

October 7, 2011



The Honorable Nikki Haley
Governor of South Carolina
Office of the Governor
Post Office Box 12267
Columbia, South Carolina 29211

Dear Governor Haley:

Clemson University has adopted the vision of becoming one of America's Top 20 public universities, so that South Carolina students could obtain a top tier education without having to leave the State and forfeit their life scholarships. We began this quest by developing an academic plan we call the Road Map. This plan has served Clemson well by focusing on priorities and measurable outcomes. We made quality our goal, and budgeted to the plan instead of planning to a budget. We acknowledged early on that we could not be everything to everybody. We established clear emphasis areas, which meant we were ready to leap on the opportunities offered by state incentive programs – whether scholarships, endowed chairs or infrastructure funds.

Since 1997, Clemson has climbed from a third tier ranking to Number 25 in the ranks of public research universities. But it is important to understand cause and effect; to recognize that institutions are not successful because they are ranked, they are ranked because they are successful.

We have delivered for students:

- Record number of undergraduate applications
- Record freshmen retention and graduation rates
- Record LIFE/Palmetto Fellows Scholarship retention
- 16-1 student-to-faculty ratio, nearly half of all classes have fewer than 20 students
- #1 choice of the state's Palmetto Fellows

We are a university that has leveraged its distinctiveness to combine a highly engaged learning environment, a land-grant service orientation, and a science and technology-focused research backbone. The results of bringing these worlds together are creative thinking, innovation and ideas, because ideas are really our product. Ideas are what will really drive a knowledge-based economy for South Carolina.

Please find below the responses to your specific requests associated with the fiscal year 2012-13 Appropriations process.

OFFICE OF
THE PRESIDENT

James F. Barker, FAIA
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Clemson, SC
29634-5002

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The Honorable Nikki Haley
October 10, 2011
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Budget Plan

Clemson University is requesting that operating budget reductions received since June 30, 2008, approximately \$55.8M, be restored. These state recurring funds will be applied to faculty salaries and fringe benefits. The University estimates that growth in federally sponsored research necessitates a \$6.5M increase to the Federal Budget, while modest growth in privately sponsored research, scholarship gifts, and tuition and fees requires a \$4.1M increase to the Other/Earmarked/Restricted Budget. These estimates are based on three or four years of trend data, adjusting for anomalies.

Critical capital funding requests included in the budget plan are:

- \$15 million for Deferred Maintenance
- \$10 million to renovate Holtzendorff Building
- \$18 million to renovate Long Hall

Provisos

The Proviso section of this document contains several provisos that directly impact Clemson University, although it is not a comprehensive list. Clemson is working with the Higher Education Human Resources Working Group created by the SC Higher Education Efficiency and Administrative Policies Act of 2011 to address improvements to provisos related to personnel issues.

Federal Grants Justification

Clemson has included federal grants justification for categories of federal funding not associated with research and financial aid.

I do not envy the challenge that lies ahead of you to prioritize the budgetary needs of the State. I do remain hopeful that the value we provide to educate the students of South Carolina will be seen as a high priority. We look forward to working with you and the General Assembly to improve the quality of life for the citizens of South Carolina.

Sincerely,

A handwritten signature in black ink, appearing to read 'James F. Barker', with a long horizontal line extending to the right.

James F. Barker, FAIA
President

Agency Certification and Transmittal Sheet

Code:

H12

Name:

Clemson University

Mission Statement: The mission of Clemson University is to fulfill the covenant between its founder and the people of South Carolina to establish a "high seminary of learning" through its historical land-grant responsibilities of teaching, research and extended public service.

Clemson University is a selective, public, land-grant university in a college-town setting along a dynamic southeastern corridor. The University is committed to world-class teaching, research and public service in the context of general education, student development and continuing education. Clemson's desire is to attract a capable, dedicated and diverse student body of approximately 12,000 to 14,000 undergraduate and 4,000 to 5,000 graduate students, with priority to students from South Carolina.

Clemson offers a wide array of high quality baccalaureate programs built around a distinctive core curriculum. Graduate and continuing education offerings respond to the professions, while doctoral and research programs contribute to the economic future of the state, nation and world. The University emphasizes agriculture, architecture, business, education, engineering, natural resources, science and technology. The University also promotes excellence in education and scholarship in selected areas of the creative arts, health, human development, the humanities and social sciences. In all areas, the goal is to develop students' communication and critical-thinking skills, ethical judgment, global awareness, and scientific and technological knowledge. Students remain the primary focus of the University.

Just as Clemson values its students, the University also values its faculty and staff who have committed their talents and careers to advance its mission. Clemson pledges to support their work, to encourage their professional development, to evaluate their professional performance and to compensate them at nationally competitive levels.

To the Office of State Budget

This, and accompanying statements, schedules, and explanatory sheets consisting of 34 pages constitute the operating budget estimates of this agency for all proposed expenditures for the 2012-2013 fiscal year.

All statements and explanations contained in the estimates submitted herewith are true and correct to the best of my knowledge.

Signed: _____

(Agency Head)

Date: _____

FISCAL YEAR 2012-13 BUDGET PLAN

I. EXECUTIVE SUMMARY

A. Agency Section/Code/Name:

Section 9/H12/Clemson University

B. Summary Description of Strategic or Long-Term Goals:

UNIVERSITY GOALS

Fulfill Clemson's responsibility to students and the state of South Carolina...

- I. To provide talent for the new economy by recruiting and retaining outstanding students and faculty and providing an exceptional educational experience grounded in engagement;
- II. To drive innovation, through research and service, that stimulates economic growth, creates jobs and solves problems;
- III. To serve the public good by focusing on emphasis areas that address some of the great challenges of the century ---national priorities such as health, energy, transportation, and sustainable environment.

UNIVERSITY OBJECTIVES AND MEASURES

1. Improve student quality and performance.

- Enroll a top-15 undergraduate freshman class.
- Increase undergraduate student applications in focus areas by 20%.
- Meet objectives of the diversity plan for students.
- Increase Critical Thinking, Writing and Mathematics proficiency scores from freshman to senior year as measured by the ETS Proficiency Profile.
- Increase the number and quality of doctoral students in focus areas by 30%.
- Increase the number of nationally competitive awards received by undergraduate and graduate students, including two Rhodes Scholars.
- Increase the number of undergraduate and graduate students presenting papers/posters at national meetings.
- Monitor graduating students' employment, continued education and other indicators of success.

2. Provide every student opportunities for engagement and leadership.

- Double the number of students participating in Creative Inquiry (CI) and service-learning projects.
- Increase the number of external internships and co-ops.
- Increase the number of internal (campus) internships to engage 500 students annually.
- Double the number of students participating in study abroad programs.
- Establish or enhance two Living-Learning Communities on campus each year.

3. Recruit, retain and reward faculty and staff quality, performance and productivity.

- Increase the successful completion of participants' Staff Development Programs.
- Strategically reward outstanding performance through competitive compensation measures.
- Hire 86 new faculty members with increased funding in five focus areas.
- Meet objectives of the diversity plan for faculty and staff.
- Increase the number of national academy members to 10.
- Ensure talented leaders are available to manage key new initiatives.
- Increase research expenditures by 50%.
- Continue to support economic development through job growth and capital investment in SC through innovation and research.
- Increase government, university and industry partnerships.

4. Build competitive technology and information infrastructure.

- Deploy new student and research information systems successfully.
- Enhance business system capabilities to decrease transaction costs.
- Increase the number and quality of technology-enhanced classrooms.
- Measure the effect of high performance computing on productivity.
- Leverage enhanced infrastructure for partnerships and shared cloud services.
- Replace 35% of paper journals collections with online or shared library resources.

5. Maintain an environment that is healthy, safe and attractive.

- Enhance and build teaching, research, student life and athletic facilities.
 - Address deferred maintenance.
 - Upgrade the campus utilities infrastructure.
 - Increase consistently University enforcement of student alcohol and other drug-related incidences until the intensity and frequency of violations are reduced.
 - Decrease student self-reported harms.
6. Increase the reputation of the University: state, national and international.
- Successfully complete our current capital campaign.
 - Enhance and publicize state, national and international accomplishments by faculty, staff and students.
 - While maintaining full compliance and academic progress/graduate success rates above the ACC/SEC mean, field nationally competitive teams—as measured by top-25 national rankings, NCAA tournament participation to include national, ACC division and conference championships.

Clemson University's vision, mission and goals are tied directly to South Carolina's ability to be strategically positioned to compete and succeed in the global economy. The teaching mission provides the basis for an educated, well-prepared workforce in cutting edge programs; the research and economic mission provides the base for new knowledge development that moves from the laboratory to the work place; and the public service mission provides a further interface between faculty, students, and citizens of South Carolina. (Accountability report, Section I, 4-Key Strategic Challenges)

In order for the University to meet its strategic goals and reach its vision, budget planning and resource allocation must be directed to targeted areas. For this reason the budget requests that are made for the next fiscal year are provided by priority and linked to specific goals. The university's planning process links specific strategies and budget requests to the goals. (Accountability Report, Section III, Category 2)

Clemson continues to focus on its University and Academic Plan in four criteria investment areas: student quality and performance; student engagement and leadership opportunities; attracting, retaining, and rewarding top people; and facilities, infrastructure and technology to compete. (Accountability Report, Section I, Major Achievements) The University has focused the faculty research and economic development around eight key emphasis areas during the last ten years. Focused research has increased faculty collaboration and expanded relationships between departments and colleges. The success of faculty in selections for the SC Research Centers of Economic Excellence (Endowed Chairs) program is evidence of the success of efforts in the emphasis areas. The eight emphasis areas include:

- Advanced Materials,
- Automotive and Transportation Technology,
- Biotechnology and Biomedical Science,
- Family and Community Living,
- General Education,
- Information and Communication Technology,
- Leadership and Entrepreneurship, and
- Sustainable Environment.

At this time the university is narrowing the focus within the emphasis areas to national priorities in health, energy, transportation and sustainable environment. We continue to seek opportunities to collaboration with private industry partners in our areas of academic strengths, and to use unique state funding opportunities. The economic development programs correlate with existing and emerging cluster industries in South Carolina and places people and programs in strategic environments across South Carolina. The university has carefully leveraged opportunities for external funding in research and economic development activities.

Through this process, Clemson is requesting that the state restore the operating reductions that have been assessed in the 2008-09, 2009-10, 2010-11 and 2011-12 fiscal years, which totals approximately \$55.8M. Clemson is also requesting:

- Increase of \$6.5M to the Education and General (I.) Federal Budget.
- Increase of \$4.1M to the Education and General (I.) Other Earmarked/Restricted Budget.
- Increase of \$250.7K to the Auxiliary Enterprises (II.) Other Earmarked/Restricted Budget.

These increases include adjustments for Employer Contributions (III.).

C. **2011-2012 Agency Recurring Base Appropriation:**

State	\$58,900,258
Federal	\$89,670,245
Other	\$650,686,642

D. **Number of Budget Categories:**

Clemson University has three budget categories (I. Education and General, II. Auxiliary Enterprises and III. Employee Benefits), three sub-categories (I.A. Unrestricted, I.B. Restricted, and III.C. State Employer Contributions), and nine elements (President, Classified Positions, Unclassified Positions, Other Personal Services, Other Operating Expenses, Scholarships, Principal, Interest, and Employer Contributions).

E. **Agency-wide Vacant FTEs**

Vacant FTEs as of July 31, 2011: 375.79
% Vacant 10.13%

F. **Efficiency Measures:**

The greatest overall measure of efficiency regarding Educational and General Operations of the University is in comparing our actual operations with other universities. The Mission Resource Requirements (MRR) funding model produced by CHE is an externally validated benchmark of average costs of institutions similar in nature to Clemson. An important distinction is that it does not represent "ideal" funding levels, only the average of similar institutions. It is based upon our actual level of educational productivity (i.e., credit hour production), research, student enrollments, facilities, etc. The model provides an incentive for research and public service which we exclude in measuring productivity. This model calculated a benchmark spending level of \$349.5M for normal E&G operations excluding research and public service covered by the most recent MRR; however, our actual expenditures for these operations was \$299.5M in FY2010-11. On an overall basis, we are operating the University at an efficiency level of over 116%. The state's own external measures attests to the fact that Clemson is managing significant efficiencies in both its support/overhead costs and in its core mission areas. Overall, Clemson is spending 14.3% less than average institutions on E&G operations, but is recognized as one of the higher quality public universities in the nation. Our recent 2011 ranking as the #25 public institution in US News places Clemson well within the 20th percentile of all public schools reviewed while operating on less resources than the average institution.

The University addresses efficiency measures by several strategies. Overall, all departments across the university prepare annual assessment plans and reports. The purpose to the assessment process is to determine effectiveness in reaching departmental goals and making appropriate changes in the strategies to move toward improved services and greater effectiveness. In addition to departmental assessment processes, we have assessment plans and reports for all degree programs at the university. The purpose of the program assessment is to measure our success in student outcomes. Specific measures are available in all documents. Student, alumni, faculty and staff surveys are conducted annually. Specific groups within the university conduct regular evaluations with their constituent groups as well.

G. **Number of Provisos:**



IIA. OPERATING BUDGET PROGRAMS

Agency Section/Code/Name: Section 9/H12/Clemson University

SUMMARY OF OPERATING BUDGET PROGRAMS FOR FY 2012-13

OPERATING BUDGET PROGRAMS			FUNDING					FTEs			
Title	Activity Name	Activity No.	Non-Recurring State	Recurring State	Federal	Other	Total	State	Federal	Other	Total
I. Education and General	See III.C			115,509,126	96,131,881	511,584,769	723,225,776	1,277.65	93.17	1,211.89	2,582.71
II. Auxiliary Enterprises	See III.C					143,485,751	143,485,751			481.87	481.87
Request of Additional FTEs	See III.C for I. Education and General						0			38.00	38.00
							0				0.00
							0				0.00
							0				0.00
For additional rows, place cursor in this gray box and press "Ctrl" + "b". (You need to start in this gray box for each row needed or the formulas will not copy properly.)											
TOTAL OF ALL OPERATING BUDGET PROGRAMS			0	115,509,126	96,131,881	655,070,520	866,711,527	1,277.65	93.17	1,731.76	3,102.58

IIB. CAPITAL BUDGET/NON-RECURRING REQUESTS FOR FY 2012-13

Agency Section/Code/Name: Section 9/H12/Clemson University

SUMMARY OF CAPITAL BUDGET/NON-RECURRING REQUESTS FOR FY 2012-13

CAPITAL BUDGET/NON-RECURRING REQUESTS				Additional State Funds	Previously Authorized State Funds	Total Other Fund Sources	Project Total
Project No.*	Project Name	Activity Name	Activity No.				
	Deferred Maintenance	All Activities Across Campus	All	15,000,000			15,000,000
	Holtzendorf Renovation	Instruction - College of Engineering and Science	365	10,000,000			10,000,000
	Long Hall Renovation	Instruction - College of Agriculture, Forestry and Life Sciences	364	18,000,000			18,000,000
							0
For additional rows, place cursor in this gray box and press "Ctrl" + "c". (You need to start in this gray box for each row needed or the formulas will not copy properly.)							
TOTAL OF ALL CAPITAL BUDGET/NON-RECURRING REQUESTS				43,000,000	0	0	43,000,000

*if applicable

A. Summary description of programs and how they relate to the mission of the agency:

The Education and General Program consists of Clemson’s core activities funded by unrestricted and restricted sources. Academic programming, research, student services and administrative staff directly support Clemson’s tripartite mission of teaching, research and service. The teaching mission prepares an educated, well-rounded workforce trained through innovative programs and by a dedicated faculty. Students typically receive classroom and laboratory instruction, but online instruction is also available. Research and economic development missions provide structure for moving new knowledge from the laboratory to the classroom and to the world. The findings are disseminated through professional papers, presentations, websites, and the registration of intellectual properties. The public service mission provides an interface between faculty, students and the citizens of South Carolina in community-based projects, consultations and publications.

B. Budget Program Number and Name:

- I. Education and General
 - a. Unrestricted
 - b. Restricted

C. Agency Activity Number and Name:

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
362-366	Instruction		\$115,509,126	\$0	\$158,441,494	\$273,950,620
351	Research		\$0	\$569,216	\$66,290,941	\$66,860,157
352	Sponsored Research		\$0	\$79,979,502	\$20,509,222	\$100,488,724
353	Public Service		\$0	\$0	\$12,817,947	\$12,817,947
354	Academic Support		\$0	\$0	\$61,378,449	\$61,378,449
355	Student Services		\$0	\$0	\$29,303,430	\$29,303,430
358	Scholarships and Fellowships		\$0	\$15,583,163	\$98,890,327	\$114,473,490
357	Operation and Maintenance of Plant		\$0	\$0	\$37,275,722	\$37,275,722
1966	Debt Service		\$0	\$0	\$26,677,237	\$26,677,237

D. Performance Measures:

Improvements in student learning programming contribute to overall academic success, increased retention, maintaining LIFE and other scholarships, greater participation in learning opportunities, and increased graduation rates.

Clemson has made progress in increasing the quality of the freshman class measured by mean SAT/ACT scores, rankings in the top 10% of high school classes, and the institution’s acceptance rate. SAT scores at selective institutions range from 1030-1220, placing Clemson at the higher end with a recent average SAT score range of 1140-1330. Approximately 51% of incoming freshmen are from the top 10% of their high school classes.

Attracting and retaining undergraduate students continues to be a major focus for the institution. Since the 2003-04 academic year, Clemson has improved undergraduate retention. Clemson’s benchmark retention is 95%. Over 90% of freshmen continue as sophomores at Clemson. The mean percentage of first-to-second-year persistence rates for selective institutions is 82%, a rate that Clemson clearly exceeds.

The number of doctoral and masters degrees awarded has increased significantly in the past three academic years. Clemson holds freshmen enrollment to 3,000 new students, and the University’s benchmark is to graduate no less than 85% of each student cohort.

The percentage of undergraduate students at Clemson who retain their LIFE scholarship from their freshmen to their sophomore year continues to increase. The ability to maintain LIFE scholarships and the retention of students is closely

monitored. One of the services offered by Clemson is the Academic Success Center (ASC), which enhances student success through tutoring, supplemental instruction, disability services and other instructional programs. Clemson’s current LIFE scholarship retention rate is 62.1%, up from 51.5% three years prior.

To enhance the educational experience beyond the classroom, undergraduate students are able to participate in residential communities, service learning and research projects. Clemson participates in and conducts surveys of students regularly to gauge student participation in these programs, as well as to assess student satisfaction. Clemson also monitors its success with student-faculty interaction, active and collaborative learning, and enriching educational experiences by comparing its scores with top-20 peers and Carnegie Classification peers.

Operational efficiency is monitored through the University “Discovery” process. The Discovery Council, appointed by President Barker, seeks ways in which to ensure that the University is examining its processes and procedures to save money and to be more efficient. In addition to the Discovery process, the University is examining more effective ways to use lean methods. A quarterly report to the Board of Trustees describes the actions and progress being made by the Council to improve the institution’s effectiveness and efficiency. Quarterly progress reports addressing initiatives of the Discovery Council can be found on the President’s Report Card.

A strong indicator of student satisfaction is related to alumni giving. There is a presumed connection between alumni giving and a positive Clemson experience. The Office of the Vice-President for Advancement identifies alumni by their graduation dates and their participation in undergraduate programs or activities. Clemson’s alumni giving is one of the highest levels reported (27.4%) in the *U.S. News & World Report* ranking of top-50 public institutions. It is Clemson’s goal to maintain or enhance the current status.

Two indicators of quality are the number of grant proposals submitted and the amount of external funding awarded. Clemson had previously adopted the goal of achieving \$150M in external awards. This benchmark was reached in 2007-08. External funding for 2009-10 was \$187.3M – the highest level ever.

Clemson assesses its organizational effectiveness and efficiency with several measures. Clemson’s percentage of full time faculty is 96%, and the percentage of full time faculty with terminal degrees continues to exceed 88%. Clemson continues to strive toward improvement in reducing the class size for undergraduate classes by increasing the percentage of all class sections with less than 20 students, and reducing the percentage of all class sections with more than 50 students. A smaller class size allows greater faculty interaction with students. Other measures important to assessing effectiveness and efficiency include the ratio of students to faculty, retention rates, and graduation rates.

E. Program Interaction:

F. Change Management:

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs* CURRENT		1,277.65	93.17	1,211.89	2,582.71
Personal Service	\$0	\$90,774,806	\$25,680,330	\$182,519,670	\$298,974,806
Employer Contributions	\$0	\$24,734,320	\$5,499,716	\$58,913,133	\$89,147,169
Program/Case Services	\$0	\$0	\$0	\$0	\$ 0
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$0	\$0	\$64,951,835	\$270,151,966	\$335,103,801
Total	\$ 0	\$115,509,126	\$96,131,881	\$511,584,769	\$723,225,776

* If new FTEs are needed, please complete Section G (Detailed Justification for FTEs) below.

Is this budget category or program associated with a Capital Budget Priority? Yes, this budget program is associated with all capital budget priorities.

If yes, state Capital Budget Priority Number and Project Name: See II.B. And IV.

Please List proviso numbers that relate to this budget category or programs funded by this category.

See provisos in Section V.

H. Changes to the Appropriation:

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year’s appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act		\$59,746,916	\$89,670,245	\$507,451,614
2012-2013 Act		\$115,509,126	\$96,131,881	\$511,584,769
Difference		\$55,762,210	\$6,461,636	\$4,133,155
% Difference		93.3%	7.2%	0.8%

NOTE: 2011-2012 Act State Recurring number includes recent health and dental insurance allocation.

Explanation of Changes:

Clemson University is requesting that operating budget reductions received since June 30, 2008, approximately \$55.8M, be restored. These state recurring funds will be applied to faculty salaries and fringe benefits.

Clemson estimates that growth in federally sponsored research necessitates a \$6.5M increase to the Federal Budget, while modest growth in privately sponsored research, scholarship gifts, and tuition and fees requires a \$4.1M increase to the Other/Earmarked/Restricted Budget. These estimates are based on three or four years of trend data, adjusting for anomalies.

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
3035	University Fees			\$347,348,156		
3035	Endowment			\$9,266		
3035	Sales & Services – Educational Activities			\$30,646,631		
3228	Grants & Contracts – Private Sector				\$14,652,308	
4241	Contributions & Donations – Gen. Pub. Other				\$25,787,942	
4241	Miscellaneous				\$2,311,397	
4243	Contributions & Donations – Gen. Pub. (Scholarships)				\$22,532,551	
4237	Contributions & Donations –				\$4,129,338	

	Endow Income (Scholarships)					
4723	Restricted Appropriations (State Scholarships)				\$51,919,454	
5486	Indirect & Overhead Cost Recoveries on Federal Research Programs					\$10,757,645
5486	Federal Research Under \$200,000					\$1,284,045
5486	Federal Research Programs					\$64,115,665
5486	SBDC – Operations Grant					\$345,450
5580	Supp. Education Opportunity Grant (scholarship)					\$685,706
5580	National Drop Out Prevention					\$810,000
5580	Transportation Technology					\$408,000
5584	Higher Education Work Study					\$992,731
5584	Pell Grant					\$16,093,656
5584	Federal Scholarships – Other					\$638,983

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

Clemson is requesting to have spending authority for \$12,247,727 of its E&G Other/Earmarked reserves (Subfunds 3035/3036/3041).

J. FTE Positions:

Please detail the number of FTE's filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

NOTE: The following FTE numbers are for ALL Clemson H12 programs.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)				3,064.58	
2011-2012 (A)				3,064.58	
2010-2011 (F)				2,884.95	
2010-2011 (A)				3,472.48	
2009-2010 (F)				2,959.37	
2009-2010 (A)				3,472.48	
2008-2009 (F)				3,088.39	
2008-2009 (A)				3,472.48	
2007-2008 (F)				N/A	
2007-2008 (A)				3,353.73	

K. Detailed Justification for FTEs:

- (1) Justification for New FTEs
 - (a) Justification:

Economic Development in the state of South Carolina is enhanced by the educational, research and technology activities of its research universities. The ability to attract scientists and engineers to South Carolina depends on the quality of available university facilities and the availability of peer collaborators. Faculty members hired in clusters are more successful in obtaining federal grants, securing patents and developing start-up companies. Faculty hired in clusters are more likely to stay at the university where they are employed, enhancing the investments made at the time of hiring and adding to the brain trust that will drive economic development for the State.

Clemson University seeks to make strategic cluster hires associated with the University’s eight emphasis areas. Emphasis Areas support the State’s industry clusters and economic development initiatives. Specific hires will address the Emphasis Areas of (a) Automotive and Transportation Research, (b) Bioengineering and Biomedicine, (c) Advanced Materials, (d) Sustainable Environment and (e) Communication and Information Technology. To ensure that South Carolina is strategically positioned to compete and succeed in a global technological economy requires that the State invest in its knowledge base by hiring key faculty in critical areas that build the states technology infrastructure and knowledge-based economy. The key faculty hires in FY 2012-13 include six FTE for Endowed Chairs in Water Resources, Sustainable Design, Automotive Engineering, Optoelectronics, Polymers/Composites and Cyberinfrastructure as well as 16 FTE for cluster hires in Advanced Materials, Genetics, Health/Biomedicine, Sustainable Environment and Information Technology. The additional FTE resources associated with these endowed chairs and cluster hires will allow Clemson University to be positioned to attract the key faculty needed to drive economic development and enhance the education of undergraduate and graduate students who will be the technological leaders of tomorrow.

In order to maintain quality educational experiences for all undergraduates, an additional 14 FTE are requested to adjust the student/faculty ratio in disciplines and general education courses that have experienced significant growth during the past five years including mechanical engineering, bioengineering, civil engineering, public health, chemistry, mathematics, history and the social sciences.

Two staff FTE are requested to support engagement initiatives associated with the e-portfolio, a requirement for all students, and the expansion of Clemson’s internship/c-op programs.

- (b) Future Impact on Operating Expenses or Facility Requirements:

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title: Endowed/ Cluster/Adjustment Professors					
Number of FTEs	0.00	0.00	36.00	0.00	36.00
Personal Service	\$0	\$0	\$3,960,000	\$0	\$3,960,000
Employer Contributions	\$0	\$0	\$1,041,480	\$0	\$1,041,480

	State	Federal	Earmarked	Restricted	Total
Position Title: Staff					
Number of FTEs	0.00	0.00	2.00	0.00	2.00

Personal Service	\$0	\$0	\$110,000	\$0	\$110,000
Employer Contributions	\$0	\$0	\$35,640	\$0	\$35,640

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

A. Summary description of programs and how they relate to the mission of the agency:

An Auxiliary Enterprise furnishes goods or services to students, faculty and staff, other institutional departments and incidentally to the general public for a fee related to the cost of service. Auxiliary enterprises are expected to be self-supporting, with revenues supporting the operating and capital needs of the enterprise. Examples include residence halls, dining, athletics and bookstores.

B. Budget Program Number and Name:

II. Auxiliary Enterprises

C. Agency Activity Number and Name:

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
346, 347, 359, 360, 361	Auxiliary Enterprises				\$143,485,751	\$143,485,751

D. Performance Measures:

See III.D for I. Education and General.

E. Program Interaction:

F. Change Management:

The mission of the Auxiliary Enterprises has remained consistent for the last five years.

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs*		0.00	0.00	481.87	481.87
Personal Service	\$0	\$0	\$0	\$36,831,472	\$36,831,472
Employer Contributions	\$0	\$0	\$0	\$12,511,598	\$12,511,598
Program/Case Services	\$0	\$0	\$0	\$0	\$ 0
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$0	\$0	\$0	\$94,142,681	\$94,142,681
Total	\$ 0	\$ 0	\$ 0	\$143,485,751	\$143,485,751

* If new FTEs are needed, please complete Section G (Detailed Justification for FTEs) below.

Is this budget category or program associated with a Capital Budget Priority?
 If yes, state Capital Budget Priority Number and Project Name:

Please List proviso numbers that relate to this budget category or programs funded by this category.

H. Changes to the Appropriation:

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year’s appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act				\$143,235,028
2012-2013 Act				\$143,485,751
Difference				\$250,723
% Difference				0.2%

Explanation of Changes:

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
3116	Auxiliary Enterprises – Sales & Services			\$135,402,160		
3116	Auxiliary Enterprises – Investment Income			\$2,844,088		

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

Clemson is requesting to have spending authority for \$5,239,502 of its Auxiliary Enterprises Other/Earmarked reserves (Subfunds 4238/4507).

J. FTE Positions:

Please detail the number of FTE’s filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

NOTE: The following FTE numbers are for ALL Clemson H12 programs.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)				3,064.58	
2011-2012 (A)				3,064.58	

2010-2011 (F)				2,884.95	
2010-2011 (A)				3,472.48	
2009-2010 (F)				2,959.37	
2009-2010 (A)				3,472.48	
2008-2009 (F)				3,088.39	
2008-2009 (A)				3,472.48	
2007-2008 (F)				N/A	
2007-2008 (A)				3,353.73	

K. Detailed Justification for FTEs:

(1) Justification for New FTEs

(a) Justification:

(b) Future Impact on Operating Expenses or Facility Requirements:

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$0
Employer Contributions	\$0	\$0	\$0	\$0	\$0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

A. Project Name:

Deferred Maintenance

B. Project Approval: Yes, this project does meet the definition of a project requiring Budget and Control Board approval.

C. Statement of Need: This project will (C) replace parts of an existing program or facility with upgrades such as building mechanical systems, underground and overhead electrical infrastructure, and steam and chilled water distribution lines throughout the campus. In order to accomplish its mission, Clemson University must have buildings that support today’s teaching methods and research as well as provide a comfortable and safe environment to learn and work. CHE evaluations indicate that the university has a backlog of over \$70M related to inadequate heating and cooling systems. Lack of a sufficient dedicated fund source and space constraints have caused this backlog to accelerate well beyond inflation. It has been further aggravated by the age of a majority of the institution’s facilities, historic preservation and regulatory issues, and the absence of a state capital bond bill.

D. Agency Activity Number and Name:

Note: If more than one activity maps to this project provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring Funds	State Recurring Funds	Federal Funds	Other Funds	Total Funds
All	All Activities Across Campus	\$15,000,000				\$15,000,000
						\$0
						\$0
						\$0
						\$0

E. Project Description:

Note: In addition to a basic description, include whether or not this is a capital or non-capital project. If non-capital, explain how this non-recurring appropriation will be spent on non-recurring activities.

This is a capital project for deferred maintenance. Depending on the level of funding received, projects such as improving indoor air quality, upgrading existing HVAC systems with new air handlers, distribution ductwork, controls, associated equipment to meet current ASHRAE standards, and the replacement and upgrade of utility infrastructure including electrical, steam, and chilled water distribution systems, will be considered high priority.

F. Funding

Total New Request: \$15,000,000 Previously Approved Funds: _____ Expenditures to Date: _____

Identify the source(s) of funds for this appropriation (general fund, surplus, federal funding, local match, etc.):

Clemson University’s deferred maintenance needs total more than \$333M. The University is requesting that the State provide \$15M towards upgrading and renovating buildings and infrastructure in order to meet the needs of the students.

G. Justification for additional future operating costs:

Will additional annual operating costs be absorbed into your existing budget? If so, what resources will lose funding to facilitate this? The improvements will result in no additional costs for operating and maintenance activities.

If not, will additional funds be needed in the future?

Identify the source of additional funds:

A. Project Name:

Holtendorff Renovation

B. Project Approval: Yes, this project does meet the definition of a project requiring Budget and Control Board approval.

C. Statement of Need: The renovation of Holtendorff Hall (c) replaces parts of an existing program or facility. Holtendorff houses the general engineering and engineering science and education programs at Clemson University. As a whole, the quality and utility of existing spaces for the College of Engineering & Science are grossly inadequate. The general engineering department shares the same deficiencies with a shortage of instructional and laboratory space in an aging facility built in 1915. The renovation of this facility will allow for the repurposing of space to compensate for office and instructional space deficiencies within the college while also allowing for the relocation of research and class laboratories to more appropriate locations in more modern facilities.

D. Agency Activity Number and Name:

Note: If more than one activity maps to this project provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring Funds	State Recurring Funds	Federal Funds	Other Funds	Total Funds
365	Instruction - College of Engineering and Science	\$10,000,000				\$10,000,000
						\$0
						\$0
						\$0
						\$0

E. Project Description:

Note: In addition to a basic description, include whether or not this is a capital or non-capital project. If non-capital, explain how this non-recurring appropriation will be spent on non-recurring activities.

This is a capital project involving the renovation and repurposing of office, laboratory, and instructional space to meet the needs of students and faculty of the General Engineering and Engineering Science and Education programs. The renovation and reallocation of space within this aging facility will add instructional space and will help relieve classroom and class laboratories that are currently overcrowded and/or poorly designed for class activities.

F. Funding

Total New Request: \$10,000,000 Previously Approved Funds: _____ Expenditures to Date: _____

Identify the source(s) of funds for this appropriation (general fund, surplus, federal funding, local match, etc.):

G. Justification for additional future operating costs:

Will additional annual operating costs be absorbed into your existing budget? If so, what resources will lose funding to facilitate this?

The University will continue to fund Holtendorff Hall operations and maintenance with the existing budget.

If not, will additional funds be needed in the future?

Identify the source of additional funds:

A. Project Name:

Long Hall Renovation

B. Project Approval: Yes, this project does meet the definition of a project requiring Budget and Control Board approval.

C. Statement of Need: Renovation of Long Hall will (C) replace parts of an existing program. The project will include improvements to common areas and renovation of classrooms as well as conversion of research laboratories into teaching laboratories. The Long Hall building is over 50 years old and is in need of renovation and upgrading. Long Hall houses the department of biological sciences. As a core science, biology is a critical discipline in the institution’s curricula and is a critical discipline for the preparation of students for upper division education and research in engineering and science. Long Hall is presently inadequate to conduct modern biological research and recent surveys of campus facilities indicate that the building has been reduced to 28% usefulness. The current CHEMIS building condition code of 63 verifies these deficiencies. Likewise, historic enrollment growth trends will compound existing space deficiencies for this large department that generates the third most contact and credit hours of any department on campus.

D. Agency Activity Number and Name:

Note: If more than one activity maps to this project provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring Funds	State Recurring Funds	Federal Funds	Other Funds	Total Funds
364	Instruction - College of Agriculture, Forestry and Life Sciences	\$18,000,000				\$18,000,000
						\$0
						\$0
						\$0
						\$0

E. Project Description:

Note: In addition to a basic description, include whether or not this is a capital or non-capital project. If non-capital, explain how this non-recurring appropriation will be spent on non-recurring activities.

This is a capital project involving the renovation and repurposing of laboratory space to meet the needs of students and faculty of the Department of Biological Sciences. Research laboratories presently located within this aging facility will be moved to suitable space in the Life Sciences Building under construction at this time. The vacated space will be converted to offices, general classrooms, and general teaching laboratories. The added instructional space will help relieve classroom and class laboratory needs that are currently overcrowded and /or poorly designed for safe conduct of research or class activities.

F. Funding

Total New Request: \$18,000,000 Previously Approved Funds: _____ Expenditures to Date: _____

Identify the source(s) of funds for this appropriation (general fund, surplus, federal funding, local match, etc.):

G. Justification for additional future operating costs:

Will additional annual operating costs be absorbed into your existing budget? If so, what resources will lose funding to facilitate this?

The University will continue to fund Long Hall operations and maintenance with the existing budget.

NOTE: This proviso section is not comprehensive for all provisos that impact Clemson University and higher education.

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

9.1 Travel Advances and Subsistence Expenses

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

I. Education and General

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

This proviso is specific to Clemson University within Section 9. This proviso addresses travel and subsistence expense advances to Clemson employees.

D. Action

(Indicate Keep, Amend, Delete, or Add):

Keep

E. Title

Descriptive Proviso Title:

Travel Advances and Subsistence Expenses

F. Summary

Summary of Existing or New Proviso:

Clemson University may advance travel and subsistence expense monies to its employees for the financing of ordinary and necessary travel required in the conducting of the business of the institution. Clemson University may develop and publish rules and regulations pertaining to the advancing of travel expenses. All advances for travel and subsistence monies shall be repaid within thirty days after the end of the trip.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary

I. Justification

Refer to the instructions for the correct question to answer in this space, based on the action you selected

This proviso correlates with current appropriations and should be included in the annual legislation.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)

K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

80A.16 Antenna and Tower Placement (old 80A.18)

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

I. Education and General

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

This proviso is specific to the placement of antennas and towers at institutions of higher learning.

D. Action

(Indicate Keep, Amend, Delete, or Add):

Keep

E. Title

Descriptive Proviso Title:

Antenna and Tower Placement

F. Summary

Summary of Existing or New Proviso:

All leases for antenna and tower operations within institutions of higher learning campuses must conform to the present and any future master plans for such property, as determined solely by the institution of higher learning.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary**I. Justification**

Refer to the instructions for the correct question to answer in this space, based on the action you selected

This proviso correlates with current appropriations and should be included in the annual legislation.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)**K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline**

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

81.7 DOR: Admissions Tax Exemption

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

I. Education and General

II. Auxiliary Enterprises

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

This proviso applies to all state institutions of higher learning.

D. Action

(Indicate Keep, Amend, Delete, or Add):

Keep

E. Title

Descriptive Proviso Title:

Admissions Tax Exemption

F. Summary

Summary of Existing or New Proviso:

Effective January 1, 2008, any amount that an accredited college or university requires a season ticket holder to pay to a nonprofit athletic booster organization that is exempt from federal income taxation in order to receive the right to purchase athletic event tickets is exempt from admissions tax.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary**I. Justification**

Refer to the instructions for the correct question to answer in this space, based on the action you selected

This proviso correlates with current appropriations and should be included in the annual legislation.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)**K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline**

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

89.11 GP: Fixed Student Fees (old 89.12)

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

I. Education and General

II. Auxiliary Enterprises

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

This proviso applies to state institutions of higher learning.

D. Action

(Indicate Keep, Amend, Delete, or Add):

Keep

E. Title

Descriptive Proviso Title:

Fixed Student Fees

F. Summary

Summary of Existing or New Proviso:

During the current fiscal year, student fees at the state institutions of higher learning shall be fixed by the respective Boards of Trustees as follows:

(1) Fees applicable to student housing, dining halls, student health service, parking facility, laundries and all other personal subsistence expenses shall be sufficient to fully cover the total direct operating and capital expenses of providing such facilities and services over their expected useful life except those operating or capital expenses related to the removal of asbestos.

(2) Student activity fees may be fixed at such rates as the respective Boards shall deem reasonable and necessary.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary**I. Justification**

Refer to the instructions for the correct question to answer in this space, based on the action you selected

This proviso correlates with current appropriations and should be included in the annual legislation.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)**K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline**

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

89.16 GP: Allowance for Residences & Compensation Restrictions (old 89.17)

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

I. Education and General

II. Auxiliary Enterprises

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

This is a general proviso which allows Clemson University, as well as other state agencies and higher education institutions, to offer a housing allowance or free housing to specific employees listed within the proviso. This list currently includes the Clemson University head football coach, and the University would like to remove the head coach from the list.

D. Action

(Indicate Keep, Amend, Delete, or Add):

AMEND

E. Title

Descriptive Proviso Title:

Allowance for Residences & Compensation Restrictions

F. Summary

Summary of Existing or New Proviso:

That salaries paid to officers and employees of the State, including its several boards, commissions, and institutions shall be in full for all services rendered, and no perquisites of office or of employment shall be allowed in addition thereto, but such perquisites, commodities, services or other benefits shall be charged for at the prevailing local value and without the purpose or effect of increasing the compensation of said officer or employee. The charge for these items may be payroll deducted at the discretion of the Comptroller General or the chief financial officer at each agency maintaining its own payroll system. This shall not apply to the Governor's Mansion, nor for department-owned housing used for recruitment and training of Mental Health Professionals, nor to guards at any of the state's penal institutions and nurses and attendants at the Department of Mental Health, and the Department of Disabilities and Special Needs, and registered nurses providing clinical care at the MUSC Medical Center, nor to the Superintendent and staff of John de la Howe School, nor to the cottage parents and staff of Wil Lou Gray Opportunity School, nor to full-time or part-time staff who work after regular working hours in the SLED Communications Center or Maintenance Area, nor to adult staff at the Governor's School for Science and Mathematics who are required to stay on campus by the institution because of job requirements or program participation. The presidents of those state institutions of higher learning authorized to provide on-campus residential facilities for students may be permitted to occupy residences on the grounds of such institutions without charge.

Any state institution of higher learning may provide a housing allowance to the president in lieu of a residential facility, the amount to be approved by the Budget and Control Board.

That the following may be permitted to occupy residences owned by the respective departments without charge: the Director of the Department of Mental Health; the Farm Director, Farm Managers, and Specialists employed at the Wateree River Correctional Institution; the South Carolina State Commission of Forestry fire tower operators, forestry aides, and caretaker at central headquarters; the Department of Natural Resources' Game Management Personnel, Fish Hatchery Superintendents, Lake Superintendent, and Fort Johnson Superintendent; the Department of Parks, Recreation and Tourism field personnel in the State Parks Division; Director of Wil Lou Gray Opportunity School; President of the School for the Deaf and the Blind; houseparents for the Commission for the Blind; South Carolina Department of Health and Environmental Control personnel at the State Park Health Facility and Camp Burnt Gin; Residence Life Coordinators at Lander University; Residence Life Directors, temporary and transition employees, student interns, and emergency personnel at Winthrop University; Farm Superintendent at Winthrop University; Residence Hall Directors at the College of Charleston; Clemson University's Head Football Coach; the Department of Disabilities and Special Needs' physicians and other professionals at

Whitten Center, Clemson University Off-Campus Agricultural Staff and Housing Area Coordinators; and University of South Carolina's Manager of Bell Camp Facility, Housing Maintenance Night Supervisors, Residence Life Directors, temporary and transition employees, and emergency medical personnel; TriCounty Technical College's Bridge to Clemson Resident and Area Directors. Except in the case of elected officials, the fair market rental value of any residence furnished to a state employee shall be reported by the state agency furnishing the residence to the Agency Head Salary Commission, and the Division of Budget and Analyses by October first, of each fiscal year.

All salaries paid by departments and institutions shall be in accord with a uniform classification and compensation plan, approved by the Budget and Control Board, applicable to all personnel of the State Government whose compensation is not specifically fixed in this act. Such plan shall include all employees regardless of the source of funds from which payment for personal service is drawn. The Division of Budget and Analyses of the Budget and Control Board is authorized to approve temporary salary adjustments for classified and unclassified employees who perform temporary duties which are limited by time and/or funds. When approved, a temporary salary adjustment shall not be added to an employee's base salary and shall end when the duties are completed and/or the funds expire. Academic personnel of the institutions of higher learning and other individual or group of positions that cannot practically be covered by the plan may be excluded therefrom but their compensations as approved by the Division of Budget and Analyses shall, nevertheless, be subject to review by the Budget and Control Board. Salary appropriations for employees fixed in this act shall be in full for all services rendered, and no supplements from other sources shall be permitted or approved by the Budget and Control Board. With the exception of travel and subsistence, legislative study committees shall not compensate any person who is otherwise employed as a full-time state employee. Salaries of the heads of all agencies of the State Government shall be specifically fixed in this act and no salary shall be paid any agency head whose salary is not so fixed. Commuter mileage on nonexempt state vehicles shall be considered as income and reported by the Comptroller General in accordance with IRS regulations. As long as there is no impact on appropriated funds, state agencies and institutions shall be allowed to spend public funds and/or other funds for designated employee award programs which shall have written criteria approved by the agency governing board or commission. For purposes of this section, monetary awards, if any, shall not be considered a part of an employee's base salary, a salary supplement, or a perquisite of employment. The names of all employees receiving monetary awards and the amounts received shall be reported annually to the South Carolina Division of Budget and Analyses.

In the case of lodging furnished by certain higher education institutions to employees, the prevailing local rate does not apply if the institution meets the exceptions for inadequate rent described in the current Internal Revenue Code Section 119(d)(2). To meet the exception, rental rates must equal the lesser of five percent of the appraised value of the qualified campus lodging, or the average of the rentals paid by individuals (other than employees or students of the educational institution) during the calendar year for lodging provided by the educational institution which is comparable to the qualified campus lodging provided to the employee, over the rent paid by the employee for the qualified campus lodging during the calendar year. The appraised value shall be determined as of the close of the calendar year in which the taxable year begins, or, in the case of a rental period not greater than one year, at any time during the calendar year in which the period begins.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

Clemson University does not provide a housing allowance to the head football coach, and does not have a need to do so.

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary

I. Justification

Refer to the instructions for the correct question to answer in this space, based on the action you selected

Clemson University does not provide a housing allowance to the head football coach, and does not have a need to do so.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)

No fiscal impact

K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline

That salaries paid to officers and employees of the State, including its several boards, commissions, and institutions shall be in full for all services rendered, and no perquisites of office or of employment shall be allowed in addition thereto, but such perquisites, commodities, services or other benefits shall be charged for at the prevailing local value and without the purpose or effect of increasing the compensation of said officer or employee. The charge for these items may be payroll deducted at the discretion of the Comptroller General or the chief financial officer at each agency maintaining its own payroll system.

This shall not apply to the Governor's Mansion, nor for department-owned housing used for recruitment and training of Mental Health Professionals, nor to guards at any of the state's penal institutions and nurses and attendants at the Department of Mental Health, and the Department of Disabilities and Special Needs, and registered nurses providing clinical care at the MUSC Medical Center, nor to the Superintendent and staff of John de la Howe School, nor to the cottage parents and staff of Wil Lou Gray Opportunity School, nor to full-time or part-time staff who work after regular working hours in the SLED Communications Center or Maintenance Area, nor to adult staff at the Governor's School for Science and Mathematics who are required to stay on campus by the institution because of job requirements or program participation. The presidents of those state institutions of higher learning authorized to provide on-campus residential facilities for students may be permitted to occupy residences on the grounds of such institutions without charge.

Any state institution of higher learning may provide a housing allowance to the president in lieu of a residential facility, the amount to be approved by the Budget and Control Board.

That the following may be permitted to occupy residences owned by the respective departments without charge: the Director of the Department of Mental Health; the Farm Director, Farm Managers, and Specialists employed at the Wateree River Correctional Institution; the South Carolina State Commission of Forestry fire tower operators, forestry aides, and caretaker at central headquarters; the Department of Natural Resources' Game Management Personnel, Fish Hatchery Superintendents, Lake Superintendent, and Fort Johnson Superintendent; the Department of Parks, Recreation and Tourism field personnel in the State Parks Division; Director of Wil Lou Gray Opportunity School; President of the School for the Deaf and the Blind; houseparents for the Commission for the Blind; South Carolina Department of Health and Environmental Control personnel at the State Park Health Facility and Camp Burnt Gin; Residence Life Coordinators at Lander University; Residence Life Directors, temporary and transition employees, student interns, and emergency personnel at Winthrop University; Farm Superintendent at Winthrop University; Residence Hall Directors at the College of Charleston; ~~University's Head Football Coach~~; the Department of Disabilities and Special Needs' physicians and other professionals at Whitten Center, Clemson University Off-Campus Agricultural Staff and Housing Area Coordinators; and University of South Carolina's Manager of Bell Camp Facility, Housing Maintenance Night Supervisors, Residence Life Directors, temporary and transition employees, and emergency medical personnel; TriCounty Technical College's Bridge to Clemson Resident and Area Directors. Except in the case of elected officials, the fair market rental value of any residence furnished to a state employee shall be reported by the state agency furnishing the residence to the Agency Head Salary Commission, and the Division of Budget and Analyses by October first, of each fiscal year.

All salaries paid by departments and institutions shall be in accord with a uniform classification and compensation plan, approved by the Budget and Control Board, applicable to all personnel of the State Government whose compensation is not specifically fixed in this act. Such plan shall include all employees regardless of the source of funds from which payment for personal service is drawn. The Division of Budget and Analyses of the Budget and Control Board is authorized to approve temporary salary adjustments for classified and unclassified employees who perform temporary duties which are limited by time and/or funds. When approved, a temporary salary adjustment shall not be added to an employee's base salary and shall end when the duties are completed and/or the funds expire. Academic personnel of the institutions of higher learning and other individual or group of positions that cannot practically be covered by the plan may be excluded therefrom but their compensations as approved by the Division of Budget and Analyses shall, nevertheless, be subject to review by the Budget and Control Board. Salary appropriations for employees fixed in this act shall be in full for all services rendered, and no supplements from other sources shall be permitted or approved by the Budget and Control Board. With the exception of travel and subsistence, legislative study committees shall not compensate any person who is otherwise employed as a full-time state employee. Salaries of the heads of all agencies of the State Government shall be specifically fixed in this act and no salary shall be paid any agency head whose salary is not so fixed. Commuter mileage on nonexempt state vehicles shall be considered as income and reported by the Comptroller General in accordance with IRS regulations. As long as there is no impact on appropriated funds, state agencies and institutions shall be allowed to spend public funds and/or other funds for designated employee award programs which shall have written criteria approved by the agency governing board or commission. For purposes of this section, monetary awards, if any, shall not be considered a part of an employee's base salary, a salary supplement, or a requisite of employment. The names of all employees receiving monetary awards and the amounts received shall be reported annually to the South Carolina Division of Budget and Analyses.

In the case of lodging furnished by certain higher education institutions to employees, the prevailing local rate does not apply if the institution meets the exceptions for inadequate rent described in the current Internal Revenue Code Section 119(d)(2). To meet the exception, rental rates must equal the lesser of five percent of the appraised value of the qualified campus lodging, or the average of the rentals paid by individuals (other than employees or students of the educational institution) during the calendar year for lodging provided by the educational institution which is comparable to the qualified campus lodging provided to the employee, over the rent paid by the employee for the qualified campus lodging during the calendar year. The appraised value shall be determined as of the close of the calendar year in which the taxable year begins, or, in the case of a rental period not greater than one year, at any time during the calendar year in which the period begins.

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

89.110 GP: FY 2011-2012 Flexibility (old 89.140)

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

- I. Education and General
- II. Auxiliary Enterprises

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

This proviso applies to all state agencies.

D. Action

(Indicate Keep, Amend, Delete, or Add):

KEEP

E. Title

Descriptive Proviso Title:

Flexibility

F. Summary

Summary of Existing or New Proviso:

In order to provide maximum flexibility in absorbing the general fund reductions mandated in this act as compared to Fiscal Year 2008-09 general fund appropriations, agencies are authorized for Fiscal Year 2011-2012 to spend agency earmarked and restricted accounts designated as "special revenue funds" as defined in the Comptroller General's records, to maintain critical programs previously funded with general fund appropriations. Any spending authorization for these purposes must receive the prior approval of the Office of State Budget and must be reported to the Governor, Senate Finance Committee, and the House Ways and Means Committee. The Comptroller General is authorized to implement the procedures necessary to comply with this directive. This provision is provided notwithstanding any other provision of law restricting the use of earned revenue. Appropriation transfers may exceed twenty percent of the program budget upon approval of the Budget and Control Board, Office of State Budget in consultation with the Chairman of the Senate Finance Committee and the Chairman of the House Ways and Means Committee.

State institutions of higher learning whose budgets have been reduced from the Fiscal Year 2010-2011 state funding level, shall have the authority to use other sources of available funds to support and maintain state funded programs affected by state reductions during Fiscal Year 2011-2012 and may adjust appropriations from special items or programs contained in this act in an amount greater or less than the percentage of the reduction assessed to the institution's base budget. Institutions shall submit to the Office of State Budget, the Senate Finance Committee, and the House Ways and Means Committee the amount of base budget reductions associated with these programs.

Notwithstanding the flexibility authorized in this provision, the following agencies are prohibited from reducing or transferring funds from the following programs or areas:

- (A) Department of Natural Resources
Law Enforcement Program/Enforcement Operations as contained in Program II. F.1
- (B) Department of Parks, Recreation, and Tourism
Program II. A. Special Item: Regional Promotions

In addition the Department of Parks, Recreation and Tourism is prohibited from closing or reducing the FTE's in the State House Gift Shop and the Santee Welcome Center.

Notwithstanding the prohibition on reducing or transferring funds from the programs or areas listed above, the Department of Natural Resources may reduce the specified programs or areas by an amount not to exceed the percentage associated with any mandated reduction.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary

This proviso will give Clemson the flexibility to adjust the budget as necessary if budget cuts will continue.

I. Justification

Refer to the instructions for the correct question to answer in this space, based on the action you selected

This proviso correlates with current appropriations and should be included in the annual legislation.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)

K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

89.70 GP: LightRail (old 89.73)

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

- I. Education and General
- II. Auxiliary Enterprises

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

This proviso applies to all state agencies.

D. Action

(Indicate Keep, Amend, Delete, or Add):

KEEP

E. Title

Descriptive Proviso Title:

LightRail

F. Summary

Summary of Existing or New Proviso:

Pursuant to this provision the three research universities: Clemson University, the Medical University of South Carolina, and the University of South Carolina-Columbia, are authorized and directed to plan, procure, administer, oversee, and manage all functions associated with the South Carolina LightRail and are thereby exempt from the oversight and project management regulations of the Budget and Control Board, Division of State Information Technology. South Carolina LightRail is an academic network for the use of the state's three research universities for the exchange of information directly related to their mission and must not carry commercial or K-12 traffic originated in South Carolina. For the current fiscal year, public or private organizations and entities may be provided access only through formal documented partnerships with one or more of the three research universities. On February first of the current fiscal year, the entity managing the network must submit to the Chairman of the House Ways and Means Committee and the Chairman of the Senate Finance Committee a report specifically identifying each entity with access to the network and any payment, including without limitation in-kind payment, that each such organization and entity is making for access to the network.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary**I. Justification**

Refer to the instructions for the correct question to answer in this space, based on the action you selected

This proviso correlates with current appropriations and should be included in the annual legislation.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)

K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline

(89.70)

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

Various Personnel-Related Provisos**B. Appropriation**

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

- I. Education and General
- II. Auxiliary Enterprises

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

These provisos apply to all state agencies. Rather than Clemson submitting all of these personnel-related provisos through this process, the University supports the changes and recommendations that are currently being developed by the Higher Education Human Resources Working Group created by the SC Higher Education Efficiency and Administrative Policies Act of 2011.

D. Action

(Indicate Keep, Amend, Delete, or Add):

The University supports the changes and recommendations that are currently being developed by the Higher Education Human Resources Working Group created by the SC Higher Education Efficiency and Administrative Policies Act of 2011.

E. Title

Descriptive Proviso Title:

Personnel-related provisos regarding furloughs, separation plans, reductions in force, FTEs, etc.

F. Summary

Summary of Existing or New Proviso:

Throughout the current General Appropriations Bill are several provisos regulating how state agencies should and can implement personnel changes and activities.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary**I. Justification**

Refer to the instructions for the correct question to answer in this space, based on the action you selected

This proviso correlates with current appropriations and should be included in the annual legislation.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)**K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline**

Federal Aid Justification

Various

Summary

Award Title	Training Grants				
CFDA Number/Title	(XX.XXX) Other CFDA	→	If "Other", identify:	Various	
Award Number (Federal)	Various	Start Date	Various	Federal Agency	
Award Number (State)	Various	End Date	Various	Federal Subagency	
Award Period		→	If "Other", explain:		

NOTE: For more information regarding Clemson University's federal sponsors, please refer to the University's A133 audits at the State Auditor's website at <http://osa.sc.gov/stateengagements/clemson/Pages/default.aspx>.

Financial

Total Award Amount	N/A	Amount Available in FY 2012-13	Approximately \$1.4M
State Match Required?		If "Yes", describe, and provide SAP Fund Number(s) of funding sources	N/A
Local Match Required?		If "Yes", describe	N/A
Assistance Type		If "Other", explain	N/A
Is administrative and/or indirect cost recovery permitted? If so, explain:	Clemson University negotiates facilities and administrative cost recovery rates with the Federal Government.		
Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?	This depends on various grant requirements.		

Federal Aid Justification

Various

Questions

How is the use of these funds essential to your agency's mission?

These funds are essential to enhance core mission requirements.

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

None

What outcome and/or performance measures will you track and/or report on in association with this award?

Various -- depends on mission requirements supported.

What is the name and title of the individual in your agency who is responsible for the success of this program?

N/A

Federal Aid Justification

Various

Summary

Award Title	Non-Research				
CFDA Number/Title	(XX.XXX) Other CFDA	→	If "Other", identify:	Various	
Award Number (Federal)	Various	Start Date	Various	Federal Agency	
Award Number (State)	Various	End Date	Various	Federal Subagency	
Award Period		→	If "Other", explain:		

NOTE: For more information regarding Clemson University's federal sponsors, please refer to the University's A133 audits at the State Auditor's website at <http://osa.sc.gov/stateengagements/clemson/Pages/default.aspx>.

Financial

Total Award Amount	N/A	Amount Available in FY 2012-13	Approximately \$9.4M
State Match Required?		If "Yes", describe, and provide SAP Fund Number(s) of funding sources	N/A
Local Match Required?		If "Yes", describe	N/A
Assistance Type		If "Other", explain	N/A
Is administrative and/or indirect cost recovery permitted? If so, explain:	Clemson University negotiates facilities and administrative cost recovery rates with the Federal Government.		
Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?	This depends on various grant requirements.		

Federal Aid Justification

Various

Questions

How is the use of these funds essential to your agency's mission?

These funds are essential to enhance core mission requirements.

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

None

What outcome and/or performance measures will you track and/or report on in association with this award?

Various -- depends on mission requirements supported.

What is the name and title of the individual in your agency who is responsible for the success of this program?

N/A