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Fiscal Year 2016-2017 Accountability Report

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AGENCY NAME:	State Fiscal Accountability Authority		
AGENCY CODE:	E550	SECTION:	104

Fiscal Year 2016-2017
Accountability Report

SUBMISSION FORM

AGENCY MISSION	The mission and purpose of the Agency is to provide fiscal oversight for the State of South Carolina and to meet the needs of the public sector by delivering quality, cost-effective insurance, procurement, and engineering services.
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AGENCY VISION	To be known as an Agency that is responsive, provides exceptional customer service, and delivers products at an outstanding value.
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Please select yes or no if the agency has any major or minor (internal or external) recommendations that would allow the agency to operate more effectively and efficiently.


RESTRUCTURING RECOMMENDATIONS:	Yes	No
	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Please identify your agency's preferred contacts for this year's accountability report.

	<i>Name</i>	<i>Phone</i>	<i>Email</i>
PRIMARY CONTACT:	Grant Gillespie	803-734-9414	ggillespie@sfaa.sc.gov
SECONDARY CONTACT:	Jane Francis	803-737-0867	jfrancis@sfaa.sc.gov

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I have reviewed and approved the enclosed FY 2016-2017 Accountability Report, which is complete and accurate to the extent of my knowledge.

AGENCY DIRECTOR (SIGN AND DATE): (TYPE OR PRINT NAME):		9/15/17
	Grant Gillespie	

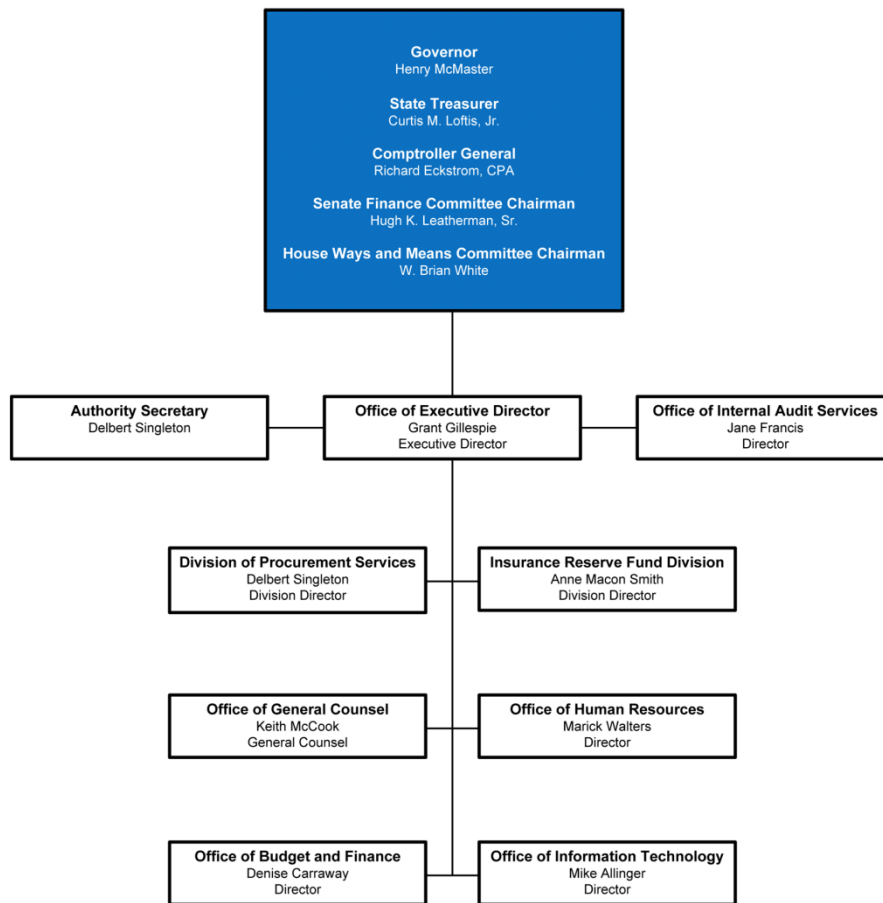
BOARD/CMSN. CHAIR (SIGN AND DATE): (TYPE OR PRINT NAME):	

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AGENCY'S DISCUSSION AND ANALYSIS

Organizational Background and Chart

The State Fiscal Accountability Authority (SFAA or the Agency) successfully completed its second year of operations on June 30, 2017. SFAA was established by the Restructuring Act of 2014, Act 121. The Agency is comprised of the Insurance Reserve Fund Division (IRF) and the Division of Procurement Services (DPS), both of which were transferred from the former South Carolina Budget and Control Board. The Agency is governed by a five-member board, consisting of: the Governor, the Treasurer, the Comptroller General, the Chairman of the Senate Finance Committee, and the Chairman of the House Ways and Means Committee, all of whom serve ex-officio. The administrative and operational functions of the Agency are led by Executive Director Grant Gillespie, who was appointed by the board on December 15, 2015.



Core Values and Organizational Culture

At its inception as a new agency, SFAA identified values that would be critical to its operations and should become part of its organizational culture. The Core Values listed below support the Vision, shape the culture, and reflect the actions of SFAA.

- Ethical—Honesty, Fairness, Integrity, Respect, and Loyalty
- Accountability—Leadership, Responsibility, and Transparency
- Professionalism—Committed and well-trained Workforce
- Quality Customer Service—Exceptional and Responsive
- Innovation—Adaptable and Proactive

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The Agency has worked to create a positive work environment for its employees, encouraging innovative ideas and productive teamwork. The dedicated staff is responsive and committed to serving the needs of our customers. Developing cost-effective internal controls has been important in ensuring sound business processes and compliance with applicable laws and regulations.

Major Achievements during Fiscal Year 2016-17

Insurance Reserve Fund Division (IRF):

1. Effectively handled Catastrophe (CAT) Claims for our Insureds

A. Hurricane Matthew Claims

For the second consecutive year, the State experienced a declared catastrophe with Hurricane Matthew in October 2016. Hurricane Matthew produced even more damage than the Historic Flood of 2015 resulting, by CAT comparison in:

- More claims processed with Hurricane Matthew than with the Historic Flood of 2015 (264 versus 160 claims);
- Involvement of three times the number of individually insured segments (3,234 versus 1,094); and
- An expected payout of nearly \$30 million versus \$13.3 million for the Historic Flood.

As of June 30, 2017, the IRF has paid out over \$15 million with Hurricane Matthew. IRF will recover from the reinsurance markets amounts above its \$10 million Self Insured Retention (SIR).

B. Historic Flood of 2015 Claims

During fiscal year 2016, the Historic Flood of 2015 was the first major claims CAT loss since Hurricane Hugo in 1989. During fiscal year 2017:

- All claims with IRF insureds were resolved and closed with no litigated disputes with insureds; and
- IRF received the full amount of reinsurance reimbursement requested with no dispute.

C. Annual CAT Plan

- Prior planning and successful implementation of the IRF CAT plan supported the proper allocation and direction of resources in handling the claims resulting from Hurricane Matthew; and
- The annual CAT Plan for 2017 incorporated lessons learned in both the 2015 Historic Flood and the 2016 Hurricane Matthew to promote continuous improvement in our processes.

2. Renewed Property Reinsurance to limit losses

IRF successfully renewed its property reinsurance buying capacity for a 100-year storm, maintaining its existing SIR. This major accomplishment is attributable to the successful relationship IRF has with the reinsurers, which includes:

- Continuing to improve the quantity and quality of data points collected and reported in IRF Statement of Values;
- Ongoing appraisal contract work;

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- Weekly communications with the adjuster for the reinsurers and transparency in claim handling of the 2015 Historic Flood, 2016 Hurricane Matthew, and the Vocational Rehabilitation Fire loss in Greenville; and
- The opportunity for the IRF staff to meet face to face with London, Bermuda, and Domestic Reinsurance Markets in Charlotte, NC this year.

3. Ensured Financial Stability of the Fund

The need to maintain actuarially adequate rates was addressed to provide long term financial stability of the Fund by implementing a property rate increase in the tier 1 (beach) and 2 (seacoast) areas which will begin in fiscal year 2018. This was the first IRF rate increase since 2006. The IRF provided robust customer service outreach to minimize the impact of the rate increase on insureds' budgets and provided alternative options for customer consideration.

4. Retained Recertification by Federal Emergency Management Agency (FEMA) of Exemption to purchase Flood Insurance

IRF prepared a response to FEMA on behalf of the State of South Carolina to retain the State's provisional exemption from the requirement to purchase flood insurance for buildings and contents owned by the State in Special Flood Hazard Areas. The successful recertification means that IRF may continue to serve as the self-insurance mechanism for the State, continue offering the property insurance currently provided, and the State will not have to purchase commercial insurance.

5. Successfully managed the Second Injury Fund (SIF)

A. Assessments

IRF administered the fourth of five planned assessments collecting 99.72% of the \$60,000,000 assessment in FY 17.

B. Valuation of the SIF

IRF secured the bi-annual actuarial valuation of the SIF, as directed by the SIF Closure Plan, which determined the plan is sufficiently funded to pay its anticipated liabilities.

6. Hosted training events to enhance the State's Risk Management Practices

- IRF Annual Law Enforcement Seminar was held in September 2016.
- Risk Management Seminars were held in three locations across the State on the topic of *Preventing the Most Common Fires*.
- A seminar held for IRF Ocean Marine policyholders on the subjects of Admiralty Law and the Jones Act as it relates to operations covered by their Ocean Marine policy and use of covered vessels.
- For the Driver Improvement Program, IRF provided training to 175 drivers aimed at creating safer drivers throughout the State. Through the "train the trainer" approach, the IRF has worked with State Fleet Management of the Department of Administration to increase the number of instruction leaders, thereby increasing the number of drivers trained, statewide.

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Division of Procurement Services (DPS)

1. State Procurement Office (SPO) and Information Technology Management Office (ITMO)

The major functions of SPO and ITMO include:

- Establishing statewide contracts, thereby leveraging the collective needs of all state agencies and political subdivisions to gain increased efficiencies, lower administrative costs for all agencies, and reduced prices through volume discounts; and
- Providing agencies with professional centralized procurement services for acquiring supplies, services, and information technology.

The contract results provided by these two offices of DPS are as follows:

	Statewide Contracts		Agency Contracts		Total Contracts Awarded	
SPO	148	\$836,263,707	546	\$1,396,724,975	694	\$2,232,988,682
ITMO	385	\$322,700,448	30	\$171,538,342	415	\$494,238,790
Total	533	\$1,158,964,155	576	\$1,568,263,317	1109	\$2,727,227,472

The State realized overall savings of approximately \$91,000,000 through negotiated savings and cost avoidance strategies from these contracts. These framework strategies reduce the budgetary impacts for state agencies and local governments allowing for greater return on investment.

2. Office of State Engineer (OSE)

OSE’s primary role is to assist state agencies in acquiring construction services in the manner best suited to the agency’s needs. OSE is responsible to assure that all such acquisitions comply with state law. The State Engineer, as the Building Official and Deputy State Fire Marshal for construction of state buildings and facilities, is responsible for enforcement of all applicable building and fire codes. In addition, OSE serves as the administrator of the State-level Floodplain Management Program for State lands.

Services Provided	Totals
Architectural/Engineering Approved Contract Awards	243
Construction Contracts and Contract Change Orders	369
Permanent Improvement Projects for Agencies	126
Building Permits	79
Certificates of Occupancy	77
Flood Permits	27

Additional OSE Collaboration with State Agencies

- Assisted the Department of Health and Environmental Control with their Dam Safety Program and dike repair projects from the 2015 Historic Flood and Hurricane Matthew;
- Provided assistance to agencies during the construction of state facilities; Two of the largest state projects currently under construction are the MUSC Shawn Jenkins Children’s Hospital and Clemson Douthit Hills;
- Assisted USC in its management of a public-private partnership to construct the South Campus Village, and

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- Provided four training classes to the Department of Administration, Facilities Management personnel concerning Design Professional and Construction Procurement.

3. Audit and Certification Section

Audit and Certification is responsible for conducting periodic audits of each agency’s procurement operations to determine compliance with the procurement code and regulations.

A. The status of audits conducted for fiscal year 2016-17 is:

- Audits were completed for 14 agencies with an additional 14 audits in process. There were:
 - 21 agencies seeking higher procurement authority over the basic statutory limit;
 - 4 agencies not seeking higher procurement authority; and
 - 3 audits required by other State laws.

B. Compiled statutorily required reports

- Six statewide quarterly reports were compiled based upon information received from all State governmental bodies for the following procurement types: Sole Source; Emergency; Trade-in Sale; Unauthorized; Preferences; and 10% Rule.
- Annual reports were prepared of sole source, emergency, and unauthorized procurements and presented to the Authority’s board.

4. Strategic Sourcing and Spend Analysis Accomplishments

- Completed spend analysis with an outside consultant and identified multiple categories and recommendations for potential future savings;
- Established training schedule for FY18 strategic sourcing curriculum;
- Consolidated procurement manuals for operational continuity;
- Developed procurement risk mitigation strategy; and
- Developed and published Request for Qualifications (RFQ) for statewide eCatalog procurement solution.

5. Provided Emergency Support for Hurricane Matthew

- A. The OSE staffed the Emergency Support Function – Public Works & Engineering, in the State Emergency Operations Center, during and after Hurricane Matthew for 24 hours per day for 9 days.
- B. Sixteen SPO and ITMO employees expended approximately 350 work hours in support of Emergency Operations.

6. Designed and implemented an improved process for tracking and collection of Administrative Fees on statewide contracts

The process for tracking and collection of Administrative Fees on statewide contracts received from the vendors was improved which resulted in efficiencies of the process and greater accountability. In addition, the number of missing administrative fee reports received from the vendors has been reduced by half.

7. Assisted the Department of Administration’s Small and Minority Business Contracting and Certification Office (SMBCC) during the 2017 Annual Trade Fair

DPS collaborated with SMBCC to plan, market, and successfully execute a forum for small, minority, and women-owned businesses. Business owners highlighted their companies for state and other procurement professionals providing unique networking opportunities and the advantages of certification through SMBCC.

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8. Hosted training and professional procurement events

- Conducted 12 days of training related to the Information Technology Procurement and Certification Program to all state procurement professionals;
- Held Procurement Director’s meeting in August 2016 for State Agency procurement directors for updates, networking, and discussion of training opportunities; and
- Held annual Procurement Director’s Conference at Hickory Knob State Park in April 2017 for all State Agency procurement directors for information exchange and training development.

Agency-Wide

1. Management of activity related to the five-member board

- A. Board Meetings and Agenda Process
 Ten board meetings were held during fiscal year 2016-17. Agenda Review meetings were held with internal and external staff and others, as needed, to develop the meeting agenda and address any matters prior to each meeting. Meetings were also held with the new Governor’s liaisons to inform them about the agenda review process.

- B. Coordination with Authority’s board members
 The Agency responded promptly to our members’ offices about pending and potential agenda items, such as procurement audits, major leases, composite bank accounts, and management and assignment of bond counsel.

- C. South Carolina State University (SCSU)
 The Agency responded to the legislative proviso regarding the loans to SCSU, by amending loan documents, providing assistance to SCSU management, and responding to requests from our members for information about the loans.

2. Implemented Strategic Planning Initiatives

- A. Conducted bi-weekly Management meetings
 The Senior Management team met on a bi-weekly basis to ensure proactive communication as well as to determine progress towards Agency goals and objectives.

- B. Strategic Planning Session
 A strategic planning session was held in April 2017 with the SFAA Management Team. The session was based upon the subject, “Working Together to accomplish our Mission.” The session also included the development and discussion of a SWOT analysis—Strengths, Weaknesses, Opportunities, and Threats of our agency, which is being used for exploring new initiatives and in decision-making processes.

- C. Succession Planning
 In preparation for the potential workforce impact of the June 30, 2018 termination of the Teacher and Employee Retention Incentive (TERI) program, the Agency undertook a broad-based effort to institutionalize succession planning.

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3. Strengthened operations through process improvements, cost-saving measures, and compliance with applicable laws

A. Progress with Information Security and Privacy Implementation, required by 2014 Provisos 101.32 and 117.113:

- SFAA received very favorable comments on its Policy Implementation Plan that was submitted to the Division of Information Security on June 30, 2016.
- The SFAA Implementation Team developed a strategy to execute the plan. The Team was very dedicated, meeting bi-weekly, with at least one person serving from each SFAA entity. The Team developed the draft procedures, while considering the applicable controls. Having this level of staff involvement strengthened the Team members' knowledge base and improved SFAA's security posture, while incurring no additional cost to the Agency.
- As of June 30, 2017, at least 70% of the procedures have been completed or are in process. For Fiscal 2018, the plan is to complete the procedures and remediate any significant gaps.

B. Independent Audit of the Financial Statements of the IRF
The independent audit of the IRF for the fiscal year ended June 30, 2016 resulted in an unqualified opinion and with no findings.

C. Independent Accountant's Report on Applying Agreed-Upon Procedures (AUP)
SFAA's first AUP was conducted for the fiscal year ended June 30, 2016. The report contained no findings.

D. Agency Risk Assessment
A risk assessment process was initiated which:

- Involved Management and facilitated the identification and assessment of potential risks impacting operations and processes; and
- Mapped the major Accounting Cycles for understanding and identification of control weaknesses.

E. Agency Head Salary Commission (AHSC)
SFAA provided staff support for the AHSC. The Agency ensured compliance with the requirements of 2016 Proviso 104.10 by engaging and coordinating the study of Agency Heads' hiring salaries, salary increases, performance evaluations and salary ranges, which is required every four years. The final report was prepared and issued to the AHSC in January 2017.

F. Budget Maintenance and Preparation
Fiscal year 2017 was the first year the Agency budget was maintained, with minimal assistance from the Department of Administration. The Agency budget plan and submission for fiscal year 2018 was independently prepared.

G. Information Technology Improvements and Cost-Savings realization
SFAA IT completed a number of projects to improve security and promote efficiencies, such as:

- Migrated and updated the Procurement web site to a current, more secure server platform;
- Migrated the IRF Electronic Document Management System from an end of life version that would no longer be supported to a current web based version of software as well as migrated from Oracle database to MS SQL server. This migration will save approximately \$77,000 annually due to the cost of Oracle maintenance and licensing;

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- Purchased and installed new desktop hardware for 181 devices, which will provide support for future operating systems and software. Installed end-point encryption on all desktops and laptops for security and which also fulfilled Information Security Program requirements; and
- Blocked all websites except business related websites, which reduced the risks and amount of infections on SFAA devices.

H. Interface for automated posting of IRF Payments

IRF collaborated with SFAA IT, Budget and Finance, and Internal Audit to develop and test automatic posting of IRF Claims Loss and Expense payments from SCEIS into the IRF statistical database (MAPPER). This automated posting will increase efficiencies and improve the work processes.

I. Consolidation of Office Space and Cost Savings realization

A new lease for consolidating office space was negotiated which resulted in significant efficiencies and cost savings of approximately \$61,000 annually.

J. SFAA workforce opportunities

- The New Hire on-boarding process was expanded to include the completion of all Cyber Security and Code of Conduct training prior to a new employee gaining access to the Agency's data. Also, the process added the opportunity for all new employees to meet one-on-one with the Executive Director and each Division/Office Director, which provides a unique and quality agency introduction.
- "Active Shooter" training was provided to all SFAA employees, which prompts awareness in employees about the dangers and real possibility of this type of event occurring in the workplace. In addition, the training discussed options if employees are ever challenged with an active shooter situation.

4. Enhanced Communication Practices for our customers and employees

A. External Communication Plan

As a new Agency, a communication strategy and plan has been initiated to better communicate with our customers and governmental entities regarding the services we can provide for them.

B. SFAA Style Guide

Our Agency's Style Guide was developed and issued to provide guidance for clarity and consistency on branding for SFAA.

C. Internal Communication Plan

Our Agency's Internal Communication Plan was developed and issued which increases transparency of our processes, builds trust among staff, and promotes open communication.

D. Survey Tool

A versatile survey tool was acquired that will be used to collect and analyze feedback from external customers referencing their service experiences with DPS and IRF as well as feedback from employees regarding training, internal communications/transparency, and safety.

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Required Information

Risk Assessment and Mitigation Strategies

Per Strategic Planning Template:

Goal 2 Provide cost-effective property and liability insurance services:

- (1) Potential Most Negative Impact -- if this goal is not accomplished:
The State should expect to pay more to insure against its risks. In addition, some agencies are likely to have difficulty obtaining any coverage from a private insurance carrier because of poor loss experience and the nature of the risks involved such as law enforcement, corrections, and transportation.
- (2) Outside Help to Mitigate Impact:
Request assistance from private insurance carriers; however, the cost may be prohibitive.

Goal 3 Provide innovative, cost-effective, and proactive acquisition services

- (1) Potential Most Negative Impact -- if this goal is not accomplished:
The State should expect to pay more for goods and services, as there would be no coordinated program dedicated to lowering costs by leveraging the State's collective buying power. Without such a program, statewide contracts would not be awarded which would result in increased administrative costs. The lack of any centralized procurement office would result in: a. increased cost to vendors due to an uncoordinated approach to procurement across multiple agencies; b. increased risk of poor performance and contract claims due to a lack of statewide training and support efforts; and c. decreased transparency and accountability across the State's acquisition system.
- (2) Outside Help to Mitigate Impact:
Request assistance from professional consulting firms and interstate linkages. This may be cost prohibitive and pose negative public impacts.
- (3) 1-3 Options for General Assembly for Goals 2 and 3:
Continue the oversight and support for improvements and performance demonstrated by these programs of SFAA.

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Strategic Planning Template

Type	Goal	Item # Strat	Object	Associated Enterprise Objective	Description
G	1			Government and Citizens	Manage Agency resources to ensure efficient and effective operations
S		1.1			Deliver cost-effective and secure support services that improve the business processes
O			1.1.1		Provide timely information, applicable reports, and recommendations to the five-member Authority and to the Agency to assist in their decision-making
O			1.1.2		Complete Information Security and Privacy Implementation
O			1.1.3		Ensure continued development of the Agency operational procedures
S		1.2			Manage risk to the organization
O			1.2.1		Review and evaluate business processes to provide opportunities for improvement regarding potential efficiencies and weaknesses
O			1.2.2		Identify, assess, and mitigate risks to the Agency
O			1.2.3		Keep the Executive Director and relevant Managers informed of significant risk issues
S		1.3			Promote a positive work environment which develops staff and produces quality results
O			1.3.1		Improve internal collaboration and information sharing
O			1.3.2		Continue a professional development training program
O			1.3.3		Maintain the SFAA Website to provide SFAA staff, customers, and the citizens of South Carolina the opportunity to better understand our Agency and how we can be of assistance to them
G	2			Maintaining Safety, Integrity and Security	Provide cost-effective property and liability insurance and administration of the Second Injury Fund
S		2.1			Deliver appropriate insurance coverage based on underwriting criteria
O			2.1.1		Provide insurance coverage for buildings and contents, tort and professional (malpractice) liability, vehicles and school buses to the State and all policy holders at the lowest possible cost
O			2.1.2		Issue coverage, deliver invoices, and collect policy premiums in a timely manner
O			2.1.3		Educate customers on services provided by IRF; conduct events providing insureds with information on insurance topics
S		2.2			Protect the State against catastrophic losses
O			2.2.1		Supply property reinsurance broker and reinsurance markets with current data regarding values of insured property to determine the appropriate level of property reinsurance
O			2.2.2		Purchase reinsurance to protect the State from catastrophic losses to buildings, property, vehicles, and boiler and machinery
O			2.2.3		Provide boiler inspections to minimize loss potential
S		2.3			Pay claims timely and accurately
O			2.3.1		Resolve claims within statutory framework and policy limits
O			2.3.2		Develop and train staff to ensure effective claims processing
S		2.4			Administer closure of the Second Injury Fund as directed by Section 42-7-320
O			2.4.1		Perform annual assessment of workers compensation carriers in South Carolina to fund claims payments and Program operation
O			2.4.2		Review, adjust, and pay claims until all claims are closed

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Strategic Planning Template

Type	Goal	Item # Strat	Object	Associated Enterprise Objective	Description
G	3			Government and Citizens	Provide innovative, cost-effective, and proactive acquisition services
S		3.1			Manage and perform acquisitions for the State
<i>O</i>			3.1.1		<i>Partner with agencies to meet their procurement needs</i>
<i>O</i>			3.1.2		<i>Award and manage statewide procurements for supplies, services, and information technology</i>
<i>O</i>			3.1.3		<i>Achieve savings through assertive negotiations and related activities</i>
<i>O</i>			3.1.4		<i>Provide assistance and approval on construction projects</i>
<i>O</i>			3.1.5		<i>Employ Strategic Sourcing upon completion of the Initiative (3.3)</i>
S		3.2			Provide leadership and accountability of the State's procurement system
<i>O</i>			3.2.1		<i>Develop and maintain efficient, effective, and uniform practices and procedures</i>
<i>O</i>			3.2.2		<i>Provide cost-effective training to procurement professionals</i>
<i>O</i>			3.2.3		<i>Ensure transparency and integrity in the use of public funds by auditing expenditures and internal procurement processes of state agencies</i>
<i>O</i>			3.2.4		<i>Develop Small Purchase Template for use by state agencies</i>
<i>O</i>			3.2.5		<i>Guide and direct configuration of statewide automated procurement system</i>
<i>O</i>			3.2.6		<i>Ensure vendor contract compliance through an audit process</i>
<i>O</i>			3.2.7		<i>Solicit feedback to promote improvements</i>
S		3.3			Continue the Strategic Sourcing Initiative
<i>O</i>			3.3.1		<i>Develop Strategic Sourcing framework practices, forms, and materials</i>
<i>O</i>			3.3.2		<i>Analyze the state's current spending on various categories of goods and services</i>
<i>O</i>			3.3.3		<i>Identify the greatest opportunities to leverage the state's purchasing power</i>
<i>O</i>			3.3.4		<i>Prioritize the state's subsequent efforts to maximize achievable savings</i>
S		3.4			Fulfillment of Legislative Mandates
<i>O</i>			3.4.1		<i>Conduct procurement for John de la Howe School for an evaluation and report to legislature (Proviso 7.5)</i>
<i>O</i>			3.4.2		<i>Conduct Procurement Efficiency Study to determine efficiencies between the Medical University Hospital Authority and the Medical University of South Carolina(Proviso 23.4)</i>
<i>O</i>			3.4.3		<i>Report to legislature results of Recovery Audits of payments made by all state agencies for goods and services</i>
<i>O</i>			3.4.4		<i>Conduct procurement for Iran Divestment Act for continuing compliance</i>

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Program Template

Program/Title	Purpose	FY 2016-17 Expenditures (Actual)				FY 2017-18 Expenditures (Projected)				Associated Objective(s) FY16-17
		General	Other	Federal	TOTAL	General	Other	Federal	TOTAL	
I. Administration	Administration provides leadership and direction for the agency, including Executive Management, Legal, Internal Audit, Finance, Human Resources, and Information Technology services for effective operations for the programs and administrative support for the Five-Member Authority.	\$ -	\$ 2,336,789	\$ -	\$ 2,336,789	\$ -	\$ 2,184,373	\$ -	\$ 2,184,373	1.1.1, 1.1.2, 1.1.3, 1.2.1, 1.2.2, 1.2.3, 1.3.1,1.3.2,1.3.3
II. Procurement Services	Provides centralized procurement of goods and services and information technology essential to government agencies; professional oversight/guidance for architects, engineers, land surveyors, and construction projects for state agencies and local governments.	\$ 1,221,347	\$ 3,570,955	\$ -	\$ 4,792,302	\$ 1,235,405	\$ 3,981,628	\$ -	\$ 5,217,033	3.1.1, 3.1.2, 3.1.3 3.1.4, 3.1.5, 3.2.1, 3.2.2, 3.2.3, 3.2.4, 3.2.5, 3.2.6, 3.2.7, 3.3.1, 3.3.2, 3.3.3, 3.3.4, 3.4.1, 3.4.2, 3.4.3
III.A. Insurance Reserve Fund	Provides insurance specifically designed to meet the needs of governmental entities.	\$ -	\$ 4,014,336	\$ -	\$ 4,014,336	\$ -	\$ 4,010,611	\$ -	\$ 4,010,611	2.1.1, 2.1.2, 2.1.3, 2.2.1, 2.2.2, 2.2.3, 2.3.1, 2.3.2
III.B. Second Injury Fund Sunset	Program charged with paying liabilities remaining after closure of the Second Injury Fund Agency on July 1, 2013. Unit administers assessments for the purpose of funding reimbursements to claimants from the Second Injury Fund and it's operating costs.	\$ -	\$ 155,937	\$ -	\$ 155,937	\$ -	\$ 151,276	\$ -	\$ 151,276	2.4.1, 2.4.2
IV.C. Employee Benefits	Employer's portion of FICA, State Retirement system premiums, Health and Dental Insurance premiums for subscribers and retirees, State Life Insurance and Long-term disability, and premiums for workers compensation and unemployment insurance.	\$ 383,894	\$ 2,386,657		\$ 2,770,551	\$ 375,000	\$ 2,697,592	\$ -	\$ 3,072,592	All Objectives
Transfer to State Treasurers Office (STO) the bond payment from the SC Dept. of Mental Health (SCDMH)	SFAA receives the bond payment from SCDMH and transfers the funds to STO regarding the contract related to the SCDMH SVP Project				\$ -		\$ 2,763,471		\$ 2,763,471	

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Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Does this law specify who (customer) the agency must or may serve? (Y/N)	Does the law specify a deliverable (product or service) the agency must or may provide? (Y/N)
1	1.4. (SDE: EFA - Formula)	State	Proviso	State Education formulas shall require the approval of the State Board of Education and SFAA	Yes	Yes
2	6.11. (SDB: Sale of Property)	State	Proviso	School for Deaf & Blind property reserve must be expended on approved capital improvements	Yes	Yes
3	11.23 (CHE: Auxiliary Project Approval)	State	Proviso	Medical University of South Carolina & Medical University Hospital Authority and Procurement Services to work on savings	Yes	Yes
4	33.3. (DHHS: Medical Assistance/Audit)	State	Proviso	Remittance of federal financial participation by Health & Human Services to State Auditor	Yes	Yes
5	35.8 (DMH: Lease Payments to SFAA)	State	Proviso	Lease payments to SFAA from Department of Mental Health exempt for reduction	Yes	Yes
6	36.2. (DDSN: Sale of Excess Real Property)	State	Proviso	Sale of Department of Special Needs excess real property	Yes	Yes
7	36.6. (DDSN: Transfer of Capital/Property)	State	Proviso	Department of Special Needs transfer of capital	Yes	Yes
8	44.5. (AGRI: Sale of Property Revenue)	State	Proviso	Expend revenue retained by Department of Agriculture with approval	Yes	Yes
9	51.1 (JEDA: Bonds Interest Rate)	State	Proviso	South Carolina Jobs--Economic Development Authority Bonds interest rate not subject to SFAA Approval	No	No
10	59.9. (AG: Public Official Attorney Fees)	State	Proviso	Pay opposing attorneys' costs and fees	Yes	Yes
11	63.4. (DPS: Sale of Real Property)	State	Proviso	Authority for Department of Public Safety to sell Laurens Road property	Yes	Yes
12	67.7. (DJJ: Sale of Real Property)	State	Proviso	Use of revenues by Department of Juvenile Justice after sale of property	Yes	Yes
13	104.3. (SFAA: Lawsuit Funding)	State	Proviso	IRF to pay defense costs of Abbeville litigation	Yes	Yes
14	104.4. (SFAA: Public Procurement Unit)	State	Proviso	Free medical providers can join pharmacy cooperative	No	No
15	104.5. (SFAA: Insurance Coverage for Aging Entity Authorized)	State	Proviso	Authority to provide insurance coverage to certain entities serving elderly	Yes	Yes
16	104.6. (SFAA: IRF Accountability)	State	Proviso	IRF to report of Utilization of Fund	Yes	Yes
17	104.7. (SFAA: Second Injury Fund Closure Plan)	State	Proviso	SFAA required to implement plan for closure of Second Injury Fund	Yes	Yes
18	104.8. (SFAA: IT Planning Transfer)	State	Proviso	SFAA to transfer \$400,000 to Department of Administration for IT planning	Yes	Yes
19	104.9. (SFAA: Attorneys)	State	Proviso	IRF to approve attorneys retained	Yes	No
20	104.11 (SFAA: Continuation of Authority)	State	Proviso	Provide and Receive goods and services	Yes	Yes
21	104.10. (SFAA: Compensation - Agency Head Salary)	State	Proviso	Staff Agency Head Salary Commission contract for study of certain state employee salaries	Yes	Yes
22	117.8. (GP: State Institutions - Revenues & Income)	State	Proviso	Authority for the use of Higher Education revenue for permanent improvement projects	Yes	Yes
23	117.9. (GP: Transfers of Appropriations)	State	Proviso	Set standard level for fund transfers between account types	Yes	Yes
24	117.15. (GP: Allowance for Residences & Compensation Restrictions)	State	Proviso	Authority for Higher Education housing allowance and some salaries	Yes	Yes
25	117.21. (GP: Organizations Receiving State Appropriations Accountability)	State	Proviso	SFAA may request audit of appropriated contributions	Yes	Yes
26	117.43. (GP: Sole Source Procurements)	State	Proviso	SFAA (Materials Management Office) must review sole source and emergency procurements for legitimacy	Yes	Yes
27	117.56. (GP: FEMA Flexibility)	State	Proviso	Authority for SFAA to borrow from certain accounts to maximize federal matching funds for disaster	Yes	Yes
28	117.58. (GP: Year-End Financial Statements - Penalties)	State	Proviso	Report of non-complying entities to SFAA by Comptroller General	Yes	Yes
29	117.83. (GP: Bank Account Transparency and Accountability)	State	Proviso	Report of composite reservoir bank account information to SFAA; grant exemption	Yes	Yes
30	117.88. (GP: Recovery Audits)	State	Proviso	Recovery audits of vendors for goods and services; submit reports of same	Yes	Yes
31	117.116(7) (Employee Compensation)	State	Proviso	Executive Director may direct excess appropriations to statewide purposes	Yes	Yes
32	117.126 (GP: State Engineer)	State	Proviso	State Engineer is an Office in the SFAA	No	No
33	118.1. (SR: Year End Cutoff)	State	Proviso	Appropriations for permanent improvement projects lapse after 2 years without further approval	Yes	Yes

Agency Name: **State Fiscal Accountability Authority** Fiscal Year 2016-2017
Accountability Report

Agency Code: **E550** Section: **104**

							Legal Standards Template	
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34	§1-1-1025	State	Statute	IRF responsibility for data/telecommunications insurance	Yes	Yes		
35	§1-7-85	State	Statute	Authority for reimbursement for litigation expenses by Attorney General	Yes	Yes		
36	§1-7-160	State	Statute	Attorney employment and fee approval	Yes	Yes		
37	§1-7-170	State	Statute	Attorney - approve engagement and fee	Yes	Yes		
38	§1-11-56	State	Statute	Major lease approval	Yes	Yes		
39	§1-11-58	State	Statute	Major surplus real property disposal	Yes	Yes		
40	§1-11-65	State	Statute	Major property transaction	Yes	Yes		
41	§1-11-80	State	Statute	Easement approval - utilities	Yes	Yes		
42	§1-11-90	State	Statute	Marshlands and vacant land right-of-way approval - State agencies and political subdivisions	Yes	Yes		
43	§1-11-100	State	Statute	Marshlands and vacant land right-of-way and easement approval-deeds	Yes	Yes		
44	§1-11-130	State	Statute	Assist political subdivisions with financial obligations	Yes	Yes		
45	§1-11-135	State	Statute	Bond fees	Yes	Yes		
46	§1-11-140 through 1-11-147	State	Statute	IRF operations and coverage - tort	Yes	Yes		
47	§1-11-175	State	Statute	Finance construction of correction facilities	Yes	Yes		
48	§1-11-185	State	Statute	Large Permanent Improvement Project approval	Yes	Yes		
49	§1-11-370	State	Statute	Regulate private activity bond debt	Yes	Yes		
50	§1-11-395	State	Statute	Approve debt collection contract for health care and social services	Yes	Yes		
51	§1-11-400	State	Statute	Debt - authority for lease purchase of Central Correctional Institution replacement	Yes	Yes		
52	§1-11-405	State	Statute	Aircraft lease or purchase approval	Yes	Yes		
53	§1-11-420	State	Statute	Regulation and Report of printing	Yes	Yes		
54	§1-11-430	State	Statute	Approval of telecommunication service contracts	Yes	Yes		
55	§1-11-440	State	Statute	Defense and indemnity of SFAA members and management	Yes	Yes		
56	§1-11-460	State	Statute	IRF - authority to pay judgments for Section 1983 claims; recovery of payments by assessments	Yes	Yes		
57	§1-11-470	State	Statute	Public relations advertising by constitutional officers	Yes	Yes		
58	§1-11-500 through 1-11-570	State	Statute	Allocate state ceiling for private activity bonds	Yes	Yes		
59	§1-11-580	State	Statute	Payments for annual insurance contracts	Yes	Yes		
60	§2-75-10	State	Statute	Reports from Research Centers of Excellence	Yes	Yes		
61	§3-1-150	State	Statute	Relinquishment of United States jurisdiction and acceptance	Yes	Yes		
62	§5-3-115	State	Statute	Municipal annexation for multicounty park	Yes	Yes		
63	§5-3-140	State	Statute	Municipal annexation of federal or state land	Yes	Yes		
64	§6-1-85	State	Statute	Report from Office of Research and Statistics on tax burden	Yes	Yes		
65	§6-9-63(A)(10)	State	Statute	South Carolina Building Codes Council - Designation by State Engineer	Yes	No		
66	§6-9-110(B)	State	Statute	State Engineer staff certified as fire marshals	Yes	No		
67	§6-27-20	State	Statute	Local Government Fund mid-year cuts	Yes	Yes		
68	§8-1-190	State	Statute	Innovation Pilot Programs authorized	Yes	Yes		
69	§8-11-195	State	Statute	State Employee Furloughs	Yes	Yes		
70	§8-13-770	State	Statute	Permits legislators to serve on SFAA	No	No		
71	§8-13-1373	State	Statute	Defense of Ethics Act upon Attorney General refusal	Yes	Yes		
72	§9-16-90	State	Statute	Investment Reports by Investment Commission	Yes	Yes		
73	§10-1-130	State	Statute	Authority for the granting of certain easements and rights of way	Yes	Yes		
74	§10-1-135	State	Statute	Authority for the granting of easements over state lands of natural significance	Yes	Yes		
75	§10-1-180	State	Statute	Permanent improvement projects - approval by SFAA; State Engineer as state's building code officer	Yes	Yes		
76	§10-5-230(3)	State	Statute	Building Codes Council - Accessibility Committee - State Engineer	Yes	Yes		
77	§10-5-270	State	Statute	Disabled access standards for public buildings; review by State Engineer	Yes	Yes		
78	§§10-7-10 through 10-7-230	State	Statute	IRF - Public Buildings and Property - Casualty	Yes	Yes		
79	§11-1-45	State	Statute	Approval of legal settlements exceeding \$100,000	Yes	Yes		
80	§11-1-100	State	Statute	Start date of permanent improvement projects funded with capital improvement bonds	Yes	Yes		
81	§11-1-110	State	Statute	Issuance and sale of lease revenue bonds	Yes	Yes		
82	§11-5-230	State	Statute	Augment the Disaster Trust Fund	Yes	Yes		
83	§11-9-30	State	Statute	Transfer personnel funding with personnel transferred	Yes	Yes		
84	§11-9-95	State	Statute	Authority to transfer agency funds to pay debts to SFAA	Yes	Yes		
85	§11-9-140	State	Statute	Transfers to Bond Contingency Revolving Fund	Yes	Yes		
86	§11-9-280 through 11-9-330	State	Statute	Authority for borrowing for operating and other expenses and related activities	Yes	Yes		
87	§11-9-610 through 11-9-620	State	Statute	Management of Sinking Fund	Yes	Yes		

Agency Name: **State Fiscal Accountability Authority** Fiscal Year 2016-2017
 Accountability Report

Agency Code: **E550** Section: **104**

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88	§11-9-630	State	Statute	Sale of unused real property not in trust	Yes	Yes		
89	§11-9-665 through 11-9-680	State	Statute	Management of Sinking Fund investments	Yes	Yes		
90	§11-11-180	State	Statute	Declaring operating deficit; borrowing from agencies to address	Yes	Yes		
91	§11-11-350	State	Statute	Report of estimated general fund expenditures	Yes	Yes		
92	§11-18-5 through 11-18-80	State	Statute	South Carolina Volume Cap Allocation Act (ARRA Bonds)	Yes	Yes		
93	§11-35-10, et. seq.	State	Statute	South Carolina Procurement Code	Yes	Yes		
94	§11-40-20, et. seq.	State	Statute	SC Infrastructure Facilities Authority and SC Infrastructure Revolving Loan Fund	Yes	Yes		
95	§11-49-10, et. seq.	State	Statute	Tobacco Settlement Revenue Management Authority Act	Yes	Yes		
96	§11-51-10, et. seq.	State	Statute	Research University Infrastructure Act; review projects and issue debt	Yes	Yes		
97	§11-55-10	State	Statute	Establishment of SFAA	Yes	Yes		
98	§11-55-30	State	Statute	Practices and Precedents of Budget & Control Board (B&CB) applicable to SFAA	Yes	Yes		
99	§11-55-40	State	Statute	Powers, Duties and Responsibility of SFAA	Yes	Yes		
100	§11-55-50	State	Statute	B&CB responsibility for permanent improvement projects and Bonds devolved upon SFAA	Yes	Yes		
101	§11-57-310	State	Statute	Iran Divestment Act - Iran investment list	Yes	Yes		
102	§12-6-5570	State	Statute	Authority for additional expenditures by Department of Revenue for enforcement of tax laws	Yes	Yes		
103	§12-10-100	State	Statute	Enterprise Zone Report	Yes	Yes		
104	§12-23-30	State	Statute	Suspension of electric generating and sales tax	Yes	Yes		
105	§12-28-2740	State	Statute	Bond procedure for completion of certain projects	Yes	Yes		
106	§13-1-25	State	Statute	Cross reference to procurement exemption authority	Yes	Yes		
107	§13-1-45	State	Statute	Water/Wastewater Infrastructure Fund Reports	Yes	Yes		
108	§13-1-340	State	Statute	Authority for grants, gifts, funds and property for State Development Division	Yes	Yes		
109	§13-1-620	State	Statute	Procurement - Savannah Valley Development	Yes	Yes		
110	§13-1-660 through 13-1- 700	State	Statute	Bond approval for Savannah Valley Development	Yes	Yes		
111	§13-1-1010	State	Statute	Aeronautics Commission Created	Yes	Yes		
112	§13-1-1350	State	Statute	Authority for extension of Public Railways' operation	Yes	Yes		
113	§13-1-1355	State	Statute	Authority for transfer of equipment by Public Railways	Yes	Yes		
114	§13-1-1390	State	Statute	Acquisition of railroads and equipment	Yes	Yes		
115	§13-1-1430	State	Statute	Authority for the refunding of bonds	Yes	Yes		
116	§13-1-1460	State	Statute	Authority for bond issuance by public railways	Yes	Yes		
117	§13-1-1720	State	Statute	Cross reference to procurement exemption authority	Yes	No		
118	§13-1-1740	State	Statute	Recommendations by Coordinating Council for Economic Development	Yes	Yes		
119	§13-7-10	State	Statute	Barnwell Decommissioning Trust Fund: SFAA is Grantor of 3/4/1981 Trust Agreement	Yes	Yes		
120	§13-7-30	State	Statute	Powers and duties of SFAA regarding nuclear-use state property	Yes	Yes		
121	§13-19-30	State	Statute	Midlands Authority - authority for alternate procurement code with approval by SFAA	Yes	Yes		
122	§13-19-60	State	Statute	Midlands Authority's bond interest rates	Yes	Yes		
123	§13-19-80	State	Statute	Bond issuance authority	Yes	Yes		
124	§13-19-100	State	Statute	Bond sale authority	Yes	Yes		
125	§13-21-30	State	Statute	Authority for alternate procurement code by Edisto Development Authority	Yes	Yes		
126	§13-21-70	State	Statute	Edisto Development Authority bond terms approval	Yes	Yes		
127	§13-21-90	State	Statute	Edisto Development Authority bond issuance approval	Yes	Yes		
128	§13-21-110	State	Statute	Edisto Development Authority bond sale approval	Yes	Yes		
129	§15-77-330	State	Statute	Determination of availability of funds for attorney fees	Yes	Yes		
130	§15-78-10 through 15-78-220	State	Statute	Tort Claims, Act Insurance Policy, Procedures, Limitations and Related Provisions	Yes	Yes		
131	§24-3-400	State	Statute	Authority for certain funds and Prison Industries Account	Yes	Yes		
132	§25-1-460	State	Statute	Authority for emergency loans	Yes	Yes		
133	§25-1-1660	State	Statute	Authority to transfer National Guard Armory to political subdivisions	Yes	Yes		
134	§27-8-120	State	Statute	SFAA as successor to South Carolina Conservation Bank	Yes	Yes		
135	§31-3-1690	State	Statute	Housing Authority relationship with SFAA	Yes	No		
136	§31-12-120	State	Statute	Compliance by Housing with Consolidated Procurement Code	Yes	Yes		
137	§31-13-90	State	Statute	Authority to issue notes or bonds and submission of information	Yes	Yes		
138	§31-13-170 through 31-13-250	State	Statute	Bonds and loan authority - Housing and Redevelopment	Yes	Yes		
139	§38-5-190	State	Statute	Authorization to Substitute Copies for Original Insurance Documents	Yes	No		
140	§38-13-180 through 38-13-200	State	Statute	Department of Insurance examination of the IRF	Yes	Yes		
141	§38-57-45	State	Statute	Approval of use of state seal by insurance providers	Yes	Yes		

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142	§38-79-470	State	Statute	Patients Compensation Fund audit available to SFAA	Yes	Yes		
143	§41-31-820	State	Statute	Unemployment compensation experience rating; quarterly certification of state liability	Yes	Yes		
144	§41-33-470	State	Statute	Reports to SFAA by Employment & Workforce	Yes	Yes		
145	§41-43-90	State	Statute	South Carolina Jobs--Economic Development Authority procurement procedures	Yes	Yes		
146	§41-43-260	State	Statute	South Carolina Jobs--Economic Development Authority audit review and accountability	Yes	Yes		
147	§42-7-75	State	Statute	Quarterly certification of state liability for State Accident Fund	Yes	Yes		
148	§42-7-90	State	Statute	Authorization of certain expenditures from State Accident Fund	Yes	Yes		
149	§42-7-100	State	Statute	Approval of insurance for State Accident Fund	Yes	Yes		
150	§42-7-210	State	Statute	Quarterly certification of state liability for State Accident Fund	Yes	Yes		
151	§42-7-320	State	Statute	Termination of Second Injury Fund	Yes	Yes		
152	§43-1-70	State	Statute	Approval of Department of Social Services salaries	Yes	Yes		
153	§44-1-40	State	Statute	Salary approval for the director of the Department of Health and Environmental Control	Yes	Yes		
154	§44-1-210	State	Statute	Annual Report of monies collected	Yes	Yes		
155	§44-6-80	State	Statute	Submission by Department of Health and Environmental Control of annual work and effectiveness report	Yes	Yes		
156	§44-7-1420	State	Statute	Hospital Revenue Bond Act - role of providing guidance	Yes	Yes		
157	§44-7-1440	State	Statute	Hospital Revenue Bond Act reference	Yes	Yes		
158	§44-7-1560	State	Statute	Hospital Revenue Bond Act -authority to refund	Yes	Yes		
159	§44-7-1590	State	Statute	Authority under Hospital Revenue Bond Act to issue bonds	Yes	Yes		
160	§44-7-3110	State	Statute	Approval of Medical University of South Carolina to transfer management & operations to private entity	Yes	Yes		
161	§44-7-3150	State	Statute	Consultation with South Carolina Commission on Higher Education required prior to approval of transaction	Yes	Yes		
162	§44-20-30	State	Statute	Department of Disabilities and Special Needs Capital Improvement Bonds	Yes	Yes		
163	§44-20-1140 through 44-20-1170	State	Statute	Department of Disabilities and Special Needs residential regional - permanent improvement projects and Bonds	Yes	Yes		
164	§44-25-60	State	Statute	Interstate Compact Mental Health payments authority	Yes	Yes		
165	§46-15-20	State	Statute	Bond issuance authority for Department of Agriculture	Yes	Yes		
166	§46-27-880	State	Statute	Supervision of procedures for payment of fines and monies related to stock or poultry preparations	Yes	Yes		
167	§48-3-10	State	Statute	Authority for pollution control facility bonds	Yes	Yes		
168	§48-3-100	State	Statute	Pollution control facilities - authority for refunding bonds	Yes	Yes		
169	§48-3-140	State	Statute	Pollution control facilities - authority for bonds	Yes	Yes		
170	§48-5-30	State	Statute	SFAA Authority members serve as the SC Water Quality Revolving Fund Authority	Yes	Yes		
171	§48-18-70	State	Statute	Erosion and sediment controls - regulation input and enforcement	Yes	Yes		
172	§48-23-110	State	Statute	Authority to Convey Property to Forestry Commission	Yes	Yes		
173	§48-23-135	State	Statute	Authority for borrowing by Forestry Commission	Yes	Yes		
174	§48-23-280	State	Statute	Approval for certain contracts by Forestry Commission	Yes	Yes		
175	§48-23-290	State	Statute	Approval of use of certain income by Forestry Commission	Yes	Yes		
176	§48-43-390	State	Statute	Lease of state lands for drilling for and producing oil and gas	Yes	Yes		
177	§48-46-30 through 48-46-90	State	Statute	Trustee of nuclear waste funds for decommissioning and maintenance	Yes	Yes		
178	§48-52-680	State	Statute	State Energy Office to assist Materials Management Office	Yes	Yes		
179	§48-52-810	State	Statute	SFAA is the governing board for energy independence	Yes	Yes		
180	§48-52-860	State	Statute	SFAA to submit annual report to General Assembly	Yes	Yes		
181	§48-59-80	State	Statute	Authority for certain uses of trust fund land	Yes	Yes		
182	§50-5-2720	State	Statute	Fiscal Accountability of Atlantic Fisheries Compact Commission	Yes	Yes		
183	§51-1-60	State	Statute	Submission of annual report to SFAA and General Assembly and assignment of duties	Yes	Yes		
184	§51-11-20	State	Statute	SFAA or Department of Administration approval required to acquire property by Recreation Land Trust Fund	Yes	Yes		
185	§51-13-810	State	Statute	Authority for of Patriots Point Authority to borrow	Yes	Yes		
186	§51-13-860	State	Statute	Loans to Patriots Point by SFAA	Yes	Yes		
187	§51-17-115	State	Statute	Authority for expenditure of funds by Heritage Land Trust Fund	Yes	Yes		
188	§51-17-320	State	Statute	Heritage Trust Revenue Bond authority	Yes	Yes		
189	§51-17-350	State	Statute	Required report to SFAA from Heritage Trust	Yes	Yes		
190	§51-22-30	State	Statute	Required Report to SFAA by Legacy Trust Fund	Yes	Yes		
191	§52-5-110	State	Statute	Required report of Springdale Fund to SFAA	Yes	Yes		
192	§54-3-700	State	Statute	Sale of Port Royal property	Yes	Yes		
193	§55-1-1	State	Statute	Division of Aeronautics of SFAA	Yes	Yes		
194	§55-11-10	State	Statute	Clemson and Aeronautics	Yes	Yes		
195	§55-11-500	State	Statute	SFAA is authority for air carrier hub terminals	Yes	Yes		

Agency Name: **State Fiscal Accountability Authority** Fiscal Year 2016-2017
Accountability Report

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196	§55-11-510	State	Statute	Assistance to Special Purpose District or Political Subdivision for air carrier hub	Yes	Yes		
197	§55-11-650	State	Statute	Airport Bond approval	Yes	Yes		
198	§55-15-10(f)	State	Statute	Aeronautics Commission	Yes	Yes		
199	§57-1-490	State	Statute	Annual audit of Department of Transportation by Materials Management Office	Yes	Yes		
200	§57-5-1480	State	Statute	Authorizes SFAA to invest in turnpike bonds	Yes	Yes		
201	§57-5-1610	State	Statute	Department of Transportation contract approval	Yes	Yes		
202	§57-11-210	State	Statute	Definitions for State Highway Bonds	Yes	Yes		
203	§57-11-370	State	Statute	Highway bonds as legal investments	Yes	Yes		
204	§59-7-50	State	Statute	Acceptance and use of contributions to Educational Television subject to approval	Yes	Yes		
205	§59-17-155	State	Statute	Department of Administration to establish state contract for external defibrillators	Yes	Yes		
206	§59-19-93	State	Statute	Submissions of school district procurement codes to Department of Administration	Yes	Yes		
207	§59-21-140	State	Statute	SFAA may borrow in anticipation of revenue	Yes	Yes		
208	§59-31-60	State	Statute	Authority for borrowing by Education	Yes	Yes		
209	§59-53-30	State	Statute	State Tech open admissions policy and SFAA approvals	Yes	Yes		
210	§59-53-51	State	Statute	Right to appeal certain financial decisions (State Tech System)	Yes	Yes		
211	§59-53-53	State	Statute	Authority for disposal of surplus property	Yes	Yes		
212	§59-53-151	State	Statute	Authority for Tech bond issues	Yes	Yes		
213	§59-53-290	State	Statute	Authority for certain leases (Tri County Tech)	Yes	Yes		
214	§59-53-480	State	Statute	Procurement procedure approval for Trident Tech	Yes	Yes		
215	§59-53-485	State	Statute	Authority for bond issues (Trident Tech)	Yes	Yes		
216	§59-53-490	State	Statute	Annual Report requirement (Trident Tech)	Yes	Yes		
217	§59-53-630	State	Statute	Ground lease authority for Denmark Tech	Yes	Yes		
218	§59-53-740	State	Statute	Ground lease authority for Florence-Darlington Tech	Yes	Yes		
219	§59-53-1784 through 59-53-1785	State	Statute	Midlands Tech requirements for lease approval	Yes	Yes		
220	§59-53-1786	State	Statute	Requirement of annual report on development (Midlands Tech)	Yes	Yes		
221	§59-53-2430	State	Statute	Tech Enterprise lease authority	Yes	Yes		
222	§59-53-2440	State	Statute	Tech Enterprise bond authority	Yes	Yes		
223	§59-53-2450	State	Statute	Annual Report of development and use (Enterprise Campus)	Yes	Yes		
224	§59-63-770	State	Statute	Distribution of commodities funding	Yes	Yes		
225	§59-67-450	State	Statute	Borrowing authority from Sinking Funds	Yes	Yes		
226	§59-67-710	State	Statute	School bus insurance	Yes	Yes		
227	§59-67-790	State	Statute	Pupil Injury Insurance Fund	Yes	Yes		
228	§59-71-530	State	Statute	Authority for school bus bonds	Yes	Yes		
229	§59-101-650	State	Statute	Authority for the exercise of eminent domain by higher education institutions	Yes	Yes		
230	§59-111-30	State	Statute	Administration of the South Carolina Defense Scholarship Fund	Yes	Yes		
231	§59-112-60	State	Statute	Promulgation of guidelines for certain persons to attend institutions of higher learning	Yes	Yes		
232	§59-112-70	State	Statute	Coordination with Higher education regarding abatement of tuition for certain students	Yes	Yes		
233	§59-117-65	State	Statute	Authority for University of South Carolina to enter ground leases	Yes	Yes		
234	§59-117-80	State	Statute	Authority for University of South Carolina to sell donated property	Yes	Yes		
235	§59-117-220	State	Statute	University of South Carolina Auxiliary Facilities Revenue Bonds	Yes	Yes		
236	§59-119-165	State	Statute	Transfer of certain agricultural funds to Clemson Public Service Activities	Yes	Yes		
237	§59-119-720	State	Statute	Authority for Clemson University to issue Auxiliary Facilities Revenue Bonds	Yes	Yes		
238	§59-119-920	State	Statute	Authority for Clemson University to issue Athletic Facilities Revenue Bonds	Yes	Yes		
239	§59-121-320	State	Statute	Authority for the Citadel to issue Athletic Facility Bonds	Yes	Yes		
240	§59-122-10	State	Statute	Authority for the Citadel to issue Housing Revenue Bonds	Yes	Yes		
241	§59-122-40	State	Statute	Requirements for the Citadel to issue housing bonds	Yes	Yes		
242	§59-123-60	State	Statute	Authority for Medical University of South Carolina to issue bonds, lease, audits and procurement and submit annual budget	Yes	Yes		
243	§59-123-95	State	Statute	Authority for Medical University of South Carolina to borrow to purchase diagnostic and therapeutic equipment	Yes	Yes		
244	§59-123-220	State	Statute	Authority for Medical University of South Carolina to issue revenue bonds	Yes	Yes		
245	§59-123-230	State	Statute	Authority for Medical University of South Carolina to issue Housing Revenue bonds	Yes	Yes		
246	§59-123-300	State	Statute	Authority for Medical University of South Carolina to sell bonds	Yes	Yes		
247	§59-125-130	State	Statute	Authority for Winthrop University Leasing program	Yes	Yes		
248	§59-125-320	State	Statute	Authority for Winthrop University Facilities Revenue Bonds	Yes	Yes		

Agency Name: **State Fiscal Accountability Authority** Fiscal Year 2016-2017
Accountability Report

Agency Code: **E550** Section: **104**

Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Does this law specify who (customer) the agency must or may serve? (Y/N)	Does the law specify a deliverable (product or service) the agency must or may provide? (Y/N)
249	§59-125-520	State	Statute	Authority for Winthrop University to issue Athletic Facilities Bonds	Yes	Yes
250	§59-127-85	State	Statute	Approval for South Carolina State University Leasing Program	Yes	Yes
251	§59-127-310	State	Statute	South Carolina State University Special Obligation Bonds	Yes	Yes
252	§59-127-500	State	Statute	Authority for South Carolina State University Facilities Improvement Bonds	Yes	Yes
253	§59-130-30	State	Statute	Authority for certain real property transactions for College of Charleston	Yes	Yes
254	§59-130-50	State	Statute	Authority to lease or sell real property donated to College of Charleston	Yes	Yes
255	§59-130-60	State	Statute	College of Charleston Leasing Program	Yes	Yes
256	§59-130-430	State	Statute	College of Charleston authority to issue bonds	Yes	Yes
257	§59-131-20	State	Statute	College of Charleston authority to issue bonds for parking facilities	Yes	Yes
258	§59-133-30	State	Statute	Authority for Francis Marion University Board to sell or dispose of property limited	Yes	Yes
259	§59-133-50	State	Statute	Authority to sell or lease real property donated to Francis Marion University	Yes	Yes
260	§59-133-60	State	Statute	Authority for Francis Marion University ground leasing	Yes	Yes
261	§59-133-220	State	Statute	Authority for Francis Marion University to issue Athletic Facilities Revenue Bonds	Yes	Yes
262	§59-135-30	State	Statute	Authority for Lander University to dispose of surplus real property	Yes	Yes
263	§59-135-50	State	Statute	Authority to lease or sell real property donated to Lander University	Yes	Yes
264	§59-136-130	State	Statute	Authority for Coastal Carolina University to sell unassigned surplus real property	Yes	Yes
265	§59-136-150	State	Statute	Approval to lease or sell real property donated to Coastal Carolina University	Yes	Yes
266	§59-146-60	State	Statute	State Board of Education notification to SFAA	Yes	Yes
267	§59-146-70	State	Statute	Issuance of state school facilities bonds by SFAA	Yes	Yes
268	§59-146-80	State	Statute	Resolution by SFAA for issuance of state school facilities bonds and required report	Yes	Yes
269	§59-146-90	State	Statute	SFAA to set terms of state school facilities bonds	Yes	Yes
270	§59-146-130	State	Statute	Sale of state school facilities bonds	Yes	Yes
271	§59-147-30	State	Statute	Higher Education authority for the issuance of revenue bonds	Yes	Yes
272	§61-4-510	State	Statute	Special account for certain alcohol revenue	Yes	Yes
273	§63-19-360	State	Statute	Report regarding status of pre-adjudicatory detention of juveniles	Yes	Yes
274	§63-19-420	State	Statute	Authority for natural resources sales	Yes	Yes
275	R19 - 102.01 - 102.05	State	Regulation	Approval procedure for Industrial Revenue, Pollution Control and Hospital Revenue bonds	Yes	Yes
276	R19-103.01 - 103.10	State	Regulation	Calculation and Certification of State Ceiling for Private Activity Bonds	Yes	Yes
277	R19-104.01 - 104.04	State	Regulation	Approval procedure for Housing Authority Bond issues	Yes	Yes
278	R19-410	State	Regulation	State Surplus Property	Yes	Yes
279	R19-415	State	Regulation	Insurance Reserve Fund	Yes	Yes
280	R19-445	State	Regulation	Consolidated Procurement Code	Yes	Yes
281	R19-446	State	Regulation	Appearance before Chief Procurement Officers	Yes	Yes
282	R19-1215	State	Regulation	Duties under Tuition Prepayment Program	Yes	Yes

Agency Name:	State Fiscal Accountability Authority		Fiscal Year 2016-17
Agency Code:	E550	104	Accountability Report
			Customer Template

Divisions or Major Programs	Description	Service/Product Provided to Customers	Customer Segments	<i>Specify only for the following Segments: (1) Industry; Name; (2) Professional Organization; Name; (3) Public; Demographics.</i>
Authority Board				
Authority Board	Provides fiscal oversight and accountability for the State of South Carolina.	Provide fiscal oversight and accountability through the review and approval of major, fiscal-related, state agency transactions.	Executive Branch/State Agencies	
Authority Board	Provides fiscal oversight and accountability for the State of South Carolina.	Provide fiscal oversight and accountability through the review and approval of major, fiscal-related, state agency transactions.	Legislative Branch	
Authority Board	Provides fiscal oversight and accountability for the State of South Carolina.	Provide fiscal oversight and accountability through the review and approval of major, fiscal-related, state agency transactions.	Judicial Branch	
Insurance Reserve Fund Division				
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Executive Branch/State Agencies	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Legislative Branch	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Judicial Branch	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Local Govts.	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	School Districts	
Second Injury Fund	Provides reimbursement of approved workers compensation claim payments to the State Accident Fund according to 42-7-310, 42-9-400, and 42-9-410.	Reimbursement of eligible workers compensation losses.	Executive Branch/State Agencies	
Second Injury Fund	Provides reimbursement of approved workers compensation claim payments to insurance carriers and self insured companies according to 42-7-310, 42-9-400, and 42-9-410.	Reimbursement of eligible workers compensation losses.	Industry	Those self insured companies and insurance carriers paying workers compensation claims accepted by the Second Injury Fund.
Division of Procurement Services				
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Providing agencies with professional, centralized purchasing services to acquire all manner of supplies, equipment, services, information technology, and construction.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Establishing open-ended statewide contracts, thereby leveraging the collective needs of all state agencies, political subdivisions, and school districts to gain lower prices through volume discounts.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Establishing open-ended statewide contracts, thereby leveraging the collective needs of all state agencies, political subdivisions, and school districts to gain lower prices through volume discounts.	Local Govts.	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Establishing open-ended statewide contracts, thereby leveraging the collective needs of all state agencies, political subdivisions, and school districts to gain lower prices through volume discounts.	School Districts	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Chief Procurement Officers for Good and Supplies, Information Technology, and Construction resolves bid protests and contract disputes for state government.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Provides for the protection of life and property by serving as the Building Code Official and Deputy State Fire Marshal for all state buildings and by performing professional reviews of construction plans and site inspections.	Executive Branch/State Agencies	

Agency Name:	State Fiscal Accountability Authority		Fiscal Year 2016-17
Agency Code:	E550	104	Accountability Report
			Customer Template

Divisions or Major Programs	Description	Service/Product Provided to Customers	Customer Segments	<i>Specify only for the following Segments: (1) Industry; Name; (2) Professional Organization; Name; (3) Public; Demographics.</i>
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Oversees and approves solicitations and the posting of awards performed by agencies acting above their statutory certification level for construction services.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Facilitates uniformity in application of procurement laws among diverse procurement disciplines and across state government.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Conducts periodic audits of each agency's procurement operations to ensure transparency, integrity for state funds.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Division responsible for statewide training and certification program for public procurement professionals.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Division responsible for statewide training and certification program for public procurement professionals.	Local Govts.	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Division responsible for statewide training and certification program for public procurement professionals.	School Districts	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Publishing a periodical, South Carolina Business Opportunities (SCBO), provides one-stop access to public notice of contracting opportunities for state and local government entities.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Publishing a periodical, South Carolina Business Opportunities (SCBO), provides one-stop access to public notice of contracting opportunities for state and local government entities.	Local Govts.	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Staffing emergency disaster recovery procurements (hurricanes, terrorism, etc.) for the State's Emergency Management Division and emergency related acquisitions for supplies, services, and facilities damage assessments.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Provides guidance to vendors and contractors for construction, related services, and goods, services and IT.	Industry	Architects, Engineers, Contractors, and Vendors.
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Provide for professional guidance on advisory committees for procurement, information technology, and construction related activities.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Provide required reports and information to the South Carolina General Assembly as required.	Legislative Branch	

Agency Name: State Fiscal Accountability Authority

**Fiscal Year 2016-17
Accountability Report**

Agency Code: E550 **Section:** 104

Partner Template

Name of Partner Entity	Type of Partner Entity	Description of Partnership	Associated Objective(s) FY 16-17
Administration			
Department of Administration	State Government	In conjunction with the Department of Administration, information is collected and assembled that will best assist board members for their review and approval.	1.2.1
Department of Administration	State Government	DIS and EPO provide guidance regarding the Information Security and Privacy policy implementation; DTO provides Data Custodian services for SFAA information systems; SCEIS controls access to financial systems and reporting authorization	1.1.1, 1.1.3
Office of the Comptroller General	State Government	Provides guidance and oversight for compliance with state financial and accountability requirements; requires special reporting for compliance with legislative mandates	1.1.3, 1.2.2
Office of the State Treasurer	State Government	Provides coordination of banking services and financial reporting of cash and investments	1.1.3, 1.2.2
Banking Industry	Private Business Organization	Provides financial and investment services to account for agency funds and investments	1.1.3, 1.2.2
Insurance Reserve Fund Division			
Willis, Towers, Watson	Private Business Organization	Property Reinsurance Broker	2.1.1, 2.2.1, 2.2.2
Willis, Towers, Watson	Private Business Organization	Actuarial Services	2.1.1
Insurance Services Office	Private Business Organization	Provides specific insurance information necessary to the IRF	2.1.1
AssetWorks	Private Business Organization	Building Valuation Services	2.1.1, 2.2.1
American Southern Insurance Co.	Private Business Organization	Auto Liability Reinsurance and Auto Liability Claims Processing Services	2.1.1, 2.3.1
Ramsey Adjustment Services	Private Business Organization	School Bus Claims Processing Services	2.3.1
Independent Claims Adjusters under State Contract	Private Business Organization	Provides Claim Adjustment services	2.3.1
Arthur J Gallagher Risk Management Services	Private Business Organization	Ocean Marine Insurance Broker	2.1.1
Arthur J Gallagher Risk Management Services/Liberty Mutual	Private Business Organization	Boiler and Machinery Reinsurance, Boiler Inspections	2.2.2, 2.2.3
Hope Aviation	Private Business Organization	Aviation Insurance Broker	2.1.1
South Carolina Hospital Association	Professional Association	Risk Management and Patient Safety Program	2.1.3
KPMG	Private Business Organization	Actuarial Services for Second Injury Fund	2.4.2

Agency Name: State Fiscal Accountability Authority

**Fiscal Year 2016-17
Accountability Report**

Agency Code: E550 **Section:** 104

Partner Template

Name of Partner Entity	Type of Partner Entity	Description of Partnership	Associated Objective(s) FY 16-17
Division of Procurement Services			
Department of Administration-- Division of Technology	State Government	Custodian for Materials Management module in SCEIS utilized for procurement activities.	3.1.1, 3.1.2
National Association of State Procurement Officials	Professional Association	Provides funds for the professional development of staff, conferences for networking, and promotion of best practices, education, professional development, research, and innovative procurement strategies.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2
South Carolina Association of Governmental Purchasing Officials	Professional Association	Conducts forums and conferences for networking, and promotion of best practices, education, professional development, research, and innovative procurement strategies.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2
National Institute for Public Procurement (NIGP)	Professional Association	Developing, supporting and promoting the public procurement profession through educational and research programs, professional support, technical services and advocacy initiatives.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2
National Association of State Facilities Administrators	Professional Association	Provides professional development opportunities, technical assistance, and national and regional conferences for professional networking.	3.1.4, 3.2.2
South Carolina Association of State Planning and Construction Officials	Professional Association	Provides professional development for engineers and architects, industry and other technical updates, trends, project presentation discussions, and similar forums.	3.1.4, 3.2.2
National Association of Chief Information Officers	Professional Association	Support the role of the state CIO and or CPO for information technology, stimulate the exchange of information and promote the adoption of IT best practices and innovations. Sponsor national conferences, peer networking, research and publications, briefings and government affairs.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2

Agency Name:	State Fiscal Accountability Authority			Fiscal Year 2016-17	
				Accountability Report	
Agency Code:	E550	Section:	104	Report Template	

Item	Report Name	Name of Entity Requesting the Report	Type of Entity	Reporting Frequency	Submission Date (MM/DD/YYYY)	Summary of Information Requested in the Report	Method to Access the Report
1	Accountability Report	Department of Administration	State	Annually	9/15/2016	Agency's Mission, Strategic Plan, Performance Measures, Program Expenditures, Legal Standards., Customers, Partners, Reports, Oversight Review	http://sfaa.sc.gov/files/rpts/FY%202015-16%20SFAA%20Accountability%20Report.pdf
2	Information Technology Plan and Information Security Plan	Department of Administration	State	Annually	9/23/2016	Reports the Agency's Information Technology Plan and Information Security Plan	Obtain from the Division of Technology/ Department of Administration
3	Fines and Fees Report	House Ways and Means Committee and Senate Finance Committee	State	Annually	9/1/2017	Reports all fines and fees charged and collected by the Agency	http://sfaa.sc.gov/files/rpts/E550_Fines_and_Fees_Report_FY2017.pdf
4	Annual Budget Request	Department of Administration	State	Annually	10/15/2016	Request for annual operating and capital budget needs for the 2017-18 fiscal year	Obtain from the Office of the Executive Director of SFAA
5	Debt Collection	House Ways and Means Committee and Senate Finance Committee; Inspector General	State	Annually	2/24/2017	Report detailing the amount of outstanding debt and all methods used to collect that debt	Obtain from the Office of the Executive Director of SFAA
6	Status of Voluntary Separation Incentive Program	Department of Administration	State	Annually	8/15/2017	Status of Voluntary Separation Incentive Program	Obtain from the Human Resources Office of SFAA
7	Employee Bonuses	Department of Administration	State	Annually	8/31/2017	Reports Employee Bonuses	Obtain from the Human Resources Office of SFAA
8	Agency Organizational Chart	Department of Administration	State	Annually	9/1/2017	Agency Organizational Chart	Obtain from the Human Resources Office of SFAA
9	Applicant Data	State Human Affairs Commission	State	Annually	10/27/2016	Applicant Data	Obtain from the State Human Affairs Commission
10	9 Reporting Packages	Office of the Comptroller General	State	Annually	Specific dates identified by package during July - October	Financial and reporting data for inclusion in the Comprehensive Annual Financial Report (CAFR) of the State of South Carolina	Obtain from the Office of the Comptroller General
11	Sole Source and Emergency Procurements	SFAA--Division of Procurement Services	State	Quarterly	End of each calendar quarter	Each contractor's name; the amount and type of each contract; a listing of supplies, services, information technology, or construction procured under each contract - Section 11-35-2440.	Obtain from DPS of SFAA
12	Resident Vendor Procurement Preferences	SFAA--Division of Procurement Services	State	Quarterly	End of each calendar quarter	The sum of all preferences allowed when applied to the price of a line item of work, may not exceed six percent unless the bidder maintains an office in this State. The cumulative preferences applied to the price of a line item cannot exceed ten percent - Section 11-35-1524.	Obtain from DPS of SFAA
13	Ten Percent Rule	SFAA--Division of Procurement Services	State	Quarterly	End of each calendar quarter	Determine entities required to utilize term contracts but find a lower price by at least ten percent allow vendor of term contract to match price or acquire from vendor offering the lowest price at least ten percent lower than term contract price - Section 11-35-1220.	Obtain from DPS of SFAA
14	Trade-in Sales	SFAA--Division of Procurement Services	State	Quarterly	End of each calendar quarter	Record of all trade-in sales reflecting values and approval - Section 11-35-3830.	Obtain from DPS of SFAA
15	Unauthorized Procurements	SFAA--Division of Procurement Services	State	Quarterly	End of each calendar quarter	Unauthorized procurements from finding, after award, that a State employee has made an unauthorized award of a contract or that a contract award is otherwise in violation of law - Regulation 19-445.2015 (B).	Obtain from DPS of SFAA
16	Report on prior fiscal year utilization of the Insurance Reserve Fund	President Pro Tempore of SC Senate, Chairman of the Senate Finance Committee, Speaker of the SC House of Representatives, Chairman of the House Ways and Means Committee	State	Annually	10/15/2016	Report on prior fiscal year utilization of the Insurance Reserve Fund to include for each transaction the amount, the recipient of the funds, the date of the transfer or payment, and the action or reason that necessitated the transfer.	Obtain from the entity requesting the report
17	Annual Statement of the Insurance Reserve Fund to the Insurance Department of the State of South Carolina	SC Department of Insurance	State	Annually	9/30/2016	Statement of income, assets, liabilities, policyholder equity, cash flow,	Obtain from the South Carolina Department of Insurance

Agency Name:	State Fiscal Accountability Authority			Fiscal Year 2016-17	
				Accountability Report	
Agency Code:	E550	Section:	104	Report Template	

Item	Report Name	Name of Entity Requesting the Report	Type of Entity	Reporting Frequency	Submission Date (MM/DD/YYYY)	Summary of Information Requested in the Report	Method to Access the Report
18	MMSEA Section 111-Medicare Secondary Payer Mandatory Reporting	Centers for Medicare and Medicaid Services	Federal	Quarterly	3rd week of February, May, August, November	Insurance settlements awarded to Medicare recipients	Obtain from the Centers for Medicare and Medicaid Services
19	Sole Source and Emergency Procurements	State Fiscal Accountability Authority (Authority)	State	Annually	7/26/2017	Each contractor's name; the amount and type of each contract; a listing of supplies, services, information technology, or construction procured under each contract - Section 11-35-2440.	Obtain from DPS of SFAA
20	Unauthorized Procurements	State Fiscal Accountability Authority (Authority)	State	Annually	12/13/2016	Unauthorized procurements from finding, after award, that a State employee has made an unauthorized award of a contract or that a contract award is otherwise in violation of law - Regulation 19-445.2015 (B).	Obtain from DPS of SFAA
21	Iran Divestment Act	General Assembly	State	Bi-annually	6/26/2017	List of persons determined to engage in investment activities in Iran and therefore ineligible to contract with the State or any political subdivision, public universities - Section 11.57.10.	https://procurement.sc.gov/files/Iran_divestment_062617.pdf
22	Recovery Audits	Governor, House Ways and Means Chairman, Senate Finance Chairman, State Auditor, and General Assembly	State	Annually	1/1/2017	Validates vendors under contract with the State are charging the correct prices agreed to under the terms and conditions of the contract - 2015-2016 Appropriations Act, Proviso 117.89.	Obtain from DPS of SFAA
23	Minority Spending	Dept. of Administration--South Carolina Office of Small and Minority Business Contracting and Certification	State	Quarterly	End of each calendar quarter	Number and dollar value of contracts awarded to certified Minority Businesses - Section 11-35-5240	Obtain from DPS of SFAA

Agency Name:		State Fiscal Accountability Authority		Fiscal Year 2016-17 Accountability Report	
Agency Code:		E550	Section:	104	External Review Template
Item	Name of Entity Conducted Oversight Review	Type of Entity	External Review Timeline (MM/DD/YYYY to MM/DD/YYYY)	Method to Access the Oversight Review Report	
1	The Hobbs Group, PA, CPAs-- Annual audit of IRF under contract with the Office of the State Auditor	Outside Organization	07/01/2015 to 06/30/2016	http://osa.sc.gov/Reports/stateengagements/Documents/YearEnded2016/F2016.pdf	
2	CliftonLarsonAllen LLP and the Office of the State Auditor-- Fiscal 2015-16 Comprehensive Annual Financial Report audit	State	07/01/2015 to 06/30/2016	http://osa.sc.gov/Reports/stateengagements/Documents/YearEnded2016/E1216%20(CAFR).pdf	
3	CliftonLarsonAllen LLP and the Office of the State Auditor-- Fiscal 2015-16 Comprehensive Annual Financial Report audit	Outside Organization	07/01/2015 to 06/30/2016	http://osa.sc.gov/Reports/stateengagements/Documents/YearEnded2016/E1216%20(CAFR).pdf	
4	Scott and Company LLC--Agreed-Upon Procedures--under contract with the Office of the State Auditor	Outside Organization	07/01/2015 to 06/30/2016	http://osa.sc.gov/Reports/stateengagements/Documents/YearEnded2016/F0116.pdf	
5	Ikaso, LLC	Outside Organization	07/01/2015 to 06/30/2016	Obtain from DPS of SFAA	
6	Treya Partners - Conducted Spend Analysis on state agencies and higher education institutions to guide Strategic Sourcing implementation	Outside Organization	07/01/2016 to 03/22/2017	Obtain from DPS of SFAA	
7	Recovery Audit Specialists, LLC Recovery Audit [Covered transactions as the Budget and Control Board]	Outside Organization	07/01/2012 to 06/30/15	Obtain from DPS of SFAA	