

|                     |   |                 |            |
|---------------------|---|-----------------|------------|
| <b>AGENCY NAME:</b> | <b>University of South Carolina Upstate</b> |                 |            |
| <b>AGENCY CODE:</b> | <b>H34</b>                                  | <b>SECTION:</b> | <b>20C</b> |

**Fiscal Year 2015-16  
Accountability Report**

**SUBMISSION FORM**

|                       |   |
|-----------------------|---|
| <b>AGENCY MISSION</b> | <p>University of South Carolina Upstate aims to become one of the Southeast’s leading “metropolitan” universities ... a university that acknowledges as its fundamental reason for being its relationship to expanding populations along the I-85 corridor. It aims to be recognized nationally among its peer metropolitan institutions for its excellence in education and commitment to its students, for its involvement in the Upstate, and for the clarity and integrity of its metropolitan mission.</p> <p>As a senior public institution of the University of South Carolina with a comprehensive residential campus in Spartanburg and commuting and degree completion operations at the University Center Greenville, the University’s primary responsibilities are to offer baccalaureate education to the citizens of the Upstate of South Carolina and to offer selected master’s degrees in response to regional demand.</p> <p>USC Upstate strives to prepare its students to participate as responsible citizens in a diverse, global and knowledge-based society, to pursue excellence in their chosen careers and to continue learning throughout life. Curricula and services are designed for the University’s students, four to seven thousand in headcount, who are diverse in background, age, race, ethnicity, educational experience and academic goals. Students are drawn in large proportion from the Upstate where many choose to remain for their careers. A broad range of major curricula are provided in arts and sciences and in professional fields of study required by the regional economy, including business, education, and nursing. Through on-site instruction, distance learning, continuing education and inter-institutional articulation agreements, both traditional students and working professionals are served across the region.</p> <p>Consistent with the international character of the Upstate, the University promotes global perspectives across its programs. Supporting the regional employment objectives of most of its students, it provides extensive experiential learning opportunities.</p> <p>The University’s metropolitan mission rests upon a foundation of partnerships with the education, corporate and service organizations of the Upstate. The faculty provides leadership in promoting the Upstate’s economic, social and cultural development. This is achieved through its teaching, professional and public service, basic and applied scholarship and research, and creative endeavors.</p> |
|-----------------------|---|

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|----------------------|---|
| <b>AGENCY VISION</b> | <p><b>Core Values</b></p> <p>The University’s core values not only serve as the philosophical underpinnings of the institution’s mission, but they serve to govern attitudes, behaviors, and decisions in daily activities among stakeholders. As such, the University of South Carolina Upstate affirms that...</p> <p>PEOPLE come first. We are committed to creating an inclusive environment wherein we respect our differences as we pursue our common academic purposes. Our employees, students, parents and partners are the University’s most valuable assets. We aim to work hard, work smart and always do the right thing. We cooperate and collaborate with colleagues and constituents, aiming to be responsive, flexible, accessible and friendly in our service. We strive to be goodwill ambassadors for the University, and to advance its reputation and its metropolitan mission.</p> <p>STEWARDSHIP of resources is critical to accomplishing the University’s mission. We understand the importance of evaluating and reflecting on our daily activities in order to gain the highest value in return for the University’s and our own professional investments. As employees, we aim to apply principles of honesty and fiscal responsibility in order to conserve student, partner, and University resources as though they were our own.</p> <p>INTEGRITY as an academic institution drives our daily activities. That integrity includes a passion for teaching and learning, and a belief that every employee and student has a right to learn and progress as far as he or she is able. We seek, therefore, to provide a distinctive learning environment that supports and encourages employee growth and personal and professional development.</p> |
|----------------------|---|

Please state yes or no if the agency has any major or minor (internal or external) recommendations that would allow the agency to operate more effectively and efficiently.

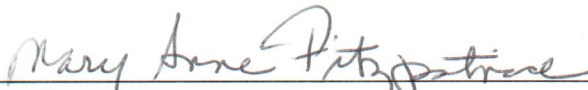
|                                       |    |
|---------------------------------------|----|
| <b>RESTRUCTURING RECOMMENDATIONS:</b> | No |
|---------------------------------------|----|



Please identify your agency’s preferred contacts for this year’s accountability report.

|                           | <u><i>Name</i></u>  | <u><i>Phone</i></u> | <u><i>Email</i></u>          |
|---------------------------|---------------------|---------------------|------------------------------|
| <b>PRIMARY CONTACT:</b>   | Kathleen Brady, PhD | (864) 503-5901      | kbrady@uscupstate.edu        |
| <b>SECONDARY CONTACT:</b> | Sheryl Turner-Watts | (864) 503-5490      | Sturner-watts@uscupstate.edu |

I have reviewed and approved the enclosed FY 2015-16 Accountability Report, which is complete and accurate to the extent of my knowledge.

|                     |                                      |                 |     |
|---------------------|--------------------------------------|-----------------|-----|
| <b>AGENCY NAME:</b> | University of South Carolina Upstate |                 |     |
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|---|--|--|--|
| <b>AGENCY DIRECTOR<br/>(SIGN AND DATE):</b> |  |  |  |
| <b>(TYPE/PRINT NAME):</b>                   | Mary Anne Fitzpatrick, Interim Chancellor  |  |  |

|  |   |   |
|--|---|---|
| <b>BOARD/CMSN CHAIR<br/>(SIGN AND DATE):</b> |  |  |
| <b>(TYPE/PRINT NAME):</b>                    | John C. Von Lehe, Jr.   |   |

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### **AGENCY'S DISCUSSION AND ANALYSIS**

Once again, USC Upstate had a very successful academic year in 2015-2016 as evidenced by both process and outcome measures. A robust assessment of ongoing programs is underway to ensure that they follow best practices to increase efficiency and impact. Several new initiatives have been instituted in the past academic year in accordance with the institution's Strategic Plan. Current foci include search for a permanent Chancellor, revisiting / updating the Strategic Plan, strategically increasing enrollment, realignment of programs and initiatives, and alignment of institutional resources with institutional priorities.

The chart below provides highlights of some of the new initiatives undertaken / completed this past academic year. We expect our trajectory to continue to be positive as evidenced by core metrics (e.g. increased enrollment, increased graduation rates) and other student success, academic excellence, community engagement, and institutional effectiveness metrics. Now more than ever, USC Upstate's has clear relevance to the residents of the Upstate, and we are committed to providing the highest quality educational experience to advance the educational attainment rates of our region.

| <b>OBJECTIVE #</b> | <b>ACTIVITY</b>  |
|--------------------|--|
| OBJECTIVE 1.2      | Completely redesigned student recruitment campaign. The new "Up for Life" slogan was integrated into all print and electronic recruitment efforts.<br>Implemented Upstate Admitted Student Day.  |
| OBJECTIVE 1.3      | Introduced Academic Warning policy to try to identify students who are having problems early on,<br>Began reporting mid-term grades in 2015 to give students early feedback on their performance.<br>Awarded 60 million in financial aid including state, federal and institutional funds.   |
| OBJECTIVE 1.4      | 4 new academic advisors hired under Title 3 grant  |
| OBJECTIVE 1.5      | Student Persistence team now beginning 3rd year of operation addressing persistence issues and putting forth initiatives related to success.   |
| OBJECTIVE 2.1      | Initiated annual Alumni Sharing Knowledge program (ASK) to bring Alumni and freshmen together.   |
| OBJECTIVE 2.3      | Non-Traditional Student lunches provided on Greenville campus twice per semester during the 2015-2016 term, featuring four workshops delivered by Career Services staff.   |
| OBJECTIVES 2.4     | Alumni attend career fairs throughout the academic year.<br>Alumni targeted on CareerLink on Career Services webpage.<br>Alumni meet with Career Services for assistance with job search.  |
| OBJECTIVE 3.1      | Initiated Honors Program Recognition Dinner.   |
| OBJECTIVE 3.2      | Awarded 2015 Summer Faculty Innovation grants totaling \$50,000.   |
| OBJECTIVE 3.4      | SARS maintained 5 grant and award programs supporting student research, provided 6 workshops to mentor faculty and students in proposal development.<br>Hosted 12th annual SC Upstate Research Symposium.<br>Published 8th volume of USC Student Research Journal.<br>Participated in National Science Foundation Research Experiences for Undergraduates Program at Savannah River Ecology Lab. |

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| OBJECTIVE 3.5              | Theater Program designated one of Five Best in Fest performances at the International Youth Arts Festival in London in 2015 and is now a nationally and internationally recognized program.  |
| OBJECTIVE 4.1              | Continued Active Learning Faculty Fellows program (3 <sup>rd</sup> cohort) and added 2 new active learning classrooms in summer of 2016.<br>Enrolled 500 dual enrollment students, teaching courses on campus and off-site at Dorman and Byrnes High Schools.<br>Promoted growth of Direct Connect programs, partnering with 6 two-year colleges.<br>In 2015-2016, web 100% courses have increased by 22 with an additional 628 enrollment.<br>Palmetto college courses increased by 6 with an additional 41 enrollment. |
| OBJECTIVE 4.2              | Created new degree programs in Palmetto College: BA in IMS – launching Fall 2016 and BA in HI – launching Spring 2017.   |
| OBJECTIVE 5.2              | Completed staff salary analysis and implemented increases totaling approximately \$100,000 to mitigate issues of salary compression.   |
| OBJECTIVE 5.3              | Increased TAPS budget from \$125,000 to \$150,000 for 2015-2016 and to \$157,000 for 2016-2017.  |
| OBJECTIVE 5.4              | Added 4 new full-time instructor lines for 2016-2017.  |
| OBJECTIVE 6.2              | Achieved accreditation of newly established Masters of Science in Nursing – Clinical Nurse Leader by CCNE (Commission on Collegiate Nursing Education).  |
| OBJECTIVE 7.1              | Responsibility for pursuing Carnegie Classification in community engagement assigned to Associate Director of Metropolitan Engagement.   |
| OBJECTIVE 3.3, 4.4 AND 7.2 | Established Office of Service-Learning and Community Engagement. Total number of S-L courses since Fall 2015: 43 classes with total enrollment estimated between 500-600 students.<br>Established Peace Corps Prep Program – first university in SC to do so.  |
| OBJECTIVE 7.3              | New degree programs created to address community demand, in approval process to be offered Fall 2017: BS in Advanced Manufacturing Management, Urban and Regional Studies, BA in Health Informatics in approval process to be offered Spring 2017.<br>Child Advocacy Training Center provided Compassionate Schools training to school district leadership throughout Spartanburg County.<br>Hosted the College and Career Readiness Showcase for 6,000 Spartanburg County eighth graders.                               |
| OBJECTIVE 8.1              | MSI contracts paid \$30,000 with additional \$80,000 pro bono.<br>MSI obtained evaluation contract from Georgia Health Policy Center at \$10,000+ per year for 2 years (2016-2017 and 2017-2018).<br>MSI continues to be the data partner for Spartanburg’s Way to Wellville.<br>MSI data partner on core team winning RWJF Culture of Health Prize.   |
| OBJECTIVE 8.2              | A part-time research associate added to MSI staff via shifted responsibilities in an existing position.  |
| OBJECTIVE 8.3              | MSI produced reports on “The Status of Civic Health” and “The Status of Public Health” for Spartanburg County.   |
| OBJECTIVE 9.1              | Student attendance at athletic events improved by 10%.<br>Hired a full time athletics Marketing Director.  |
| OBJECTIVE 9.2              | Supporters donated \$200,000 in additional funds for video boards for softball and soccer facilities.  |
| OBJECTIVE 10.2             | Implemented PeopleSoft to support purchasing and budgeting operations.   |

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|----------------|--|
|                | IT created numerous new forms, databases and SharePoint sites to support academic and administrative programs and functions.<br>Moved all campus reservations and office check-in to SharePoint to eliminate paper and allow for better reporting and follow up.<br>On-line "Chat" sessions implemented to give prospects an opportunity to gain information from admissions counselors. |
| OBJECTIVE 10.3 | Stamats (higher education marketing firm) created a new slogan and marketing campaign for fall 2016. Being implemented in all student recruitment material in print and electronic publications. Brand book has been completed to help with consistency in the Upstate message.<br>Web development project is underway for fall 2017.  |
| OBJECTIVE 11.1 | Addressed issues of salary compression and made adjustments.   |
| OBJECTIVE 11.2 | Implemented the LEAD program with 2 supervisors completing.  |
| OBJECTIVE 13.1 | Initiated development of interactive data tools that provide both tabular and visual data.   |
| OBJECTIVE 13.2 | Initiated development of a web-based data archiving system for program assessment.   |
| OBJECTIVE 13.3 | Student Opinion Polls converted to electronic format.  |
| OBJECTIVE 13.4 | Consolidated budget management staff to eliminate duplication and increase efficiency.<br>Reengineered the internal process for onboarding, utilizing technology where possible.<br>HR took over background checks and improved turnaround time by 2/3.  |
| OBJECTIVE 14.1 | Development of space management process is underway - to be completed 2016-2017.   |
| OBJECTIVE 14.2 | Paid off landscaping service building early via additional revenues from enrollment increase.<br>Initiated dish room renovations.<br>Refinanced the loan on "The George" (School of Business building) to ensure that the financial plan is sustainable.<br>Executed lease for downtown Greenville property.   |
| OBJECTIVE 14.3 | Campus Police awarded CALEA certification to become an accredited policing agency.<br>Active Shooter Training was delivered to all departments and locations.  |
| OBJECTIVE 15.1 | Appointed first Director of African-American Studies.  |

IN ADDITION TO THESE ACCOMPLISHMENTS,

- UNIVERSITY FUND BALANCES INCREASED
- THE UNIVERSITY COLLECTED APPROXIMATELY \$233,000 AFTER WRITE OFFS. THIS REPRESENTS APPROXIMATELY 42% OF TOTAL WRITE OFFS AND AN INCREASE OF APPROXIMATELY \$23,000 OVER 2014-2015.
- THE UNIVERSITY RECEIVED THE ARBOR DAY FOUNDATION TREE CAMPUS USA AWARD TO THE 8<sup>TH</sup> CONSECUTIVE YEAR AND THE NATIONAL SOFTBALL FIELD OF THE YEAR AWARD FROM SPORTS TURF MANAGERS ASSOCIATION.

**RISK ASSESSMENT AND MITIGATION STRATEGIES:**

USC UPSTATE CONTINUES TO GROW AND THRIVE IN THE UPSTATE OF SOUTH CAROLINA AS EVIDENCED BY INCREASED APPLICATIONS, ENROLLMENT, ANNUAL GRADUATION, PARTNERSHIPS, COMMUNITY ENGAGEMENT, AND PROGRAMMING. IN THE UNLIKELY EVENT THAT UPSTATE FAILS TO ACHIEVE ITS GOALS AND OBJECTIVES, OPPORTUNITIES FOR HIGH QUALITY PUBLIC POST-SECONDARY EDUCATION AT THE BACCALAUREATE AND GRADUATE LEVEL WILL BE SEVERELY CURTAILED IN THE UPSTATE OF SOUTH CAROLINA. THE IMPACT ON THE ECONOMY AND THE QUALITY OF LIFE IN OUR REGION OF THE STATE WOULD BE CATASTROPHIC.

EXTREMELY LOW LEVELS OF STATE FUNDING CONTINUE TO BE THE GREATEST THREAT TO BOTH GROWTH AND SUSTAINING ONGOING OPERATIONS OF USC UPSTATE. STATE FUNDING HAS DECREASED WHILE COSTS HAVE RISEN, AND STUDENTS BEAR MOST OF THE

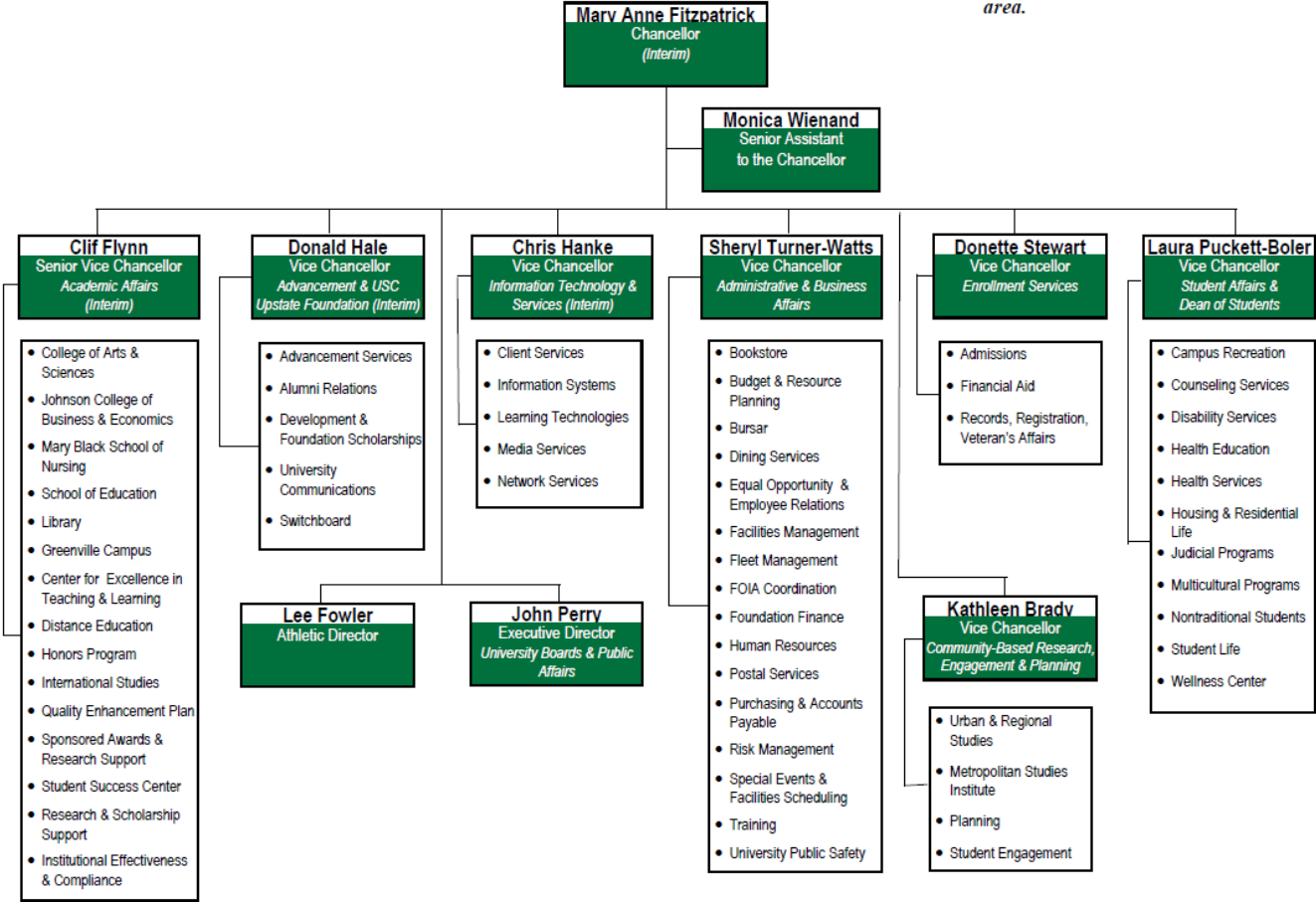
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RESULTING FINANCIAL BURDEN. IF WE CONTINUE ON OUR CURRENT TRAJECTORY, THE RISK IS THAT, AT SOME TIPPING POINT, PUBLIC POST-SECONDARY EDUCATION IN THE UPSTATE WILL NO LONGER BE AFFORDABLE FOR MOST OF OUR RESIDENTS. THIS RISK IS ONE THAT THE GENERAL ASSEMBLY COULD RESOLVE BEFORE IT BECOMES A CRISIS.

**RESTRUCTURING RECOMMENDATIONS:**  
NONE.

**University of South Carolina Upstate**  
(Revised 08.03.16)

*Click a department heading to view a detailed org chart of that area.*



AGENCY NAME:

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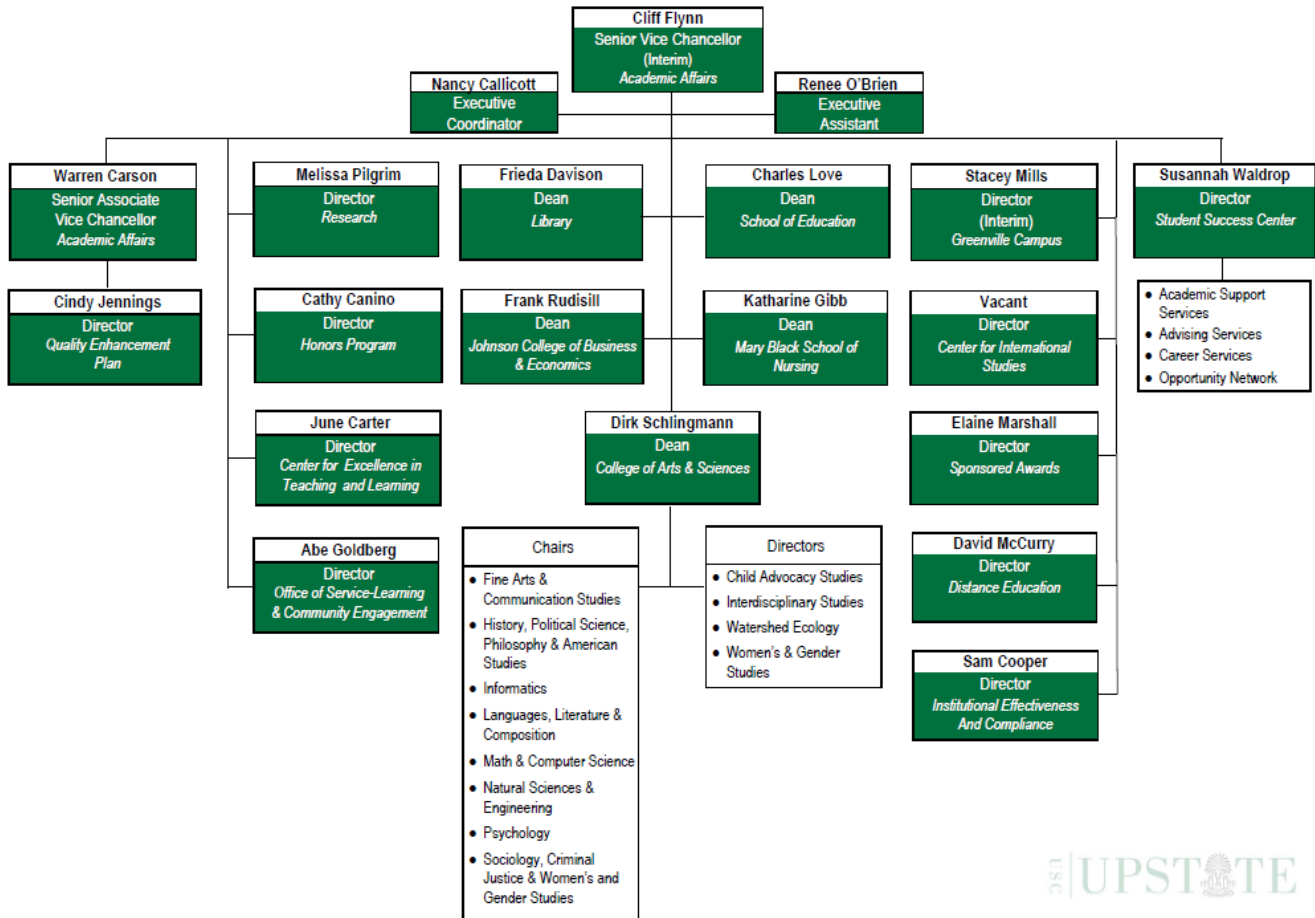
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### Academic Affairs

(Revised 06.08.16)

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Agency Name: University of South Carolina Upstate

Fiscal Year 2016-17  
Accountability Report

Agency Code: H34

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Strategic Planning Template

| Type | Goal | Item #<br>Strat | Object | Associated Enterprise Objective            | Description   |
|------|------|-----------------|--------|--|---|
| G    | 1    |                 |        | Education, Training, and Human Development | Student Success - We will recruit, retain, and graduate increasing numbers of well-prepared students            |
| S    |      | 1.1             |        |  | Increase the student population and persistence through graduation  |
| O    |      |                 | 1.1.1  |  | Develop an accurate, predictive statistical model for enrollment management                                     |
| O    |      |                 | 1.1.2  |  | Create a targeted marketing plan for all students, including adult and nontraditional students                  |
| O    |      |                 | 1.1.3  |  | Design and implement aggressive interventions to improve persistence across all student populations             |
| O    |      |                 | 1.1.4  |  | Implement best practices in student advising  |
| O    |      |                 | 1.1.5  |  | Build a culture of student success  |
| S    |      | 1.2             |        |  | Integrate Career Planning and Placement into campus culture   |
| O    |      |                 | 1.2.1  |  | Engage first-year students in career planning activities  |
| O    |      |                 | 1.2.2  |  | Expand and coordinate opportunities for internships and apprenticeships   |
| O    |      |                 | 1.2.3  |  | Provide career services on the Greenville campus  |
| O    |      |                 | 1.2.4  |  | Offer career placement services to alumni   |
| G    | 2    |                 |        | Education, Training, and Human Development | Excellence in Teaching and Learning - We will continually improve the quality of the educational experience     |
| S    |      | 2.1             |        |  | Increase the capacity and effectiveness of academic enrichment programs   |
| O    |      |                 | 2.1.1  |  | Enhance and expand the honors program   |
| O    |      |                 | 2.1.2  |  | Faculty and staff members will develop new interdisciplinary and cross-divisional courses and programs          |
| O    |      |                 | 2.1.3  |  | Establish service learning as a signature experience for Upstate students on all campuses                       |
| O    |      |                 | 2.1.4  |  | Increase support for, and student participation in, research  |
| O    |      |                 | 2.1.5  |  | Increase study abroad opportunities and participation   |
| S    |      | 2.2             |        |  | Align instruction with the needs of 21st Century learners   |
| O    |      |                 | 2.2.1  |  | Incorporate new and emerging instructional models that complement traditional teaching methods                  |
| O    |      |                 | 2.2.2  |  | Increase the number of distance education offerings that support degree completion                              |
| O    |      |                 | 2.2.3  |  | Strengthen and coordinate co-curricular programming through increased faculty/staff collaboration               |
| O    |      |                 | 2.2.4  |  | Align student experiences and curricula to enhance success after graduation                                     |
| S    |      | 2.3             |        |  | Increase opportunities and support for faculty and staff professional development                               |
| O    |      |                 | 2.3.1  |  | Expand the Center for Excellence in Teaching and Learning (CETL) to a full-service program serving all campuses |
| O    |      |                 | 2.3.2  |  | Ensure fair and competitive salaries for faculty and staff, and address issues of salary compression            |
| O    |      |                 | 2.3.3  |  | Increase support for research and creative activities   |
| S    |      | 2.4             |        |  | Enhance the curriculum  |
| O    |      |                 | 2.4.1  |  | Identify leadership for graduate studies  |
| O    |      |                 | 2.4.2  |  | Identify opportunities for expanded delivery of current graduate programs and additional graduate programs      |
| O    |      |                 | 2.4.3  |  | Ensure an adequate number of qualified faculty to guarantee quality and integrity across all programs           |

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Strategic Planning Template

| Type | Goal | Item #<br>Strat | Object | Associated Enterprise Objective                | Description   |
|------|------|-----------------|--------|--|---|
| G    | 3    |                 |        | Public Infrastructure and Economic Development | Community Engagement - We will strengthen our connections and relationships with businesses,        |
| S    |      | 3.1             |        |  | Increase community engagement   |
| O    |      |                 | 3.1.1  |  | Pursue Carnegie Classification in community engagement  |
| O    |      |                 | 3.1.2  |  | Create an organizational structure to coordinate community engagement activities                    |
| O    |      |                 | 3.1.3  |  | Increase collaboration with businesses and organizations in our region                              |
| S    |      | 3.2             |        |  | Expand the reach and impact of community-based research   |
| O    |      |                 | 3.2.1  |  | Increase the number of research contracts through the Metropolitan Studies Institute                |
| O    |      |                 | 3.2.2  |  | Increase support for community-based research   |
| O    |      |                 | 3.2.3  |  | Further develop Spartanburg Community Indicators data analysis and reporting                        |
| S    |      | 3.3             |        |  | Expand the reach and impact of University athletic programs in the Upstate                          |
| O    |      |                 | 3.3.1  |  | Increase attendance at athletic events  |
| O    |      |                 | 3.3.2  |  | Increase community support for all programs   |
| O    |      |                 | 3.3.3  |  | Increase awareness of athletics as a part of the educational experience and campus environ          |
| G    | 4    |                 |        | Government and Citizens                        | Institutional Effectiveness - We will achieve new efficiencies and effectiveness through care asse  |
| S    |      | 4.1             |        |  | Improve internal and external communication   |
| O    |      |                 | 4.1.1  |  | Institute regular "town hall" events  |
| O    |      |                 | 4.1.2  |  | Expand the use of current technologies to expedite the flow of information                          |
| O    |      |                 | 4.1.3  |  | Complete a comprehensive and strategic marketing campaign to enhance the University's v             |
| O    |      |                 | 4.1.4  |  | Increase media coverage of University events and achievements                                       |
| S    |      | 4.2             |        |  | Support staff development   |
| O    |      |                 | 4.2.1  |  | Address issues of staff salary compression  |
| O    |      |                 | 4.2.2  |  | Increase opportunities and support for staff development  |
| S    |      | 4.3             |        |  | Increase institutional revenue  |
| O    |      |                 | 4.3.1  |  | Seek parity in state funding  |
| O    |      |                 | 4.3.2  |  | Increase grant revenue  |
| O    |      |                 | 4.3.3  |  | Create a culture of philanthropy  |
| O    |      |                 | 4.3.4  |  | Increase significantly the giving from alumni, community, faculty and staff, parents, corpora       |
| O    |      |                 | 4.3.5  |  | Build the USC Upstate Foundation into an admired fundraising force for the University               |
| S    |      | 4.4             |        |  | Foster a culture of assessment and continuous improvement throughout the University                 |
| O    |      |                 | 4.4.1  |  | Institutionalize data-driven decision-making  |
| O    |      |                 | 4.4.2  |  | Provide accurate and meaningful assessment data that are easily accessible and used to im           |
| O    |      |                 | 4.4.3  |  | Expand the use of technologies to improve efficiency and effectiveness across the University        |
| O    |      |                 | 4.4.4  |  | Build a culture of continuous improvement   |
| S    |      | 4.5             |        |  | Provide facilities that support a rich academic, residential, athletic, co-curricular, and sustaini |

Agency Name: University of South Carolina Upstate

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Strategic Planning Template

| Type | Goal | Item #<br>Strat | Object | Associated Enterprise Objective                             | Description   |
|------|------|-----------------|--------|---|---|
| O    |      |                 | 4.5.1  |   | <i>Develop a space management process</i>   |
| O    |      |                 | 4.5.2  |   | <i>Maintain a capital request process to support planning and prioritization of capital projects.</i> |
| O    |      |                 | 4.5.3  |   | <i>Provide a safe and secure environment</i>  |
| S    |      | 4.6             |        | <b>Strengthen the institution's commitment to diversity</b> |   |
| O    |      |                 | 4.6.1  |   | <i>Increase / enhance diversity programming and service activities</i>                                |
| O    |      |                 | 4.6.2  |   | <i>Build cohesion of diversity efforts</i>  |
| O    |      |                 | 4.6.3  |   | <i>Renew ties with minority communities in our service areas</i>                                      |

Agency Name: University of South Carolina Upstate

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Performance Measurement Template

| Item | Performance Measure                             | Target Value | Actual Value | Future Target Value | Time Applicable | Data Source and Availability  | Calculation Method  | Associated Objective(s)  |
|------|---|--------------|--------------|---------------------|-----------------|---|---|--|
| 1    | 4-year graduation rate                          | 26%          | 26%          | 30%                 | 30 June, 2016   | IPEDS 2015-2016, page 7   | From raw data: percentage of freshmen who graduate in 4 years                         | 1.1.1, 1.1.2, 1.1.3, 1.1.4, 1.1.5, 1.2.1, 1.2.2, 1.2.3, 2.1.1, 2.1.2, 2.1.3, 2.1.4, 2.1.5, 2.2.1, 2.2.2, 2.2.3, 2.2.4, 2.3.1, 2.4.3, 4.6.1 |
| 2    | 6-year graduation rate                          | 45%          | 40%          | 45%                 | 30 June, 2016   | IPEDS 2015-2016, page 7   | From raw data: percentage of freshmen who graduate in 6 years                         | 1.1.1, 1.1.2, 1.1.3, 1.1.4, 1.1.5, 1.2.1, 1.2.2, 1.2.3, 2.1.1, 2.1.2, 2.1.3, 2.1.4, 2.1.5, 2.2.1, 2.2.2, 2.2.3, 2.2.4, 2.3.1, 2.4.3, 4.6.1 |
| 3    | 1st year retention rate (freshman to sophomore) | 70%          | 69%          | 72%                 | 30 June, 2016   | IPEDS 15-16 Enrollment data on page 15                              | From raw data: percentage of freshmen who enroll in the Sophomore year (fall to fall) | 1.1.1, 1.1.3, 1.1.4, 1.1.5, 2.1.1, 2.1.2, 2.1.3, 2.1.4, 2.1.5, 2.2.1, 2.2.2, 2.2.3, 2.2.4, 2.3.1, 2.3.2, 4.4.1, 4.6.1                      |
| 4    | Transfer student retention rate                 | 70%          | 63%          | 67%                 | 30 June, 2016   | Cognos:   | Fall 2015 enrollments divided by Fall 2014 enrollments                                | 1.1.1, 1.1.3, 1.1.4, 1.1.5, 2.1.1, 2.1.2, 2.1.3, 2.1.4, 2.1.5, 2.2.1, 2.2.2, 2.2.3, 2.2.4, 2.3.1, 2.3.2, 4.4.1, 4.6.1                      |
| 5    | Degrees Awarded - Baccalaureate                 | 1,170        | 1,168        | 1,175               | 30 June, 2016   | IPEDS 2014-2015   | From raw data: Number of degrees awarded (graduate)                                   | 1.1.1, 1.1.3, 1.1.4, 1.1.5, 2.4.1, 2.4.2, 2.4.3  |
| 6    | Degrees Awarded - Graduate                      | 12           | 11           | 15                  | 30 June, 2016   | IPEDS 2014-2015   | From raw data: Number of degrees awarded (graduate)                                   | 2.4.1, 2.4.2, 2.4.3  |
| 7    | Full time faculty                               | 212          | 194          | 200                 | 30 June, 2016   | HR IPEDS 2014-2015 – Reported as of Nov 1, 2015... Page 10, Part A3 | Number slotted faculty who teach a "4/4" courseload                                   | 2.3.21, 2.3.2, 2.3.3, 4.1.3, 4.3.1, 4.3.2, 4.3.4, 2.1.2  |
| 8    | Full time staff                                 | 340          | 339          | 340                 | 30 June, 2016   | HR IPEDS 2014-2015 – Reported as of Nov 1, 2015... Page 18          | Number non-faculty personnel occupying slotted positions, 30+ hours per week          | 4.2.1, 4.2.2, 4.3.1, 4.4.3, 4.4.4  |
| 9    | minorities - % of faculty                       | 20%          | 16%          | 20%                 | 30 June, 2016   | HR IPEDS 2014-2015 – Reported as of Nov 1, 2015, Page 10, Part A3   | All non-white faculty who report race as a percentage of the faculty who report race  | 4.6.1, 4.6.2, 4.6.3  |
| 10   | minority enrollment                             | 35%          | 36%          | 35%                 | 30 June, 2016   | IPEDS 2014-2015 Enrollment, Part A, page 24                         | All non-white students who report race as a percentage of students who report race    | 1.1.2, 4.6.1, 4.6.2, 4.6.3   |

|    |                       |       |       |       |               |   |   |   |
|----|-----------------------|-------|-------|-------|---------------|---|---|---|
| 11 | total headcount       | 6,000 | 5,585 | 6,000 | 30 June, 2016 | IPEDS 2014-2015 Enrollment, Part A, page 24 | All students taking at least one credit hour  | 1.1.1, 1.1.2, 1.1.5, 2.1.1, 2.1.2, 2.2.1, 2.2.2, 2.2.3, 2.2.4 |
| 12 | Greenville campus FTE | 600   | 497   | 500   | 30 June, 2016 | Cognos / Banner                             | Total credit hours produced at UCG, divided by 15 (full-time credit hours)            | 1.2.3, 4.4.4, 4.4.3, 4.1.3, 3.1.3                             |
| 13 | Tuition - % increase  | 3%    | 3%    | 3%    | 30 June, 2016 | University Business Affairs updat           | Tuition for 2015-2016 \$5184 – Tuition for 2014-2015 \$5,034 = \$150 / 5,034 = 0.0297 | 1.1.1, 4.4.1, 4.4.3,  |

Agency Name: University of South Carolina Upstate

Fiscal Year 2015-16  
Accountability Report

Agency Code: H34

Section: 20C

Program Template

| Program/Title          | Purpose  | FY 2015-16 Expenditures (Actual) |               |               |               | FY 2016-17 Expenditures (Projected) |               |               |               | Associated Objective(s) |
|------------------------|--|----------------------------------|---------------|---------------|---------------|-------------------------------------|---------------|---------------|---------------|-------------------------|
|                        |  | General                          | Other         | Federal       | TOTAL         | General                             | Other         | Federal       | TOTAL         |                         |
| I.A. Unrestricted E&G  | Activities that directly support the primary mission of the University to educate the state's diverse citizens through teaching, research and creative activity and service. Current fund resources received by an institution that have no limitations or stipulations placed on them by external agencies or donors, and that have not been set aside for loans, endowments, or plant. These resources are normally derived from state appropriations, student fees, and institutional revenues. | \$ 8,135,988                     | \$ 27,497,192 | \$ -          | \$ 35,633,180 | \$ 8,831,864                        | \$ 42,043,675 | \$ -          | \$ 50,875,539 |                         |
| I.B. Restricted E&G    | Activities that directly support the primary mission of the University to educate the state's diverse citizens through teaching, research and creative activity and service. Current fund resources received by an institution that have limitations or stipulations placed on their use by external agencies or donors. These resources are normally derived from gifts, grants, and contracts and used predominantly for research and student scholarship activities.                            | \$ -                             | \$ 11,118,704 | \$ 12,975,086 | \$ 24,093,790 | \$ -                                | \$ 11,445,787 | \$ 14,469,524 | \$ 25,915,311 |                         |
| II. Auxiliary Services | Self-supporting activities that exist to furnish goods and services to students, faculty, or staff, and charge a fee directly related to the cost of the goods or services. These activities include student health, student housing, food service, bookstore, vending and concessions, athletics, parking, and other services.  | \$ -                             | \$ 3,708,978  | \$ -          | \$ 3,708,978  | \$ -                                | \$ 4,253,487  | \$ -          | \$ 4,253,487  |                         |

Agency Name: University of South Carolina Upstate

Fiscal Year 2015-16  
Accountability Report

Agency Code: H34

Section: 20C

Program Template

| Program/Title   | Purpose  | FY 2015-16 Expenditures (Actual) |               |               |               | FY 2016-17 Expenditures (Projected) |               |               |               | Associated Objective(s) |
|---|--|----------------------------------|---------------|---------------|---------------|-------------------------------------|---------------|---------------|---------------|-------------------------|
|   |  | General                          | Other         | Federal       | TOTAL         | General                             | Other         | Federal       | TOTAL         |                         |
| III. C. Employee Benefits: State Employer Contributions | Fringe Benefits associated with Salaries reflected in the figures and categories above. Includes State Retirement, FICA, State Health Plan Premiums, Unemployment Compensation and Workers Compensation.   | \$ 2,183,160                     | \$ 9,509,454  | \$ 212,804    | \$ 11,905,418 | \$ 2,274,064                        | \$ 10,633,193 | \$ 281,314    | \$ 13,188,571 |                         |
| 0   |  | 0                                | -             | -             | -             | -                                   | -             | -             | -             |                         |
| 0   | Total  | \$ 10,319,148                    | \$ 51,834,328 | \$ 13,187,890 | \$ 75,341,366 | \$ 11,105,928                       | \$ 68,376,142 | \$ 14,750,838 | \$ 94,232,908 |                         |
|   |  |                                  |               |               | \$ -          |                                     |               |               | \$ -          |                         |
|   | FY 2015-16 expenditures reported as prepared for Comprehensive Annual Financial Report. FY16 financials are still under review and not final as of the due date for this report. Year-end Financial Statements will be provided to Comptroller General by no later than October 1 in accordance with Proviso 117.58 of the FY17 State Appropriation Act. |                                  |               |               | \$ -          |                                     |               |               | \$ -          |                         |
|   | FY 2016-17 projected based on FY 2016-17 State Appropriation Act.  |                                  |               |               | \$ -          |                                     |               |               | \$ -          |                         |

**Agency Name:** University of South Carolina Upstate

**Fiscal Year 2015-16  
Accountability Report**

**Agency Code:** H34      **Section:** 20C

**Legal Standards Template**

| Item # | Law Number                                    | Jurisdiction | Type of Law | Statutory Requirement and/or Authority Granted   | Associated Program(s)                          |
|--------|---|--------------|-------------|--|--|
| 1      | Act No. 36 of the 1967 Session of the General | State        | Statute     | Establishment of the Spartanburg County Commission for Higher Education. Grants authority                                      | I.A. Unrestricted E&G;                         |
| 2      | § 1-1-810 of SC Code of Laws                  | State        | Statute     | Annual accountability report to be submitted to the Governor and General Assembly  | I.A. Unrestricted E&G;                         |
| 3      | § 1-1-820 of SC Code of Laws                  | State        | Statute     | Required content of annual accountability reports  | I.A. Unrestricted E&G;                         |
| 4      | § 1-1-550 of SC Code of Laws                  | State        | Statute     | Qualified honorably discharged veterans have preference for employment   | I.A. Unrestricted E&G;                         |
| 5      | § 11-35 of SC Code of Laws                    | State        | Statute     | Specifies procedures for procurement of goods and services   | I.A. Unrestricted E&G;                         |
| 6      | § 59-26 of SC Code of Laws                    | State        | Statute     | Establishes requirements and standards relating to the teacher education programs  | I.A. Unrestricted E&G;                         |
| 7      | § 59-101 of SC Code of Laws                   | State        | Statute     | Statutory recognition of colleges and institutions of higher learning  | I.A. Unrestricted E&G;                         |
| 8      | § 59-102 of SC Code of Laws                   | State        | Statute     | Statutory requirements for student athletes  | I.A. Unrestricted E&G;                         |
| 9      | § 59-103 of SC Code of Laws                   | State        | Statute     | Establishment of the State Commission on Higher Education with authority over public   | I.A. Unrestricted E&G;                         |
| 10     | § 59-104 of SC Code of Laws                   | State        | Statute     | Meeting of admissions pre-requisites, Palmetto Scholarship program, competitive grants,  | I.A. Unrestricted E&G;                         |
| 11     | § 59-105 of SC Code of Laws                   | State        | Statute     | Statutory requirements for a comprehensive sexual assault policy to address prevention and                                     | I.A. Unrestricted E&G;                         |
| 12     | § 59-106 of SC Code of Laws                   | State        | Statute     | Statutory requirements for inclusion of information regarding registered sex offenders in                                      | I.A. Unrestricted E&G;                         |
| 13     | § 59-107 of SC Code of Laws                   | State        | Statute     | Statutory requirements regarding remittance and application of tuition fees for permanent                                      | I.A. Unrestricted E&G;                         |
| 14     | § 59-110 of SC Code of Laws                   | State        | Statute     | Statutory requirements for incentives to retain and attract new nurse faculty and to provide                                   | I.A. Unrestricted E&G;                         |
| 15     | § 59-111 of SC Code of Laws                   | State        | Statute     | State scholarships and loan programs   | I.A. Unrestricted E&G;                         |
| 16     | § 59-112 of SC Code of Laws                   | State        | Statute     | Requirements for determination of tuition and fee rates and abatements   | I.A. Unrestricted E&G;                         |
| 17     | § 59-113 of SC Code of Laws                   | State        | Statute     | Requirements for tuition grants  | I.A. Unrestricted E&G;                         |
| 18     | § 59-114 of SC Code of Laws                   | State        | Statute     | National Guard College Assistance requirements   | I.A. Unrestricted E&G;                         |
| 19     | § 59-115 of SC Code of Laws                   | State        | Statute     | Authority and requirements for state student loans   | I.A. Unrestricted E&G;                         |
| 20     | § 59-116 of SC Code of Laws                   | State        | Statute     | Authority and requirements for campus police   | I.A. Unrestricted E&G;                         |
| 21     | § 59-117 of SC Code of Laws                   | State        | Statute     | Statutory authority for the University of South Carolina and its governance by a board of                                      | I.A. Unrestricted E&G;                         |
| 22     | § 59-118 of SC Code of Laws                   | State        | Statute     | Statutory requirements over academic endowments  | I.B. Restricted E&G                            |
| 23     | § 59-142 of SC Code of Laws                   | State        | Statute     | Statutory authority and requirements for state need-based grants   | I.A. Unrestricted E&G;                         |
| 24     | § 59-143 of SC Code of Laws                   | State        | Statute     | Establishment of a state educational endowment to fund grants and scholarship programs   | I.B. Restricted E&G                            |
| 25     | § 59-147 of SC Code of Laws                   | State        | Statute     | Requirements for higher education revenue bonds  | I.A. Unrestricted E&G;                         |
| 26     | § 59-149 of SC Code of Laws                   | State        | Statute     | Authorization and requirements for the LIFE Scholarship program  | I.B. Restricted E&G                            |
| 27     | § 59-150 of SC Code of Laws                   | State        | Statute     | Use of Lottery funds in support of higher education  | I.B. Restricted E&G                            |
| 28     | § 9-1 of SC Code of Laws                      | State        | Statute     | Retirement system for employees  | I.A. Unrestricted E&G;                         |
| 29     | § 13-17 of SC Code of Laws                    | State        | Statute     | Establishment and requirements of the South Carolina Research Authority to facilitate  | I.A. Unrestricted E&G;                         |
| 30     | 119 Code of State Regulations                 | State        | Regulation  | Regulations for drivers of vehicles on campuses of the University of South Carolina System;                                    | I.A. Unrestricted E&G;                         |
| 31     | § 48-52-620 of SC Code of Laws                | State        | Statute     | Requires public colleges and universities to develop energy conservation plans and to reduce energy consumption by 20% by 2020 | I.A. Unrestricted E&G;<br>I.B. Restricted E&G; |
| 32     | § 44-96-80 and § 44-96-140 of SC Code of      | State        | Statute     | Requires the submission of an annual report of recycling data to be submitted to DHEC  | I.A. Unrestricted E&G;                         |
| 33     | 62 Code of State Regulations, Sec. 300-375    | State        | Regulation  | State Regulation promulgated for Palmetto Fellows Scholarship Program  | I.B. Restricted E&G                            |
| 34     | 62 Code of State Regulations, Sec.450-505     | State        | Regulation  | State Regulation promulgated for the SC Need-based Grant Program for public institutions                                       | I.B. Restricted E&G                            |
| 35     | 62 Code of State Regulations, Sec.600-612     | State        | Regulation  | State Regulation promulgated for the determination of rates of tuition and fees (State   | I.A. Unrestricted E&G;                         |



|    |  |         |            |   |                        |
|----|--|---------|------------|---|------------------------|
| 36 | 62 Code of State Regulations, Sec.700-750      | State   | Regulation | State Regulation for Performance Standards and Funding and the Reduction, Expansion,                    | I.A. Unrestricted E&G; |
| 37 | 62 Code of State Regulations, Sec.900.85-      | State   | Regulation | State Regulation promulgated for the administration of the SC HOPE scholarship program                  | I.B. Restricted E&G    |
| 38 | 62 Code of State Regulations, Sec.1100-        | State   | Regulation | State Regulation promulgated for oversight and administration of the program for free tuition           | I.A. Unrestricted E&G; |
| 39 | 62 Code of State Regulations, Sec.1200.1-70    | State   | Regulation | State Regulation promulgated for the administration of the LIFE Scholarship and LIFE                    | I.A. Unrestricted E&G; |
| 40 | OSH Act of 1970                                | Federal | Regulation | Requirements to provide a safe and healthful workplace free of serious recognized hazards               | I.A. Unrestricted E&G; |
| 41 | 20 USC 1094, Section 487(a)(17)                | Federal | Regulation | Mandates the completion of IPEDS surveys in a timely and accurate manner for institutions               | I.A. Unrestricted E&G; |
| 42 | P.L. 109-270, Section 421(a)(1)                | Federal | Regulation | Mandates the collection and reporting of racial and ethnic data of students to the Department           | I.A. Unrestricted E&G; |
| 43 | 29 C.F.R. 1602, subparts O, P, and Q           | Federal | Regulation | Mandates the collection and reporting of racial and ethnic data for Institutional staff to the          | I.A. Unrestricted E&G; |
| 44 | 34 C.F.R. Part 106.9                           | Federal | Regulation | Requirements to ensure nondiscrimination on the basis of sex of students and employees of               | I.A. Unrestricted E&G; |
| 45 | 34 C.F.R. 668.41(c)                            | Federal | Regulation | Requires an annual notice identifying the availability of institutional and financial aid               | I.A. Unrestricted E&G; |
| 46 | 34 C.F.R. 668 Sections 43-44                   | Federal | Regulation | Must make available to prospective and enrolled students information regarding how and                  | I.A. Unrestricted E&G; |
| 47 | 34 C.F.R. 668.41 (a)-(d), 34 C.F.R. 668.42, 34 | Federal | Regulation | Requires institutions to make available to prospective and enrolled students information about          | I.A. Unrestricted E&G; |
| 48 | 34 C.F.R. 668.40                               | Federal | Regulation | Requirement that students be notified that drug law violations will result in a loss of eligibility for | I.A. Unrestricted E&G; |
| 49 | 34 C.F.R. 668.41(c), 34 C.F.R. Part 99         | Federal | Regulation | Requirement to inform students of their rights to privacy of student records, what information          | I.A. Unrestricted E&G; |
| 50 | 20 U.S.C. 1015a(i)(1)(V)                       | Federal | Statute    | Requirement to post specified items on the U.S. Department of Education's College Navigator             | I.A. Unrestricted E&G; |
| 51 | 34 C.F.R. 668.41(a)-(d), 34 C.F.R. 668.43      | Federal | Regulation | Requirement to make available to prospective and enrolled students information about                    | I.A. Unrestricted E&G; |
| 52 | HEOA Sec. 488(a)(1)(E)                         | Federal | Statute    | Requires institutions to make available to prospective and enrolled students information about          | I.A. Unrestricted E&G; |
| 53 | 34 C.F.R. 668.41(a) (d), 34 C.F.R. 668.43      | Federal | Regulation | Requires institutions to make available to prospective and enrolled students information about          | I.A. Unrestricted E&G; |
| 54 | 20 U.S.C. 1015a(a), 20 U.S.C. 1015a(h))        | Federal | Statute    | Requires institutions to make available on their websites a net price calculator                        | I.A. Unrestricted E&G; |
| 55 | 34 C.F.R. 668.41(a)-(d), 34 C.F.R. 668.43      | Federal | Regulation | Requires institutions to make available to prospective and enrolled students information                | I.A. Unrestricted E&G; |
| 56 | HEOA Sec. 112                                  | Federal | Statute    | Requires that the ISBN and retail price information of required and recommended textbooks               | I.A. Unrestricted E&G; |
| 57 | 34 CFR 668.41(a)-(d), 34 CFR 668.43            | Federal | Statute    | Requires the institution to make available to prospective and enrolled students information             | I.A. Unrestricted E&G; |
| 58 | HEOA Sec. 488(g)                               | Federal | Statute    | Requires the institution to publicly disclose information about the institution's policies              | I.A. Unrestricted E&G; |
| 59 | 34 C.F.R. 668.41(a)-(d), 34 C.F.R. 668.43      | Federal | Regulation | Requires the institution to make available to prospective and enrolled students the names of            | I.A. Unrestricted E&G  |
| 60 | HEOA Sec. 488(a)(1)(E)                         | Federal | Statute    | Requires the Institution to annually make available to current and prospective students the             | I.A. Unrestricted E&G; |
| 61 | HEOA Sec. 201                                  | Federal | Statute    | Requires the submission of an annual Teacher Preparation Program Report through the state               | I.A. Unrestricted E&G; |
| 62 | HEOA Sec. 107                                  | Federal | Statute    | Requires institutions to distribute in writing to each student and each employee standards of           | I.A. Unrestricted E&G; |
| 63 | HEOA Sec. 488(a)(1)(E)                         | Federal | Statute    | Requires institutions to make available to current and prospective students information about           | I.A. Unrestricted E&G; |
| 64 | HEOA Sec. 488(e)(1)(B)-(D)                     | Federal | Statute    | Requires for an annual security report that includes emergency response and evacuation                  | I.A. Unrestricted E&G; |
| 65 | HEOA Sec. 488(g)                               | Federal | Statute    | Requires institutions to have a policy in place regarding notification procedures for missing           | I.A. Unrestricted E&G  |
| 66 | HEOA Sec. 488(a)(1)(E)                         | Federal | Statute    | Requirements of a fire safety report and fire log   | I.A. Unrestricted E&G; |
| 67 | HEOA Sec. 493(a)(1)(A)                         | Federal | Statute    | Requires the institution to disclose to the alleged victim of any crime of violence, or a               | I.A. Unrestricted E&G; |
| 68 | HEOA Sec. 488(a)(1)(E)                         | Federal | Statute    | Requires that institutions make available to current and prospective students the retention             | I.A. Unrestricted E&G; |
| 69 | HEOA Sec. 488(a)(2)-(3)                        | Federal | Statute    | Requires that institution must annually make available to prospective and enrolled students             | I.A. Unrestricted E&G; |
| 70 | HEOA Sec. 488(a)(3), Sec. 488(d)               | Federal | Statute    | Specifies the requirements of a report on the completion, graduation, and transfer out rates of         | I.A. Unrestricted E&G; |
| 71 | HEOA Sec. 488(a)(1)(E)                         | Federal | Statute    | Specifies that institutions must make available to current and prospective students                     | I.A. Unrestricted E&G; |
| 72 | HEOA Sec. 488(a)(1)(E)                         | Federal | Statute    | Requires that institutions make available to current and prospective students information               | I.A. Unrestricted E&G; |
| 73 | 34 CFR 668-41(a)-(b), 34 CFR 668.41(g), 34     | Federal | Regulation | Specifies the details of reports to be submitted to the U.S. Department of Education and the            | I.A. Unrestricted E&G; |
| 74 | HEOA Sec. 493(a)(1)                            | Federal | Statute    | Requires institutions to make a good faith effort to distribute mail voter registration forms for       | I.A. Unrestricted E&G; |
| 75 | 34 CFR 668.14(b)(11)                           | Federal | Regulation | Requires that institutions inform all eligible borrowers enrolled in the institution about the          | I.A. Unrestricted E&G; |
| 76 | HEOA Sec. 488(c)                               | Federal | Statute    | Requires institutions to provide information about rights and responsibilities of students and          | I.A. Unrestricted E&G; |

|    |  |         |         |  |  |
|----|--|---------|---------|--|--|
| 77 | HEOA Sec. 489                            | Federal | Statute | Requires that potential students, students and parents of students who receive a Title IV loan   | I.A. Unrestricted E&G;   |
| 78 | HEOA Sec. 488(g)                         | Federal | Statute | Specifies the details of disclosure required prior to the disbursement of Federal Direct loans or  | I.A. Unrestricted E&G;   |
| 79 | HEOA Sec. 488(b)                         | Federal | Statute | Specifies the details of required exit counseling to borrowers of loans under the FFEL, Federal  | I.A. Unrestricted E&G;   |
| 80 | HEOA Sec. 493(a)(1)                      | Federal | Statute | Specifies the details of disclosure required of institutions or institution-affiliated organizations   | I.A. Unrestricted E&G;   |
| 81 | HEOA Sec. 493(a)(1), Sec. 493(c)         | Federal | Statute | Specifies the disclosure of terms and conditions and code of conduct for agents of an  | I.A. Unrestricted E&G;   |
| 82 | Americans with Disabilities Act Title II | Federal | Statute | Anti-discrimination under any education program or activity receiving Federal financial  | I.A. Unrestricted E&G;   |
| 83 | Civil Rights Act Title VII               | Federal | Statute | Prohibits employment discrimination  | I.A. Unrestricted E&G;   |
| 84 | Education Amendments Act Title IX        | Federal | Statute | Anti-discrimination under any education program or activity receiving Federal financial  | I.A. Unrestricted E&G;   |
| 85 | Proviso 11.109 FY 2010-11                | State   | Proviso | SC Code of Laws §59-111-20 provides free tuition to certain children of war veterans killed in   | I.A. Unrestricted E&G;   |
| 86 | Proviso 117.29 FY 2010-11                | State   | Proviso | LIFE and Palmetto Fellows Enhancement stipends established   | I.A. Unrestricted E&G;   |
| 87 | Proviso 117.29 FY 2015-16                | State   | Proviso | Requirement to identify key program area descriptions and expenditures and to link these to  | I.A. Unrestricted E&G;   |
| 88 | Proviso 11.19 FY 2015-16                 | State   | Proviso | Authorizes the use of Palmetto Fellows and LIFE scholarship awards in the summer   | I.A. Unrestricted E&G;   |
| 89 | Proviso 11.25 FY 2015-16                 | State   | Proviso | Requirement that requests for full-time equivalent positions be covered by sufficient revenue  | I.A. Unrestricted E&G;   |
| 90 | Proviso 11.29 FY 2015-16                 | State   | Proviso | Requirement to report to the Commission on Higher Education metrics associated with out-of-  | I.A. Unrestricted E&G;   |
| 91 | Proviso 11.30 FY 2015-16                 | State   | Proviso | Requirement to report on institutional debt to the Chariman of the Senate Finance  | I.A. Unrestricted E&G;   |
| 92 | Proviso 11.42 FY 2015-16                 | State   | Proviso | Authorizes the reimbursement of loss tuition associated with differential charges to out-of-   | I.A. Unrestricted E&G;   |
| 93 | Proviso 104.2 FY 2015-16                 | State   | Proviso | Authorizes the State Fiscal Accountability Authority to remove of any permanent position in a state agency that remains vacant for more than 12 months   | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services;<br>III. C. Employee Benefits |
| 94 | Proviso 117.8 FY 2015-16                 | State   | Proviso | Requires institutions to deposit all revenues and income collected with the State Treasurer and to not use those funds for permanent improvement without express written approval; authorizes certain types of revenue to be retained at the institution | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services;<br>III. C. Employee Benefits |
| 95 | Proviso 117.11 (1) FY 2015-16            | State   | Proviso | Directs the fixation of student fees applicable to student housing, dining halls, health services, parking, and personal subsistence by the appropriate Board of Trustees  | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services                               |
| 96 | Proviso 117.26 FY 2015-16                | State   | Proviso | Mandates the reporting of the top 10%, not to exceed 25 employees who have incurred travel expences along with a brief summary of the type of travel incurred  | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services;<br>III. C. Employee Benefits |
| 97 | Proviso 117.28 FY 2015-16                | State   | Proviso | Requires institutions that operate a day-care center charge fees comparable to those charged by private entities and to not set lower rates for faculty, staff, or students of the institution   | I.A. Unrestricted E&G;<br>I.B. Restricted E&G<br>II. Auxiliary Services                                |
| 98 | Proviso 117.34 FY 2015-16                | State   | Proviso | Mandates the reporting of outstanding amounts due to an institution and methods used to collect that debt  | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services                               |

|     |                            |       |         |   |  |
|-----|----------------------------|-------|---------|---|--|
| 99  | Proviso 117.42 FY 2015-16  | State | Proviso | Authorizes the waiver of all provisions that require additional general fund appropriations except those specified for LIFE and Palmetto Fellows Scholarships   | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services;<br>III. C. Employee Benefits |
| 100 | Proviso 117.45 FY 2015-16  | State | Proviso | Provides an exception for universities and colleges to a provision preventing an increase in parking fees   | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services                               |
| 101 | Proviso 117.47 FY 2015-16  | State | Proviso | Authorizes the use of insurance reimbursement to offset expenses related to a claim, permitting the funds to be retained, expended and carried forward  | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services;<br>III. C. Employee Benefits |
| 102 | Proviso 117.48 FY 2015-16  | State | Proviso | Requires the reporting of a current organizational chart to the Human Resources Division showing all authorized positions, class title, class code, position number and an indicator identifying the position as filled or vacant | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services;<br>III. C. Employee Benefits |
| 103 | Proviso 117.58 FY 2015-16  | State | Proviso | Requires the submission of annual audited financial statements to the State Auditor's Office  | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services;<br>III. C. Employee Benefits |
| 104 | Proviso 117.75 FY 2015-16  | State | Proviso | Requires that a report be made available to the public on the institution's website showing aggregate amounts of fines and fees that were charged and collected in the prior fiscal year  | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services                               |
| 105 | Proviso 117.131 FY 2015-16 | State | Proviso | Authorizes funds allocated for proviso 118.16 of Act 286 of 2014 be redirected for the purpose of energy efficiency repair and maintenance  | I.A. Unrestricted E&G;<br>I.B. Restricted E&G;<br>II. Auxiliary Services                               |

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Customer Template

| Divisions or Major Programs        | Description  | Service/Product Provided to Customers        | Customer Segments | <i>Specify only for the following Segments: (1) Industry: Name; (2) Professional Organization: Name; (3) Public: Demographics.</i> |
|------------------------------------|--|--|-------------------|--|
| Academic programs                  | Baccalaureate and graduate programs in Arts                                  | Post Secondary Education                     | General Public    | Spartanburg County, Upstate South Carolina, South Carolina, U.S. and International   |
| Scholars' Academy, Dual Enrollment | Programs to facilitate transition from secondary to post-secondary education | Post Secondary Education                     | School Districts  |  |
| Metropolitan Studies Institute     | Provides community-based research and  | Data, technical support and expertise        | Local Govts.      |  |
| Academic programs                  | Baccalaureate and graduate programs that                                     | Well-prepared work force                     | Industry          | Local industries (e.g. BMW, Michelin, Miliken, QS1, Spartanburg Regional Health System)  |
| Service Learning                   | Provides student internships, in-vivo learning e                             | Prepare students to enter the work force wit | General Public    | Local nonprofit agencies and government entities (e.g. Detention Center)   |

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**Partner Template**

| <b>Name of Partner Entity</b> | <b>Type of Partner Entity</b> | <b>Description of Partnership</b>   | <b>Associated Objective(s)</b>              |
|-------------------------------|-------------------------------|---|---|
| High Schools                  | K-12 Education Institute      | Supply USC Upstate with quality students as entering freshmen               | I.I.2; I.I.5; I.I.1                         |
| Two Year Institutions         | Higher Education Institute    | Supply USC Upstate with qualified transfer students                         | I.I.1; I.I.4                                |
| USC Columbia                  | Higher Education Institute    | Provides central coordination of many administrative functions and serves   | IV.X.2; IV.X11.1; IV.XIII.3; IV.XIV.1;      |
| Alumni                        | Individual                    | Source of student referrals, financial and institutional support and advice | I.II.5; I.II.4; I.II.2; IV.X.4;             |
| Employers                     | Private Business Organization | Source of jobs for graduates, identifies changing workforce needs in        | III.VII.3; I.I.2;                           |
| County Delegation             | State Government              | Provides legislative support  | IV.XII.1; IV.XI.1                           |
| Community Partners            | Local Government              | Source of service learning and internship opportunities                     | I.II.1; I.II.2; I.I.4;                      |
| Community Partners            | Non-Governmental Organization | Source of service learning and internship opportunities                     | I.II.1; I.II.2; I.I.4;                      |
| Four Year Institutions        | Higher Education Institute    | Partner through Campus Compact and to promote quality of life in            | III.VII.1; III.VII.2; III.VII.3; III.VIII.2 |

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Report Template

| Item | Report Name  | Name of Entity Requesting the Report                                | Type of Entity       | Reporting Frequency | Submission Date (MM/DD/YYYY) | Summary of Information Requested in the Report  | Method to Access the Report   |
|------|--|---|----------------------|---------------------|------------------------------|---|-------------------------------|
| 1    | SACSCOC-Compliance Certification                                     | Southern Association of Colleges and Schools Commission on Colleges | Federal              |                     |                              | Submitted every 10 years covering all aspects of the institution and certifying that our practices meet accreditation requirements                | Contact kbrady@uscupstate.edu |
| 2    | SACSCOC-Interim Report   | Southern Association of Colleges and Schools Commission on Colleges | Federal              |                     |                              | Submitted every 5 years covering most aspects of the institution and certifying that our practices meet accreditation requirements                | Contact kbrady@uscupstate.edu |
| 3    | SACSCOC-Institutional Profiles                                       | Southern Association of Colleges and Schools Commission on Colleges | Federal              | Annually            | 15-Jan                       | Institutional information; enrollment information; completions data   | Contact kbrady@uscupstate.edu |
| 4    | SACSCOC-Financial Profiles   | Southern Association of Colleges and Schools Commission on Colleges | Federal              | Annually            | 15-Jan                       | USC Upstate financial information and IPEDS finance   | Contact kbrady@uscupstate.edu |
| 5    | CLERY Annual Security Report   | Federal Government  | Federal              | Annually            | 1-Oct                        | Detailed information about campus security policies and incidents   | Contact kbrady@uscupstate.edu |
| 6    | SCIBRS Criminal Activity Report                                      | State Law Enforcement Division (SLED)                               | State                | Monthly             | Monthly                      | Criminal activity report which gets forwarded to the FBI tracking who is stopped, cited, and arrested   | Contact kbrady@uscupstate.edu |
| 7    | IPEDS  | National Center for Education Statistics (NCES)                     | Federal              | Annually            | Various                      | Public Contact Report   | Contact kbrady@uscupstate.edu |
| 8    | CHE Institutional Effectiveness Report                               | Commission on Higher Education                                      | State                | Annually            | 1-Aug                        | Professional exam pass rates  | Contact kbrady@uscupstate.edu |
| 9    | CHE 301 Report   | Commission on Higher Education                                      | State                | Annually            | 30-Jun                       | Employment of Associate's Degree recipients   | Contact kbrady@uscupstate.edu |
| 10   | Accountability Report  | SC Department of Administration                                     | State                | Annually            | 15-Sep                       | Finance, strategic planning, and summary information about the University   | Contact kbrady@uscupstate.edu |
| 11   | Fiscal Operations Report Application to Participate (FISAP)          | US Department of Education  | Federal              | Annually            | 30-Sep                       | Financial Aid expenditures and application for funding for Federal Work-Study Program and Federal Supplemental Education Opportunity Program      | Contact kbrady@uscupstate.edu |
| 12   | Financial Aid Reauthorization  | US Department of Education  | Federal              |                     | June                         | Financial Aid eligibility data  | Contact kbrady@uscupstate.edu |
| 13   | Annual Survey of Nursing Education Programs                          | Souther Regional Education Board (SREB)                             | Outside Organization | Annually            | Fall                         | Nursing faculty characteristics and vacancies and enrollment demographics   | Contact kbrady@uscupstate.edu |
| 14   | Annual Survey  | American Association of Colleges of Nursing (AACN)                  | Federal              | Annually            | October                      | Program characteristics, student applications, demographics, NCLEX pass rate, completions, faculty characteristics                                | Contact kbrady@uscupstate.edu |
| 15   | Nursing Program Biennial Report                                      | SC Department of Labor, Licensing, and Regulation (SC LLR)          | State                |                     | July                         | Biennial report of program characteristics, facilities, finances, program evaluation, completions, and faculty characteristics                    | Contact kbrady@uscupstate.edu |
| 16   | FY16 Annual Progress Report State Government Energy Conservation Act | State Energy Office   | State                | Annually            | September                    | Report on implemented energy conservation measures on campus and report on "success stories".   | Contact kbrady@uscupstate.edu |
| 17   | SC DHEC Office of Solid Waste Reduction & Recycling Team             | SC DHEC   | State                | Annually            | September                    | Report on type and quantity of recyclables  | Contact kbrady@uscupstate.edu |
| 18   | Comprehensive Permanent Improvement Plan (CPIP)                      | CHE via USC Columbia  | State                | Annually            | March 1, 2016                | 5 year plus planning document to identify capital permanent improvement projects: Scope, estimated cost to construct/renovate/O&M for facilities. | Contact kbrady@uscupstate.edu |
| 19   | FY Athletics Annual Facilities Operating Costs Report                | NCAA via USC Upstate Athletics Office                               | Outside Organization | Annually            | September                    | Report annual cost of utilities, house keeping, building and ground maintenance costs for all athletics facilities                                | Contact kbrady@uscupstate.edu |
| 20   | Governor's Budget Requests for FY                                    | Governor's Office   | State                | Annually            | September                    | Capital or Non-Recurring Appropriation Request  | Contact kbrady@uscupstate.edu |

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Oversight Review Template

| Item | Name of Entity Conducted Oversight Review                                       | Type of Entity       | Oversight Review Timeline (MM/DD/YYYY to MM/DD/YYYY)  | Method to Access the Oversight Review Report |
|------|---|----------------------|---|--|
| 1    | USC Upstate: Southern Association of Colleges and Schools (SACS)                | Outside Organization | Accredited 1976; Reaffirmed 2012; Next Reaffirmation 2022   | Contact Senior Vice Chancellor               |
| 2    | Campus Police: Commission on Accreditation for Law Enforcement Agencies (CALEA) | Outside Organization | Accredited 2016, reaffirmation 2020   | Contact Senior Vice Chancellor               |
| 3    | School of Education: CAEP   | Outside Organization | Last reviewed February 2011. On-site review is scheduled for February 2018. Institutional Report is due October 2017. The formative feedback report from CAEP is scheduled for December 2017. | Contact Senior Vice Chancellor               |
| 4    | School of Business: AACSB   | Outside Organization | 7/1/014 to 6/30/019   | Contact Senior Vice Chancellor               |
| 5    | School of Nursing: CCNE - MSN initial   | Outside Organization | 11/2015 to 11/2020  | Contact Senior Vice Chancellor               |
| 6    | School of Nursing: CCNE - BSN continuing  | Outside Organization | 11/2011 to 11/2021  | Contact Senior Vice Chancellor               |
| 7    | Computer Science: ABET  | Outside Organization | 7/2015 to 9/2017  | Contact Senior Vice Chancellor               |
| 8    | Engineering Management Technology: ABET   | Outside Organization | 10/2015 to 9/2021   | Contact Senior Vice Chancellor               |
| 9    | Art and Graphic Design: NASAD   | Outside Organization | 9/2009 to 5/2017  | Contact Senior Vice Chancellor               |