information on filing a complaint or reporting a scam, visit www.consumer.sc.gov and "How Do I..."



South Carolina Department of Consumer Affairs
293 Greystone Blvd., Ste. 400 • PO Box 5757 • Columbia, SC 29250
(800) 922-1594 • www.consumer.sc.gov

