

**Collection of Outstanding Fines**

**Through South Carolina Department Of**

**Revenue (SCDOR) Garnishment through**

**Setoff Debt or Governmental Enterprise**

**Accounts Receivable (GEAR)**

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## **Introduction**

As an administrative unit within the Department of Labor, Licensing and Regulation, the Division of Professional and Occupational Licensing provides services to 40 professional and occupational regulatory boards that are responsible for establishing minimum standards of competence and conduct for more than 400,000 licensees in South Carolina.

The 40 licensing boards protect the health, safety, and well-being of the citizens of South Carolina by issuing licenses to qualified individuals and businesses that provide services to consumers. The boards are composed of volunteer members, some of whom are appointed by the Governor, some appointed with advice and consent of the Senate, and some of whom are elected. Board members include licensed practitioners and members of the public. They serve as a direct link between the professionals they license and consumers. Most boards meet on a quarterly basis, and the meetings are open to the public.

Each board evaluates the qualifications of license applicants, grants licenses to those who qualify, and establishes regulations that set ethical and technical competence standards for the professionals it licenses. The boards also take disciplinary action against licensees whose conduct fails to meet the accepted standards of the profession.

The Division also serves as an educational resource to schools and colleges and provides staff to serve as speakers and presenters for professional associations and other meetings. (LLR 2017).

The South Carolina Residential Builders Commission (SCRBC) must be composed of eight persons who have been residents of the State for at least five years and two of whom must be consumers not engaged in the business of residential building, four of whom have been actively engaged in residential building for a period of at least five years before the date of their

appointment, and who must be recommended to the Governor by the South Carolina Home Builders Association, and one of whom has been actively engaged in residential specialty contracting for a period of at least five years before the date of appointment. One member must be appointed from each congressional district, and one must be appointed from the State at large. Members of the commission must be appointed by the Governor with the advice and consent of the Senate for a term of four years or until their successors are appointed and qualify. A vacancy occurring by reason of death, resignation, removal for cause, or otherwise must be filled for the remainder of the unexpired term in the manner of the original appointment. The Governor may remove any member of the commission in accordance with Section 1-3-240. Before entering upon the discharge of the duties of his office, each member of the commission shall take and file with the Secretary of State, in writing, an oath to perform properly the duties of his office as a member of the commission and to uphold the Constitution of this State and the United States. Commission members from the general public may be nominated by an individual, group, or association and must be appointed by the Governor in accordance with Section 40-1-45. The makeup of the commission was revised in 2012. Due to the congressional redistricting, any person elected or appointed to serve, or serving, as a member of any board, commission, or committee to represent a congressional district, whose residency is transferred to another district by a change in the composition of the district, may serve, or continue to serve, the term of office for which he was elected or appointed; however, the appointing or electing authority shall appoint or elect an additional member on that board, commission, or committee from the district which loses a resident member as a result of the transfer to serve until the term of the transferred member expires. When a vacancy occurs in the district to which a member has been transferred, the vacancy must not be filled until the full term of the transferred member expires. Further, the inability to hold an election or to make an appointment due to judicial review of the

congressional districts does not constitute a vacancy. (40-59-10) We currently have two (2) vacancies which are both of our public members. Although the commission is only required to meet quarterly, we meet monthly in order to get the applicants licensed and working more quickly.

### **Problem Statement**

Each Board/Commission/Program has an Administrator and staff to oversee the day to day operations of their programs and ensure the statutes and regulations are being enforced. SC 40-59-100 through SC 40-59-210 define the methods The Residential Builders Commission (RBC) are allowed to exercise in order to protect the citizens of South Carolina.

The RBC had 875 cases filed against licensees/registrants last year, and 60 cases already this year. We have an Office of Investigations and Enforcement which serves all the boards within SCDLLR. Below is our complaint process and online form:

# **South Carolina Residential Builders Commission**

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## **How to File a Complaint**

### **INSTRUCTIONS AND INFORMATION**

A complaint against a licensee, or an unlicensed person practicing a profession or occupation that requires a license, may be made on the attached [online complaint form](#). The form may be obtained by clicking on the link below or by calling (803) 896-4470. The complaining party is responsible for ensuring that all necessary information is included on the form.

In completing the form, you are referred to as the complainant. The individual or business you are filing a complaint against is referred to as the respondent.

Please state all facts briefly and clearly. Also, include the name, address and phone number of all witnesses, and the specific information they possess to substantiate the complaint. Please include copies of any documents, records, statements or contracts that may assist this agency to conduct an inquiry.

Upon completion, simply click on "**submit**" to file the complaint with the agency.

Upon receipt of the complaint and jurisdiction is established, a thorough investigation is conducted to determine whether a violation has occurred. If a violation of the practice act is found, the respondent may be offered a consent

agreement, or a hearing may be held before the appropriate Board or Commission to resolve the findings of the agency's investigation. Although this action is between the State and the Respondent, the complainant should be prepared to testify, as requested, at a hearing before the Board or Commission if the matter has not been otherwise resolved. The complaint will be dismissed by the Board or Commission if a violation is not found or the case does not present evidence that would sustain a legal procedure.

[Online complaint form](#)

[Complaint Form](#)

[Residential Construction Standards](#) are the guidelines used in analyzing complaints. [PDF format]

(SCDLLR website)

When a complaint is received it is reviewed by an attorney on staff, then if it is determined we have jurisdiction, an investigator is assigned to the case. The investigator does a site inspection notates his findings and reports those finding to the RBC Investigative Review Committee which consists of the RBC Administrator, a public member who practices residential building, the Chief Investigator, the investigative staff and a member of the SCDLLR General Counsel staff assigned to RBC. The case is reviewed and the panel decides whether to dismiss, issue a Citation, issue a Cease and Desist Order (C&D), or refer the case to our Office of General Counsel who may then issue a Consent Agreement (CA), Memorandum of Agreement (MOA), or Stipulation of Facts (SOF). If the case is really egregious or the respondent refuses to sign the CA, MOA, or SOF the case would then go to a Formal Hearing before the RBC Hearing Officer who will be advised during the proceeding by SCDLLR Advice Counsel assigned to RBC.

If the case ends in a Citation, C&D, CA, MOA, or SOF or Final Order (FO), one of the conditions may be a fine. Those fines are traditionally required to be paid within 30, to 120 days or the RBC will suspend the license/registration until such time as the fine is paid. A great number of our cases deal with unlicensed practice, which does not allow us to enforce payment of the fine as there is no license/registration to suspend. Some of our licensees/registrants allow their license to lapse rather than pay their outstanding fines, in which case we then have no alternative to enforcing payment.

If the individual owing the fine has a current license/registration on file we do not allow them to renew their license if the license is currently in an inactive status until such time as the fine is paid, if they are currently active and there is no time limitation outlined in the Order as to when the fine is due, we have to wait until the next renewal, which could be as much as two years after the citation is issued. If there is a deadline outlined in the order as to when the fine is due, we can then administratively suspend the licensee/registrant if the fine is not paid. If the individual used to be licensed/registered by us, we have no way of enforcing the fine.

Collecting fines on the unlicensed individuals will be a little trickier as we do not have their SSN or SCDL information on file. Our investigators do have the ability to run reports which will help us to determine this information and we would then be able to have the complainant identify the individual by looking at the photo. SCDOR also offers assistance in identifying an individual.

### **Data Collection and Analysis**

The RBC currently has \$1,515,870.00 in uncollected fines. (see Appendix A) (ReLAES) There will be no financial gain for the RBC as the fines account revenue goes to the General Fund at the end of each fiscal year, unless RBC is operating in the red. If RBC is operating in the red we are authorized to reimburse ourselves for investigative and attorneys and then submit the remainder to the General Fund. At Fiscal Yearend 2015 SCRBC spent \$540,572.48 on investigative fees, \$194,354.72 on general counsel fees, and \$174,024.12 on advice counsel fees for a total of \$908,951.32 and we only collected \$50,279.86 in outstanding fines. At Fiscal Yearend 2016 SCRBC spent \$342,300.55 on Investigative fees, \$119,764.09 on general counsel fees, and \$76,500.00 on advice counsel fees for a total of \$538,564.64 and we only collected \$50,928.75 in outstanding fines. At Fiscal Yearend 2017 SCRBC spent \$685,306.29 on Investigative fees, \$226,894.84 on general counsel fees and \$76,500.00 on advice counsel fees

for a total of \$988,701.13 and we only collected \$47,936.29 in outstanding fines. Fiscal Yearend 2015 and 2017 both operated under a deficit. We do however believe enforcing the payment of the outstanding fines will benefit the RBC in that it will give us some “teeth” for enforcing unlicensed practice, practicing outside of their scope, substandard work, job abandonment, etc; for individuals who are licensed/registered through LLR or unlicensed. Currently we issue the citation and the unlicensed individual continues to work as they know we cannot enforce payment. In some cases the licensed/registered individual allows the license/registration to lapse or be suspended rather than pay the outstanding fine and they too will continue to work as they know we have no method of enforcing payment.

### **Implementation Plan**

After discussing the collection of outstanding fines with Senior Management and our Statistical Analyst, the collections of outstanding fines was placed on the agenda for approval by the Residential Builders Commission. There were concerns that the incorrect individual may have his income tax refund garnished, or that the individual may pay the fine after we have initiated the garnishment. We devised the following steps which will hopefully keep this from occurring.

We have revised the citation form used by SCRBC to include the necessary language to notify the respondent that in the event the fine is not paid within the 30 day deadline, we will be submitting their information to SCDOR for Collection. (see Appendix B) We have a monitoring report in ReLAES which allows us to monitor the outstanding fines to assist us in determining the fines that have been paid, the fines that have been appealed, and the fines that are still outstanding. Once we determine which fines are still outstanding, we will then send a letter we have developed reminding them that the fine is now past due, and that we will be submitting their information to SCDOR in 30 days. (see Appendix C)

In order to have the fines collected through SCDOR Setoff Debt or Governmental Enterprise Accounts Receivable (GEAR), we are required to submit an electronic report to them on a monthly basis with the identifying information of the debtor. They will then follow their process. (see Appendix D)

### **Proposed Solution**

SCDOR offers assistance to state agencies in the collection of outstanding fines. They currently offer two (2) programs. Setoff Debt and Governmental Enterprise Accounts Receivables (GEAR). Government entities (claimant agencies) may refer delinquent debts to the South Carolina Department of Revenue (Department) for collection assistance through one or both of the Department's Governmental Entity Collection Programs: Setoff Debt and Governmental Enterprise Accounts Receivable (GEAR). Claimant agencies eligible for participation in the Department's Governmental Collection Programs include: State agency, board, committee or commission, Public institutions of higher learning, Private institutions of higher learning (collection of authorized default educational loans only), South Carolina Student Loan Corporation, United States Department of Education, Quasi-governmental entities, Political subdivisions (includes the South Carolina Association of Counties and Municipal Association of South Carolina when submitting debts on behalf of counties, local governments or quasi-governmental entities), Housing authorities, Internal Revenue Service, Other state agencies.

#### **SETOFF DEBT COLLECTION PROGRAM**

The Setoff Debt collection program allows the Department to assist in the collection of delinquent debts owed to claimant agencies through garnishment of South Carolina individual income tax refunds. Debt Limitation: \$25 and above Cost to Claimant Agency: \$0 Cost to Taxpayer: \$25 (SCDOR Administrative Fee)

## GEAR COLLECTION PROGRAM

The GEAR collection program provides a more flexible and comprehensive debt collection service. It establishes the Department as a collection agency able to work on behalf of eligible and enrolled agencies to recoup owed monies. In addition to garnishment of state individual income tax refunds, GEAR collection efforts include garnishment of wages, use of tax liens, levy of bank accounts and revocation of licenses. GEAR also permits debtors to set up payment plans to satisfy claims. Debt Limitation: \$50 and above Cost to Claimant Agency: 22% of the liability collected via collections other than Setoff Cost to Taxpayer: \$25 (SCDOR Administrative Fee).

([https://dor.sc.gov/about-site/collection-and-compliance-site/Documents/GEC\\_Program\\_Guide.pdf](https://dor.sc.gov/about-site/collection-and-compliance-site/Documents/GEC_Program_Guide.pdf))

SCDOR requires that the state agency submit a monthly report electronically detailing the debtors personal information. They will then follow their process to ensure they are garnishing the wages or tax refunds of the correct individual. (see Appendix D)

### **Evaluation Method**

If the proposal is approved, the process would have to be evaluated over a period of time.

- Weighing the time spent by RBC staff.
- OIE staff time, (tracking down the correct individual)
- RBC - gathering all the appropriated documentation, completing the monthly paperwork.
- Finance staff processing time and sending paperwork.

We would then calculate if the time spent is worth the return in payments received.

### **Summary and Recommendations**

Ideally once the RBC proves this process to be effective, the other agencies under the

LLR umbrella could implement similar processes. The additional fines being collected could possibly be a very substantial monetary benefit to the General Fund. How is the money spent? 45.0% of General Funds are appropriated for K-12 and higher education. Health and social rehabilitation agencies received 26.7% of the General Funds appropriated; from all funding sources however, health and social rehabilitation agencies received 39%. 2017-18.

#### References

LLR 2017, LLR Annual Report 2016-2017. Retrieved February 2, 2018, from SCDLLR: <http://www.llr.state.sc.us/AboutUs/MediaCenter/annreport/2017LLR.pdf>

ReLAES database, from SCDLLR: Retrieved February 2, 2018 from: <https://10.1.4.75/ReLAES/Default.aspx>

SCDLLR, Retrieved February 2, 2018 from: <http://www.llr.state.sc.us/POL/ResidentialBuilders/index.asp?file=complaint.htm>

SCDOA, Retrieved February 2, 2018 from; <http://admin.sc.gov/files/Money%20Spent%20Report.pdf>

## Appendix A

License status	Discipline status	Transaction Date	Disciplinary Fee	Amount Due
ACTIVE	CITATION	20180202	3000	3000
ACTIVE	CITATION	20180202	500	500
LAPSED	CITATION	20180202	30000	30000
SUSPENDED	CITATION	20180202	500	9500
ACTIVE	INVESTIGATION	20180202	1000	1000
ACTIVE	CITATION	20180202	500	500
ACTIVE	CITATION	20180202	500	2500
PENDING - EXAM RESULTS	CITATION	20180202	500	500
LAPSED	CITATION	20180130	500	500
ACTIVE	JUDGEMENT	20180126	1500	1500
ACTIVE	CITATION	20180111	1000	1000
ACTIVE	CITATION	20180111	500	500
LAPSED	CITATION	20180109	500	500
.	CITATION	20180109	500	2000
ACTIVE	CITATION	20180109	500	500
.	CITATION	20180109	500	500
.	CITATION	20180109	1000	1000
ACTIVE IN RENEWAL	CITATION	20171229	1500	1500
.	CITATION	20171229	500	500
ACTIVE	CITATION	20171228	1000	1000
LAPSED	CITATION	20171227	6000	6000
ACTIVE	PROBATION	20171227	2000	2000
ACTIVE IN RENEWAL	CITATION	20171227	1000	1000
ACTIVE	CITATION	20171227	500	2000
ACTIVE	CITATION	20171227	2000	2000
DENIED	COMMISSIONED ORDER	20171221	500	1000
LAPSED	CITATION	20171221	500	1000
REVOKED	CITATION	20171221	500	6000
LAPSED	CITATION	20171221	500	500
CEASE AND DESIST	EXPIRED BOND	20171221	500	500
VOLUNTARY SURRENDERED	Sled Report Required	20171221	500	500
ACTIVE	CITATION	20171221	1500	1500
LAPSED	CITATION	20171221	1000	1000
.	CITATION	20171221	500	500

.	CITATION	20171221	500	500
.	CITATION	20171221	500	500
.	CITATION	20171221	500	500
.	CITATION	20171221	500	500
.	CITATION	20171220	500	500
ACTIVE	BOND REQUIRED	20171215	1500	1500
PENDING FURTHER DOCUMENTATI ON	Sled Report Required	20171205	500	500
LAPSED	CITATION	20171205	500	500
ACTIVE	CITATION	20171127	250	250
ACTIVE	CITATION	20171127	1750	1750
LAPSED	JUDGEMENT	20171127	500	500
REVOKED	CITATION	20171120	11000	11000
SUSPENDED	Sled Report Required	20171120	8000	8000
.	CITATION	20171120	500	500
.	CITATION	20171120	500	500
LAPSED	CONSENT AGREEMENT	20171117	1000	1000
.	CITATION	20171117	1000	1000
LAPSED	CITATION	20171117	1500	1500
.	CITATION	20171117	500	500
.	CITATION	20171117	500	500
.	CITATION	20171117	500	500
.	CITATION	20171117	500	500
.	CITATION	20171117	500	500
.	CITATION	20171117	500	500
.	CITATION	20171115	500	1500
LAPSED	CITATION	20171115	500	500
.	CITATION	20171115	500	500
LAPSED	CITATION	20171109	500	500
REVOKED	REVOKED	20171109	500	8000
LAPSED	CITATION	20171023	1000	1000
LAPSED	JUDGEMENT	20171018	500	500
ACTIVE	CITATION	20171012	500	500
ACTIVE IN RENEWAL	CITATION	20171010	1500	1500
SUSPENDED	Sled Report Required	20171010	1000	1000
.	CITATION	20171010	500	500
ACTIVE	CITATION	20171005	1000	1000
SUSPENDED	CITATION	20171005	500	2000

LAPSED	DO NOT RENEW	20171005	500	3000
CLOSED	CITATION	20171005	1000	1000
ACTIVE	CITATION	20171005	1000	1000
.	CITATION	20171005	500	500
.	CITATION	20171005	500	500
ACTIVE	CITATION	20171004	1500	1500
CLOSED	CITATION	20170914	500	500
LAPSED	CITATION	20170914	1500	1500
ACTIVE	CITATION	20170914	1000	2000
Pending – Letter or Email Sent	Sled Report Required	20170914	500	500
.	CITATION	20170914	500	500
.	CITATION	20170914	500	500
.	CITATION	20170914	500	500
.	CITATION	20170914	500	500
.	CITATION	20170914	500	500
.	CITATION	20170914	500	500
SUSPENDED	CITATION	20170913	500	500
SUSPENDED	EXPIRED BOND	20170913	1000	5500
LAPSED	CITATION	20170913	500	500
CLOSED	CITATION	20170913	500	2000
LAPSED	CITATION	20170913	1500	1500
LAPSED	CITATION	20170913	1500	1500
.	CITATION	20170913	500	500
.	CITATION	20170913	500	500
LAPSED	CITATION	20170912	500	1000
LAPSED	CITATION	20170912	500	500
Pending – Letter or Email Sent	Sled Report Required	20170912	500	500
.	CITATION	20170912	500	500
.	CITATION	20170912	500	500
.	CITATION	20170912	500	500
.	CITATION	20170912	500	500
.	CITATION	20170912	500	500
CEASE AND DESIST	FINAL ORDER	20170823	500	1000
LAPSED	JUDGEMENT	20170815	3000	3000
LAPSED	JUDGEMENT	20170815	3000	3000
LAPSED	CITATION	20170804	1500	1500
LAPSED	CITATION	20170804	500	500

LAPSED	JUDGEMENT	20170804	1500	1500
REVOKED	CITATION	20170804	8000	8000
REVOKED	COMMISSIONED ORDER	20170804	10000	15000
LAPSED	INVESTIGATION	20170804	500	500
.	CITATION	20170804	500	500
.	CITATION	20170804	500	500
.	CITATION	20170804	500	500
.	CITATION	20170804	500	500
LAPSED	BOND CLAIM	20170801	250	250
LAPSED		20170720	500	500
CEASE AND DESIST		20170720	1000	2000
.	CITATION	20170720	500	1000
LAPSED	JUDGEMENT	20170720	1000	1000
.	CITATION	20170720	500	1000
.		20170720	500	500
.		20170720	500	500
.		20170720	500	500
.		20170720	500	1000
.		20170720	500	500
.		20170720	500	500
.		20170720	500	500
.		20170720	500	500
.		20170720	500	500
.		20170720	500	500
.		20170720	500	1000
ACTIVE IN RENEWAL		20170706	500	500
INACTIVE	EXPIRED BOND	20170706	500	500
.	CITATION	20170706	500	1000
.		20170706	500	500
.	CITATION	20170706	500	1000
.		20170706	500	500
.	CITATION	20170706	500	500
.	CITATION	20170706	500	500
.		20170630	500	500
LAPSED	DO NOT RENEW	20170608	1000	1000
LAPSED	CITATION	20170601	500	500
LAPSED	CITATION	20170524	500	500
LAPSED	JUDGEMENT	20170524	1000	1000
LAPSED	CITATION	20170524	500	500

LAPSED	JUDGEMENT	20170524	500	1000
CLOSED	WORK AUTHORIZATION REQUIRED	20170524	500	500
SUSPENDED	CITATION	20170524	500	500
CLOSED	CITATION	20170524	500	500
SUSPENDED	CONSENT AGREEMENT	20170524	500	500
LAPSED	FINAL ORDER	20170524	2500	2500
LAPSED	CITATION	20170524	500	500
SUSPENDED	CITATION	20170524	1500	1500
DENIED	CITATION	20170524	500	500
.	CITATION	20170524	500	1500
Pending – Letter or Email Sent	CITATION	20170524	500	500
Pending – Letter or Email Sent	JUDGEMENT	20170524	500	500
.	CITATION	20170524	500	1000
.		20170524	500	500
.		20170524	500	1000
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
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.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	500	500
.	CITATION	20170524	1000	1000
.	CITATION	20170524	500	500
VOLUNTARY SURRENDERED	CITATION	20170523	3500	3500

LAPSED	CITATION	20170522	500	1000
.	CITATION	20170512	500	500
LAPSED	CITATION	20170421	500	500
LAPSED	CITATION	20170417	500	1500
LAPSED	CITATION	20170407	500	500
LAPSED	CITATION	20170404	500	500
.	CITATION	20170404	500	500
LAPSED	JUDGEMENT	20170330	500	500
LAPSED	CITATION	20170330	500	500
DISCIPLINED	CITATION	20170330	5000	5000
INACTIVE	JUDGEMENT	20170330	500	500
REVOKED	CITATION	20170330	5000	5000
SUSPENDED	JUDGEMENT	20170330	1000	1000
CLOSED	CITATION	20170330	500	500
CLOSED	CITATION	20170330	500	500
.	CITATION	20170330	500	500
.	CITATION	20170330	500	500
.	CITATION	20170330	500	500
.	CITATION	20170330	500	500
.	CITATION	20170329	500	500
.	CITATION	20170321	500	500
.	CITATION	20170321	500	500
REVOKED	COMMISSIONED ORDER	20170227	500	18100
CLOSED	CITATION	20170227	500	500
LAPSED	CITATION	20170227	500	530
LAPSED	CITATION	20170227	500	500
.	CITATION	20170227	500	500
.	CITATION	20170227	500	500
.	CITATION	20170227	500	500
CEASE AND DESIST	EXPIRED BOND	20170221	20000	20000
LAPSED	CITATION	20170217	500	500
.	CITATION	20170206	10000	11000
LAPSED	CITATION	20170203	500	1500
.	CITATION	20170203	500	500
CEASE AND DESIST	EXPIRED BOND	20170202	2250	2250
.	CITATION	20170202	1000	1000
LAPSED	JUDGEMENT	20170202	500	14500
.	CITATION	20170202	100	100
.		20170202	500	500
.	CITATION	20170202	500	500

.	CITATION	20170202	500	500
LAPSED	JUDGEMENT	20170201	750	750
LAPSED	CITATION	20170201	500	500
LAPSED		20170201	500	500
.		20170201	500	500
.		20170201	500	500
.	CITATION	20170125	500	500
.	CITATION	20170125	500	500
SUSPENDED	JUDGEMENT	20170113	2500	2500
SUSPENDED	CONSENT AGREEMENT	20161221	500	500
INACTIVE		20161220	4500	4500
LAPSED	CITATION	20161220	500	1000
.	CITATION	20161220	500	500
.	CITATION	20161220	500	500
.	CITATION	20161220	500	500
.	CITATION	20161220	500	500
.	CITATION	20161220	500	500
.	CITATION	20161220	500	500
CLOSED	CITATION	20161206	500	500
LAPSED	CITATION	20161205	500	500
CLOSED	CITATION	20161205	500	500
CLOSED	Sled Report Required	20161205	500	500
.		20161205	500	1500
.	CITATION	20161205	500	500
.	CITATION	20161205	500	500
SUSPENDED	BOND CLAIM	20161129	2000	2000
LAPSED	CITATION	20161116	500	500
LAPSED	CITATION	20161116	500	500
VOLUNTARY SURRENDERED	JUDGEMENT	20161114	500	500
CLOSED	CITATION	20161114	500	500
.	CITATION	20161114	250	250
.	CITATION	20161114	500	500
.	CITATION	20161114	500	500
.	CITATION	20161114	500	500
.		20161114	500	500
.	CITATION	20161114	500	500
WITHDRAWN	CITATION	20161110	500	500
.	CITATION	20161110	500	500
.	CITATION	20161110	500	500

LAPSED	DO NOT RENEW	20161108	500	1000
LAPSED	CITATION	20161108	500	500
LAPSED	CITATION	20161104	500	1000
.	CITATION	20161104	500	500
.	CITATION	20161104	500	500
.	CITATION	20161104	500	500
LAPSED	CITATION	20161102	500	500
LAPSED	JUDGEMENT	20161102	10000	10000
LAPSED	Sled Report Required	20161102	6000	6000
.	CITATION	20161102	500	500
SUSPENDED	JUDGEMENT	20161018	3000	3000
SUSPENDED	Sled Report Required	20161018	2000	2000
SUSPENDED	JUDGEMENT	20160920	500	6000
LAPSED	JUDGEMENT	20160920	15000	15000
LAPSED	JUDGEMENT	20160920	10000	10000
LAPSED	JUDGEMENT	20160920	10000	10000
.	CITATION	20160920	500	500
.	CITATION	20160920	500	500
.	CITATION	20160920	500	500
.	CITATION	20160920	500	500
LAPSED	CITATION	20160913	500	500
CLOSED	CITATION	20160825	500	500
LAPSED	JUDGEMENT	20160722	3000	3000
.	CITATION	20160722	500	500
LAPSED	CITATION	20160722	500	500
LAPSED	CITATION	20160722	500	500
CLOSED	CITATION	20160722	500	500
.	CITATION	20160722	500	500
.	CITATION	20160722	500	500
.	CITATION	20160722	500	500
.	CITATION	20160722	500	500
.	CITATION	20160722	500	500
.	CITATION	20160722	500	500
LAPSED	CITATION	20160715	500	1000
LAPSED	CITATION	20160711	500	500
.	CITATION	20160711	500	500
.	CITATION	20160711	500	500
.	CITATION	20160707	500	1000
.	FINAL ORDER	20160707	6000	6000
.	CITATION	20160707	500	500

PERMANENTLY REVOKED	FINAL ORDER	20160705	1000	3500
LAPSED	CITATION	20160617	500	1000
.	CITATION	20160617	500	500
.	CITATION	20160607	500	500
WITHDRAWN		20160606	5000	5000
.	CITATION	20160524	250	250
.	CITATION	20160524	500	500
.	CITATION	20160524	500	500
.	CITATION	20160524	500	500
.	CITATION	20160520	500	500
.	CITATION	20160520	500	500
LAPSED	JUDGEMENT	20160512	7500	7500
LAPSED	JUDGEMENT	20160512	500	500
LAPSED	BOND REQUIRED	20160511	500	500
LAPSED	FINAL ORDER	20160422	6000	6000
SUSPENDED	FINAL ORDER	20160422	6000	6000
SUSPENDED	FINAL ORDER	20160422	8000	8000
LAPSED	JUDGEMENT	20160421	1500	1500
LAPSED	BOND CANCELLED	20160421	500	1000
LAPSED	CITATION	20160421	500	500
LAPSED	BOND REQUIRED	20160421	2500	2500
.	CITATION	20160420	500	1000
LAPSED		20160412	100	100
LAPSED	CITATION	20160412	500	1000
LAPSED	JUDGEMENT	20160412	500	500
.	CITATION	20160412	500	500
.	CITATION	20160412	500	500
.		20160412	500	500
.		20160406	500	500
LAPSED	REVOKED	20160330	15000	15000
REVOKED	JUDGEMENT	20160330	500	6000
.		20160324	500	500
LAPSED	CITATION	20160322	500	500
.	CITATION	20160322	500	1000
.	CITATION	20160322	500	500
.		20160322	500	500
.	CITATION	20160322	500	500
SUSPENDED	CITATION	20160321	500	500
CLOSED	CITATION	20160321	1000	2000

REVOKED	CITATION	20160318	500	1000
LAPSED	CITATION	20160318	500	500
.	CITATION	20160229	500	500
.	CITATION	20160226	500	500
PERMANENTLY REVOKED	COMMISSIONED ORDER	20160219	15000	19500
REVOKED	Sled Report Required	20160210	4000	4000
CLOSED		20160208	500	500
LAPSED	CITATION	20160202	500	500
SUSPENDED	CITATION	20160202	1000	1000
SUSPENDED	FINAL ORDER	20160202	1000	1000
LAPSED	CITATION	20160202	1000	3000
.	CITATION	20160202	500	500
.	CITATION	20160202	500	500
CLOSED	CITATION	20160127	500	500
LAPSED	JUDGEMENT	20160127	500	500
.	CITATION	20160121	500	1000
LAPSED	JUDGEMENT	20160120	1500	1500
LAPSED	JUDGEMENT	20160120	500	500
SUSPENDED		20160119	1000	11000
LAPSED	CITATION	20151230	500	12500
.		20151230	500	500
.	CITATION	20151229	500	1000
REVOKED	CITATION	20151223	500	5500
LAPSED	CITATION	20151223	500	1000
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
.	CITATION	20151223	500	500
CLOSED	CITATION	20151221	500	1000
.	CITATION	20151217	500	500
SUSPENDED	CITATION	20151214	10000	11000
REVOKED	COMMISSIONED ORDER	20151214	5000	5000
SUSPENDED	JUDGEMENT	20151209	2000	2000
LAPSED	CITATION	20151117	500	500

.	CITATION	20151117	500	500
.	CITATION	20151117	500	500
LAPSED	CITATION	20151113	500	500
LAPSED	CITATION	20151113	500	500
.	CITATION	20151113	500	500
.	CITATION	20151113	500	500
LAPSED	CITATION	20151023	500	500
LAPSED	FINAL ORDER	20151023	4000	4000
LAPSED		20151023	1500	1500
LAPSED	JUDGEMENT	20151023	2500	2500
REVOKED	BOND CLAIM	20151023	20000	20000
LAPSED	FINAL ORDER	20151023	2500	2500
.	CITATION	20151023	500	500
.		20151023	500	500
LAPSED	CITATION	20151019	500	1000
.	CITATION	20151019	500	500
.	CITATION	20151019	500	500
LAPSED	FINAL ORDER	20150930	2500	2550
LAPSED	CITATION	20150914	500	500
REVOKED	BOND CLAIM	20150914	20000	20000
SUSPENDED	CITATION	20150910	500	500
CEASE AND DESIST	EXPIRED BOND	20150826	4500	4500
LAPSED	Sled Report Required	20150826	500	500
CEASE AND DESIST	EXPIRED BOND	20150826	2000	2000
LAPSED	INVESTIGATION	20150826	1500	1500
LAPSED	CITATION	20150826	1000	1000
CLOSED	Sled Report Required	20150826	500	500
.		20150826	500	500
.	CITATION	20150826	500	500
.	CITATION	20150826	500	500
.	CITATION	20150826	500	500
.	CITATION	20150825	500	500
REVOKED	FINAL ORDER	20150817	10000	10000
REVOKED	REVOKED	20150817	10000	17000
REVOKED	REVOKED	20150817	5000	5000
REVOKED	CITATION	20150817	1000	3000
REVOKED	REVOKED	20150817	5000	5000
REVOKED	REVOKED	20150817	5000	5000

REVOKED	REVOKED	20150817	5000	8000
REVOKED	REVOKED	20150817	500	500
REVOKED	DO NOT RENEW	20150817	500	500
REVOKED	COMMISSIONED ORDER	20150817	500	500
REVOKED	COMMISSIONED ORDER	20150817	2500	2500
REVOKED	REVOKED	20150817	2500	2500
REVOKED	COMMISSIONED ORDER	20150817	5000	5000
REVOKED	COMMISSIONED ORDER	20150817	5000	5000
REVOKED	REVOKED	20150817	5000	5000
DENIED	COMMISSIONED ORDER	20150817	5000	5000
REVOKED	DO NOT RENEW	20150813	5000	5000
REVOKED	COMMISSIONED ORDER	20150813	1000	1000
REVOKED	REVOKED	20150813	5000	5000
REVOKED	REVOKED	20150813	5000	5000
REVOKED	REVOKED	20150813	5000	5000
REVOKED	COMMISSIONED ORDER	20150813	10000	10000
REVOKED	COMMISSIONED ORDER	20150813	500	500
REVOKED	CONSENT AGREEMENT PUBLIC	20150813	1500	1500
REVOKED	COMMISSIONED ORDER	20150813	5000	5000
SUSPENDED	COMMISSIONED ORDER	20150813	5000	5000
SUSPENDED	SUSPENDED	20150811	1500	1500
.	CITATION	20150803	500	500
LAPSED	BOND CLAIM	20150731	1000	1000
.	CITATION	20150731	500	1000
LAPSED	CITATION	20150731	2500	2500
.		20150724	500	500
LAPSED	CITATION	20150720	500	1000
LAPSED	CITATION	20150720	500	500
CLOSED	CITATION	20150720	500	1000
.	CITATION	20150720	500	500
.	CITATION	20150720	500	500
LAPSED	CITATION	20150717	500	500

LAPSED	CITATION	20150717	500	500
.	CITATION	20150707	500	500
LAPSED	CITATION	20150630	500	500
LAPSED	CEASED AND DESIST	20150625	10000	10000
.		20150625	500	500
LAPSED	JUDGEMENT	20150624	500	500
.	CITATION	20150624	500	500
.	CITATION	20150624	500	500
.	CITATION	20150623	500	500
CEASE AND DESIST		20150622	12000	12000
LAPSED	DO NOT RENEW	20150622	3000	3000
LAPSED	Sled Report Required	20150622	3000	3000
LAPSED	CITATION	20150619	2250	2250
CLOSED	CITATION	20150618	2500	2500
CEASE AND DESIST	EXPIRED BOND	20150618	8000	8000
REVOKED	Sled Report Required	20150618	12500	12500
.	CITATION	20150618	500	500
.	CITATION	20150618	500	500
LAPSED	BOND CLAIM	20150601	1000	1000
LAPSED	CITATION	20150601	500	500
.	CITATION	20150528	500	500
.	CITATION	20150527	500	500
.	CITATION	20150527	500	500
LAPSED	BOND CLAIM	20150526	5000	5000
LAPSED	CITATION	20150526	10000	11000
CLOSED	CITATION	20150526	5000	4950
VOLUNTARY SURRENDERE D	CITATION	20150521	500	1500
.	CITATION	20150521	500	500
.	CITATION	20150521	500	500
LAPSED	CITATION	20150519	500	500
CEASE AND DESIST	COMMISSIONED ORDER	20150514	10000	10000
SUSPENDED	CITATION	20150505	2500	2500
SUSPENDED	NON- SUFFICIENT FUNDS	20150504	1000	1090
.		20150504	500	500

.	CITATION	20150501	500	500
LAPSED	CITATION	20150429	500	500
LAPSED	CITATION	20150428	500	1000
REVOKED	REVOKED	20150423	10000	11000
.		20150416	500	500
LAPSED	CITATION	20150409	1000	1000
.	CITATION	20150409	500	500
.	CITATION	20150409	500	500
CLOSED	JUDGEMENT	20150406	500	500
SUSPENDED	CITATION	20150402	12500	13500
.	CITATION	20150402	500	1000
LAPSED	CITATION	20150331	500	500
CEASE AND DESIST	EXPIRED BOND	20150331	500	500
DISCIPLINED	CITATION	20150331	40000	40000
LAPSED	CITATION	20150331	2500	3000
.	CITATION	20150331	500	500
.	CITATION	20150331	500	500
LAPSED	JUDGEMENT	20150327	500	2000
.	CITATION	20150327	500	1500
LAPSED	CEASED AND DESIST	20150325	500	1000
SUSPENDED	CITATION	20150325	1000	1000
.	CITATION	20150325	500	500
.	CITATION	20150325	500	500
CEASE AND DESIST	FINAL ORDER	20150324	22500	27500
SUSPENDED	JUDGEMENT	20150317	750	750
CLOSED	CITATION	20150317	500	500
LAPSED	CITATION	20150316	500	500
.	CITATION	20150316	500	500
LAPSED	CITATION	20150309	500	1000
REVOKED	CITATION	20150306	500	1000
LAPSED	CITATION	20150304	1000	1000
SUSPENDED	SUSPENDED	20150227	500	1000
SUSPENDED	CITATION	20150227	1000	2000
LAPSED	JUDGEMENT	20150224	1000	1000
LAPSED	CITATION	20150224	500	500
CEASE AND DESIST	CITATION	20150224	1000	1000
.	CITATION	20150224	500	500
.	CITATION	20150220	500	500
LAPSED	CITATION	20150213	500	500

REVOKED	REVIEW BY ADMINISTRATOR	20150210	10000	17000
.	CITATION	20150205	500	500
.	CITATION	20150203	500	500
CEASE AND DESIST	CITATION	20150202	500	500
INACTIVE	CITATION	20150128	1000	1000
SUSPENDED	CITATION	20150128	2250	2250
LAPSED	CITATION	20150128	1500	2000
.	CITATION	20150128	500	1000
CEASE AND DESIST	EXPIRED BOND	20150121	500	500
DISCIPLINED	BOND CLAIM	20150121	6000	6000
REVOKED	CITATION	20150108	500	1500
LAPSED	CITATION	20150105	500	500
WITHDRAWN	CITATION	20150105	500	500
LAPSED	JUDGEMENT	20141223	8000	8000
CEASE AND DESIST	EXPIRED BOND	20141223	5000	5000
.	CITATION	20141223	500	1000
REVOKED	CITATION	20141219	500	500
CEASE AND DESIST	EXPIRED BOND	20141219	500	500
LAPSED	CITATION	20141219	500	500
LAPSED	CITATION	20141219	500	500
.	CITATION	20141219	500	500
.	CITATION	20141219	500	500
LAPSED	CITATION	20141218	500	500
.	CITATION	20141218	500	500
.	CITATION	20141209	500	1000
.	CITATION	20141121	500	500
LAPSED	CITATION	20141119	1500	1500
.	CITATION	20141117	500	500
.	CITATION	20141113	500	500
LAPSED	CITATION	20141110	500	2500
LAPSED	CITATION	20141106	500	500
REVOKED	CITATION	20141105	50000	51000
REVOKED	PUBLIC REPRIMAND	20141105	2500	7500
SUSPENDED	PUBLIC REPRIMAND	20141105	500	1500
REVOKED	COMMISSIONED ORDER	20141105	5000	7500

.	CITATION	20141104	500	500
.	CITATION	20141104	500	500
LAPSED	CITATION	20141103	500	500
LAPSED	CITATION	20141103	500	500
LAPSED	CITATION	20141103	500	500
LAPSED	CITATION	20141103	500	500
CLOSED	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
.	CITATION	20141103	500	500
LAPSED	CITATION	20141031	500	3000
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	500	500
.	CITATION	20141031	250	250
.	CITATION	20141031	500	500
.	CITATION	20141030	500	500
CLOSED	JUDGEMENT	20141023	1500	2000
LAPSED	CITATION	20141022	300	300
LAPSED	CITATION	20140929	5000	5000
LAPSED	JUDGEMENT	20140929	500	500
CEASE AND DESIST	FINAL ORDER	20140929	1000	1000
LAPSED	CITATION	20140929	2500	3000
SUSPENDED	PUBLIC REPRIMAND	20140929	250	250
LAPSED	JUDGEMENT	20140925	1000	1000

LAPSED	COMMISSIONED ORDER	20140925	500	500
SUSPENDED	CITATION	20140909	2500	4000
SUSPENDED	CITATION	20140909	500	500
REVOKED	PUBLIC REPRIMAND	20140818	9000	9000
LAPSED	CITATION	20140811	500	500
LAPSED	PUBLIC REPRIMAND	20140804	14000	14000
LAPSED	CITATION	20140728	2500	2500
.	CITATION	20140708	500	500
LAPSED	CITATION	20140618	500	500
LAPSED	CITATION	20140617	500	500
SUSPENDED	ADMINISTRATIVELY SUSPENSION	20140602	500	500
SUSPENDED	ADMINISTRATIVELY SUSPENSION	20140602	1000	1000
LAPSED	CITATION	20140530	300	300
.	CITATION	20140530	500	250
SUSPENDED	BOND CLAIM	20140529	500	500
LAPSED	JUDGEMENT	20140520	12000	12000
CLOSED	CITATION	20140519	500	500
SUSPENDED	COMMISSIONED ORDER	20140506	1000	1000
SUSPENDED	ADMINISTRATIVELY SUSPENSION	20140424	6000	7500
CEASE AND DESIST	CITATION	20140416	500	500
SUSPENDED	CITATION	20140404	1500	1500
LAPSED	CITATION	20140324	1000	1000
LAPSED	CITATION	20140306	500	1000
SUSPENDED	COMMISSIONED ORDER	20140306	500	500
LAPSED	CITATION	20140306	500	500
LAPSED	CITATION	20140304	500	500
LAPSED	CITATION	20140207	500	1000
LAPSED	DO NOT RENEW	20140127	500	500
LAPSED	CITATION	20140115	500	500
LAPSED	BOND REQUIRED	20140106	7000	7000
CEASE AND DESIST	FINAL ORDER	20140106	2500	2500

LAPSED	FINAL ORDER	20140106	500	500
LAPSED	DO NOT RENEW	20140106	10000	10000
INACTIVE	CITATION	20131230	500	500
LAPSED	CITATION	20131227	250	250
LAPSED	DO NOT RENEW	20131217	4000	4000
LAPSED	CITATION	20131205	450	450
.	CITATION	20131118	500	500
REVOKED	CITATION	20131112	1000	1000
LAPSED	CITATION	20131101	250	250
SUSPENDED	CITATION	20131029	500	500
DECEASED	COMMISSIONED ORDER	20131029	500	500
SUSPENDED	COMMISSIONED ORDER	20131029	5000	5000
SUSPENDED	COMMISSIONED ORDER	20131029	5000	5000
SUSPENDED	CITATION	20131029	3000	3000
SUSPENDED	CITATION	20131029	3000	3020
SUSPENDED	COMMISSIONED ORDER	20131029	3500	3500
SUSPENDED	COMMISSIONED ORDER	20131029	3500	3500
LAPSED	JUDGEMENT	20131017	0	10000
SUSPENDED	ADMINISTRATIVELY SUSPENSION	20131017	500	500
SUSPENDED	ADMINISTRATIVELY SUSPENSION	20131009	5000	8000
REVOKED	JUDGEMENT	20131009	2500	2500
LAPSED	CITATION	20130926	500	500
LAPSED	DO NOT RENEW	20130925	500	500
REVOKED	COMMISSIONED ORDER	20130920	2500	3500
LAPSED	FINAL ORDER	20130918	2000	2000
SUSPENDED	CITATION	20130918	500	500
LAPSED	FINAL ORDER	20130918	1000	1000
SUSPENDED	CITATION	20130918	500	500
SUSPENDED	CITATION	20130820	3000	3000
LAPSED	CITATION	20130815	500	500
LAPSED	CITATION	20130808	500	500
SUSPENDED	CONSENT AGREEMENT	20130724	2500	2500

SUSPENDED	CITATION	20130717	500	1500
LAPSED	DO NOT RENEW	20130712	2500	2500
REVOKED	CEASED AND DESIST	20130712	2500	2500
LAPSED		20130711	500	500
LAPSED	DO NOT RENEW	20130705	500	500
LAPSED	CITATION	20130625	500	500
LAPSED	CITATION	20130610	500	500
LAPSED	CITATION	20130610	500	500
VOLUNTARY SURRENDERED	PUBLIC REPRIMAND	20130605	500	500
LAPSED	CITATION	20130605	500	500
LAPSED	CITATION	20130605	500	500
LAPSED	CITATION	20130603	500	500
LAPSED	CITATION	20130524	500	500
LAPSED	CITATION	20130524	500	500
LAPSED	CITATION	20130508	500	500
LAPSED	CITATION	20130503	500	500
LAPSED	CITATION	20130501	500	500
LAPSED	CITATION	20130424	500	500
REVOKED	COMMISSIONED ORDER	20130423	5000	15000
SUSPENDED	ADMINISTRATIVELY SUSPENSION	20130423	500	500
LAPSED	JUDGEMENT	20130422	5000	5000
SUSPENDED	CITATION	20130422	500	500
LAPSED	CITATION	20130417	500	500
LAPSED	CITATION	20130415	500	500
SUSPENDED	COMMISSIONED ORDER	20130401	2500	2500
SUSPENDED	CITATION	20130329	500	500
LAPSED	CITATION	20130328	500	500
CEASE AND DESIST	CITATION	20130328	500	500
SUSPENDED	COMMISSIONED ORDER	20130328	5000	5000
LAPSED	CITATION	20130328	500	500
REVOKED	COMMISSIONED ORDER	20130328	1000	1000
SUSPENDED	COMMISSIONED ORDER	20130328	1000	1000

LAPSED	CITATION	20130326	1000	1000
LAPSED	CITATION	20130321	500	500
SUSPENDED	PROBATION	20130319	500	500
LAPSED	CITATION	20130308	500	500
LAPSED	DO NOT RENEW	20130306	500	500
CEASE AND DESIST	CITATION	20130305	500	500
LAPSED	CITATION	20130305	500	500
SUSPENDED	CITATION	20130304	1000	500
SUSPENDED	FINAL ORDER	20130304	1500	1500
LAPSED	CITATION	20130226	500	500
SUSPENDED	COMMISSIONED ORDER	20130219	5000	5000
SUSPENDED	COMMISSIONED ORDER	20130211	1000	1000
REVOKED	JUDGEMENT	20130207	1000	6500
LAPSED	CITATION	20130206	500	500
SUSPENDED	PROBATION	20130115	1000	1000
LAPSED	DO NOT RENEW	20130114	500	500
LAPSED	CITATION	20121221	500	500
.	CITATION	20121217	500	475
LAPSED	CITATION	20121207	500	500
SUSPENDED	COMMISSIONED ORDER	20121206	5000	5000
SUSPENDED	DO NOT RENEW	20121206	3500	3500
REVOKED	COMMISSIONED ORDER	20121206	3000	3000
LAPSED	PROBATION	20121128	1000	1500
LAPSED	CITATION	20121121	500	1000
LAPSED	CITATION	20121115	500	500
LAPSED	CITATION	20121115	500	500
LAPSED	Sled Report Required	20121113	500	500
CLOSED	CITATION	20121030	500	500
RELINQUISHED	CITATION	20121019	1000	1000
LAPSED	CITATION	20121019	500	500
LAPSED	CITATION	20121018	500	1000
LAPSED	CITATION	20121003	500	500
SUSPENDED	CITATION	20120926	2500	2500
LAPSED	DO NOT RENEW	20120926	2500	2500

LAPSED	CITATION	20120918	500	500
CLOSED	CITATION	20120918	500	500
LAPSED	CITATION	20120918	1000	1000
CLOSED	CEASED AND DESIST	20120917	500	500
LAPSED	DO NOT RENEW	20120828	1000	1000
CEASE AND DESIST	CITATION	20120828	500	500
LAPSED	DO NOT RENEW	20120821	1000	1000
LAPSED	JUDGEMENT	20120810	500	500
LAPSED	CITATION	20120810	500	500
REVOKED	PUBLIC REPRIMAND	20120810	500	1500
LAPSED	JUDGEMENT	20120810	500	500
LAPSED	CITATION	20120810	500	500
SUSPENDED	COMMISSIONED ORDER	20120713	2000	2000
REVOKED	CEASED AND DESIST	20120712	5000	5000
SUSPENDED	CONSENT AGREEMENT	20120709	2500	2500
LAPSED	FINAL ORDER	20120703	500	500
SUSPENDED	JUDGEMENT	20120703	1500	1500
SUSPENDED	PUBLIC REPRIMAND	20120703	500	500
LAPSED	CITATION	20120702	500	2000
LAPSED	CITATION	20120626	500	500
LAPSED	CITATION	20120626	500	500
LAPSED	PUBLIC REPRIMAND	20120618	1500	1500
SUSPENDED	COMMISSIONED ORDER	20120618	1500	1500
LAPSED	CITATION	20120615	500	500
LAPSED	JUDGEMENT	20120606	500	500
SUSPENDED	CITATION	20120525	2500	2500
SUSPENDED	EXPIRED BOND	20120523	1000	1000
LAPSED	JUDGEMENT	20120523	1000	1000
CEASE AND DESIST	PUBLIC REPRIMAND	20120523	1000	1000
LAPSED	CITATION	20120521	1000	1000
LAPSED	CITATION	20120514	500	500

VOLUNTARY SURRENDERED	CITATION	20120514	500	500
LAPSED	CITATION	20120514	500	500
LAPSED	CITATION	20120511	500	500
LAPSED	DO NOT RENEW	20120511	500	500
LAPSED	CITATION	20120511	500	500
SUSPENDED	CITATION	20120508	1000	1000
LAPSED	CITATION	20120508	500	500
LAPSED	CITATION	20120508	500	500
SUSPENDED	ADMINISTRATIVELY SUSPENSION	20120508	500	500
LAPSED	REVIEW BY ADMINISTRATOR	20120508	500	500
CEASE AND DESIST	CITATION	20120508	500	500
LAPSED	CITATION	20120503	1500	1500
LAPSED	PUBLIC REPRIMAND	20120503	250	250
SUSPENDED	CITATION	20120503	500	500
LAPSED	VOLUNTARY SURRENDERED	20110912	500	50
SUSPENDED	SUSPENDED	20110303	500	6325
SUSPENDED	SUSPENDED	20091119	1500	1530
				<b>Total: 1515870</b>



S.C. DEPARTMENT OF LABOR, LICENSING AND REGULATION  
 RESIDENTIAL BUILDERS COMMISSION  
 P.O. Box 11329  
 Columbia, SC 29211-1329

**CITATION AND NOTIFICATION OF PENALTY  
 NOTICE TO CEASE VIOLATION OF LAW**

<respondent mail address>

License Number: <respondentcredential number>

Issuance Date: 12/29/2017  
 Complaint #: <casenumber>

*This citation describes alleged violation of §40-59-5, et seq., South Carolina Code of Laws, 1976, as amended. The penalties listed are based on these violations. You must immediately cease and desist from further violation until such time, if ever, as proper authorization is issued by the S.C. Residential Builders Commission. Pursuant to §40-59-105(D), you must pay the proposed penalties within thirty (30) days of receipt of the citation if no appeal is filed. Failure to pay the citation within thirty (30) days from the date of its issuance shall result in administrative suspension of your license until such time that the citation is paid in full. Any citation and/or penalty assessed by a representative of the South Carolina Department of Labor, Licensing and Regulation may be appealed by requesting, in writing, an administrative review. Such requests must be addressed to: Residential Builders Commission Administrator, South Carolina Department of Labor, Licensing and Regulation, PO Box 11329, Columbia, SC 29211-1329, and must be mailed within 10 calendar days from receipt of this Citation and Notification of Penalty. This citation concerns application of the South Carolina Residential Builders Act and Regulations and does not necessarily resolve all aspects of any disciplinary action currently pending before the Board or which may be filed in the future. Failure to make a timely request for review will result in the citation becoming a final order of the Residential Builders Commission. The GEAR Program, S. C. Code Section 12-4-580, authorizes the Department of Revenue to utilize all rights and powers of collection allowed it under Title 12 in the collection of the above debt. These powers include garnishment of wages, seizure of bank accounts, sales of real or personal property, and therevocation of any license.*

ITEM	SECTION(S) VIOLATED	DESCRIPTION	PENALTY
	§40-59-220	Unlicensed practice. Practice of Residential building at:	
	§40-59-220	on:	
	§40-59-240(A)	Unlicensed practice of Specialty contracting at:	
	§40-59-240(A)	on:	
	§40-59-240(A)	Practice of Specialty contracting outside the scope of the registration (S.C. Regulation 106-3) at:	
	§40-59-240(A)	on:	
	§40-59-240(A)	by engaging in:	
	§40-59-240(C)	Specialty Registrant failure to obtain a building permit for construction at:	
	§40-59-240(C)	Builder failure to obtain a building permit for construction at:	
	§40-59-110	Undertaking a Specialty contract which exceeds \$5000 without bond for construction at:	
	§40-59-240(D)	Aiding or abetting violation of licensing requirements by:	
	§40-59-240(D)	at:	
	§40-1-110(c)	on:	

I certify that I explained the requirements of law and that the respondent must immediately cease and desist from Further violation until such time, if ever, as proper authorization is issued by the S.C. Residential Builders Commission. I also provided the necessary application forms to be completed and forwarded to the Commission by the respondent.

**TOTAL PENALTY:**

Please make check or money order payable to S.C. Residential Builders Commission and indicate citation number on remittance.

\_\_\_\_\_  
 <worker>, Investigator

Copy received:

\_\_\_\_\_  
 Signature of Respondent Date

**CERTIFICATE OF SERVICE BY MAIL**

This is to certify that the undersigned has this date served this Citation and Notification of Penalty in the above entitled action upon all parties to this cause by depositing a copy hereof in the United States mail, certified, return receipt requested, and postage paid, or in the Interagency Mail Services, addressed to the party(ies) or their attorney(s) to the following address: <respondent address1>, <respondent city>, <respondent statecode> <respondent zipcode>.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
 By: <worker>, Investigator

## Appendix C

February 5, 2018

RE: Citation No.

Dear:

According to our records, you owe a debt to the South Carolina Department of Labor, Licensing, and Regulation in the amount of \_\_\_\_\_ for a citation for failure to comply with The South Carolina Residential Builders Commission statutory requirement under 40-59-XXX. You are hereby notified of our intention to submit or resubmit this debt to the South Carolina Department of Revenue for the calendar year 2018 for collection through the Setoff Debt Collection Act and Governmental Enterprise Accounts Receivable Collections Program (GEAR).

The Setoff Debt Collection Act allows the Department of Revenue to deduct, from any refund, this amount plus all costs, including a \$25 administrative fee. If you file a joint return with your spouse, this amount will be deducted from the total joint refund without regard to which spouse incurred the debt or actually withheld the taxes.

The GEAR Program, S. C. Code Section 12-4-580, authorizes the Department of Revenue to utilize all rights and powers of collection allowed it under Title 12 in the collection of the above debt. These powers include garnishment of wages, seizure of bank accounts, sales of real or personal property, and therevocation of any license.

The Department will utilize the powers granted under Title 12 of the S.C. Code unless you file a written protest within thirty (30) days from the date of this notice, with the information contained below:

1. your name;
2. your address;
3. your social security number;
4. the type of debt in dispute; and

5. a detailed statement of all the reasons you disagree with the debt amount or dispute that you owe the debt.

The original written protest must be mailed to the following address: Gear Coordinator, Residential Builders Commission, South Carolina Department of Labor, Licensing, and Regulation, P.O. Box 11329, Columbia, S.C. 29211-1329.

Sincerely,

Janet Baumberger  
Administrator  
The Residential Builders Commission

# Setoff Debt & GEAR

## Working toward a debt free South Carolina

The South Carolina Department of Revenue (SCDOR) is committed to the fair administration of debt collection and to protecting compliant citizens. Unpaid liabilities can make it difficult for organizations and governmental entities to budget and fund essential services. These liabilities can harm citizens who are paying their fair share and threaten the integrity of an agency's funding system.

### Two convenient options to help eligible entities collect monies owed:



SETOFF DEBT

#### Setoff Debt

Includes collection of outstanding liabilities through the garnishment of state individual income tax refunds

- Debt limitation: \$25 and above
- Cost to claimant: \$0
- Cost to debtor: \$25 (SCDOR administrative fee)
- Important dates:
  - August 31: Participation application due
  - December 15: Debtor information due
  - Submit additional debtors throughout the year



#### GEAR: Governmental Enterprise Accounts Receivable

More flexible and comprehensive debt collection service that includes garnishment of wages and state individual income tax refunds, use of tax liens, and levy of bank accounts

- Allows debtors to set up payment plans
- Debt limitation: \$50 and above
- Cost to claimant: 22% of liability collected\*
- Cost to debtor: \$25 (SCDOR administrative fee)
- Apply to participate in GEAR any time

\*22% rate effective as of July 1, 2015

#### Why consider these programs?

- The public is familiar with our collection process
- Quick turn around on debt collections
- Participating entities receive monthly payments

#### Who can use these programs?

- Organizations and governmental entities including:
- State agencies, boards, committees, or commissions
  - Public and private\* institutions of higher learning
  - Housing authorities
  - Political subdivisions
  - Other governmental or quasi-governmental entity of any US state

\*for the purpose of debt collection related to default on authorized educational loans

