

Agency Certification and Transmittal Sheet

Code:

P26

Name:

S.C. Sea Grant Consortium

Mission Statement: The South Carolina Sea Grant Consortium generates and provides science-based information to enhance the practical use and conservation of coastal and marine resources that fosters a sustainable economy and environment. To this end, the Consortium's legislative mandate identifies the following three strategic goals which provide the foundation for Sea Grant activities:

- i. "To provide a mechanism for the development and management of the Sea Grant Program for the State of South Carolina and adjacent regions which share a common environment and resource heritage."
- ii. "To support, improve and share research, education, training and advisory services in fields related to ocean and coastal resources."
- iii. "To encourage and follow a regional approach to solving problems or meeting needs relating to ocean and coastal resources in cooperation with appropriate institutions, programs, and persons in the region."

To the Office of State Budget

This, and accompanying statements, schedules, and explanatory sheets consisting of 31 pages (not counting this sheet) constitute the operating budget estimates of this agency for all proposed expenditures for the 2012-2013 fiscal year.

All statements and explanations contained in the estimates submitted herewith are true and correct to the best of my knowledge.

Signed:



(Agency Head)

Date:

30-Sep-11

FISCAL YEAR 2012-13 BUDGET PLAN

I. EXECUTIVE SUMMARY

A. Agency Section/Code/Name:

Section 38, P26, South Carolina Sea Grant Consortium

B. Summary Description of Strategic or Long-Term Goals:

Mission: To enhance the economic, environmental and social potential of South Carolina's coastal and marine resources through an integrated program of research, education, training, and extension activities.

Budget Plan: Therefore, for the Consortium to regain financial stability in FY12-13, we are seeking \$96,000 in recurring state funds for salaries and fringe benefits to allow the agency to restore funding for two critical FTE positions – one 100% state FTE (Assistant Director for Program Management) and one 40% state FTE (Administrative Coordinator-Fiscal Analyst). These funds are needed to address two serious issues: (1) the Consortium has had to (1) cover our FY11-12 reduction of state recurring funds (more than \$32,700) with a non-recurring source of funding, and (2) the Consortium has had to reassign program development staff (who work to identify and solicit competitive funding for Consortium research and outreach programs) in FY2011-12 to cover program management and reporting obligations due to the loss of these critically important positions.

The Consortium is also consistently preparing and submitting proposals to a variety of organizations to compete for funding that it can use to support research and outreach programs and projects which address the myriad of issues and opportunities that are identified in its 2010-13 Strategic and Implementation Plan. The Consortium proposes to successfully compete for some \$6,000,000 in grant funding.

Capital Budget/Non-recurring Request: The Consortium is not seeking capital budget or non-recurring funds for FY2012-2013.

Long-term Strategic Goals: The S.C. Sea Grant Consortium's 2010-2013 Strategic Plan, "Valuing Resources – Adapting to Change," includes five programmatic themes and three management themes, each with a strategic goal:

1. Coastal and Ocean Landscape – Goal: The ecological and economic value of coastal and ocean ecosystem processes are documented and resultant information and tools are delivered to state and local decision-makers, resource managers, and interested public.
 2. Sustainable Coastal Development and Economy – Goal: Decision-makers are aware of the impacts of population growth and development on coastal and ocean ecosystems, and apply science-based management tools and techniques to balance this growth with resource conservation.
 3. Sustainable Fisheries and Aquaculture – Goal: Sustainable fisheries and aquaculture practices in the coastal region are compatible with changing demographics, business development, regulatory environments, and long-term conservation of natural and cultural resources.
 4. Hazard Resilience in Coastal Communities – Goal: Coastal residents, communities, and businesses understand the risks and vulnerabilities associated with both chronic and episodic coastal natural hazard events, and are prepared for and able to recover from them with minimal disruption to social, economic, and natural systems.
 5. Scientific Literacy and Workforce Development – Goal: An informed and engaged public understands the value and vulnerability of coastal and marine resources, demands science-based decisions about the conservation, use, and management of those resources, and supports the development of a well-trained workforce.
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1. Planning, Program Management, and Overall Performance – Goal: Maintain and enhance viable planning and performance efforts in support of the mission and programmatic goals of the Consortium.
 2. Connecting with Users – Goal: The Consortium is the primary source for applied coastal and ocean resource information in South Carolina and the region.
 3. Human Resources – Goal: The Consortium is fully staffed with professionals of diverse skills to effectively serve the varied interests of our constituencies.

C. 2011-2012 Agency Recurring Base Appropriation:

State: \$ 327,392
Federal: \$ 6,320,000
Other: \$ 282,500

D. Number of Budget Categories:

One (Administration)

E. **Agency-wide Vacant FTEs**

Vacant FTEs as of July 31, 2011: Five (5)
% Vacant: 36%

F. **Efficiency Measures:**

Results of the National Sea Grant External Site Visit Evaluation. The Consortium is recognized nationally as one of the premier Sea Grant College Programs in the United States. An external National Sea Grant Site Team recently evaluated the Consortium (September 21-22, 2010), and *highly commended* the agency for its effective management and organization; creativity; stakeholder engagement; collaborative networking; local, state, regional, and national leadership; ability to leverage resources and funding; and interactions with the private sector. The Team had no recommendations for program improvement.

Consortium's Return on Investment to the State of South Carolina. The Consortium has been able to increase its ROI on the State of South Carolina investment despite recent state budget reductions. I submit that this trend is not sustainable, however.

<i>FISCAL YEAR</i>	<i>STATE</i>	<i>NON-STATE</i>	<i>TOTAL</i>	<i>RETURN ON INVESTMENT</i>
2007-08	\$ 615,836	\$4,177,769	\$4,793,605	678%
2008-09	\$ 444,756	\$3,227,636	\$3,672,392	726%
2009-10	\$ 405,460	\$3,621,363	\$4,026,823	993%
2010-11	\$ 360,134	\$4,604,549	\$4,964,683	1278%

Consortium Funding Trends. In FY10-11, the Consortium received more than \$4,604,500 in non-state funding, representing approximately a \$983,000 increase from FY09-10. The agency's total annual budget for FY10-11 was \$4,964,683, an *18.9% increase* compared with FY09-10.

Regional Leadership. The Consortium has worked diligently to enhance its statewide and regional research and outreach program efforts through large-scale grant funding and small-scale partnership efforts; the following reflect a sampling of these activities:

- The Consortium hosts the Center for Ocean Sciences Education Excellence – Southeast (COSEE-SE; [.cosee-se.](#)), a regional ocean education hub funded over the past eight years (over \$3.2 million) through the National Science Foundation (NSF), and received notification in 2010 from NSF that it will be funded for an additional three years with a total budget of \$1.05 million.
- The Southeast Coastal Ocean Observing Regional Association (SECOORA), one of 11 ocean observing regional associations established through the Integrated Ocean Observing System (IOOS®) network, coordinates coastal ocean observing activities and facilitates dialogue among stakeholders in the southeastern U.S. Over the last eight years, the Consortium and SECOORA successfully competed for \$6 million in NOAA funding to support the organization's maturation, ocean observing assets, data generation and integration, stakeholder engagement, and administration. In March 2010, SECOORA ([.secoora.](#)) was spun off from the Consortium, becoming an independent, non-profit corporation.
- The Consortium is actively involved with the formation and nurturing of the Governors' South Atlantic Alliance ([.southatlanticalliance.](#)), formally established on October 19, 2009 at an official ceremony in Charleston, S.C. involving the Governor's appointees from the four southeastern states, as well as representatives of the White House Council on Environmental Quality and three federal co-lead agencies. In November 2010, the alliance designated the S.C. Sea Grant Consortium as its designated administrative and program management agent.
- The Consortium received the only award from the NOAA National Sea Grant College Program and the NOAA Climate Program Office in 2006 to support regional coastal climate extension efforts under "The Carolinas Coastal Climate Outreach Initiative." Dr. Jessica Whitehead leads the program, which is based at the Consortium headquarters. In 2010, the program received an additional two years of NOAA funding.

Other Efficiency Measures. Other cost efficiencies include:

- The Consortium pays \$10.55 per square foot to lease its office space in downtown Charleston; comparable rates in downtown Charleston range from \$25-\$35 per square foot.
- The Consortium is continuously ranked high in office energy efficiency according to the S.C. Energy Office.

G. **Number of Proviso Changes:**

None – The Consortium has one recurring proviso that requires no change.

IIA. OPERATING BUDGET PROGRAMS

Agency Section/Code/Name: Section 38, P26, South Carolina Sea Grant Consortium

SUMMARY OF OPERATING BUDGET PROGRAMS FOR FY 2012-13

OPERATING BUDGET PROGRAMS			FUNDING					FTEs			
Title	Activity Name	Activity No.	Non-Recurring State	Recurring State	Federal	Other	Total	State	Federal	Other	Total
Administration	Research and Education	1262	0	91,890	5,000,000	200,000	5,291,890	1.25	0.75	0.00	2.00
	Communications	1263	0	98,294	150,000	30,000	278,294	2.60	2.40	0.00	5.00
	Extension Program	1264	0	0	500,000	40,000	540,000	0.00	1.00	0.00	1.00
	Administration	1265	0	233,208	350,000	10,000	593,208	2.89	3.11	0.00	6.00
							0				
							0				
For additional rows, place cursor in this gray box and press "Ctrl" + "b". (You need to start in this gray box for each row needed or the formulas will not copy properly.)											
TOTAL OF ALL OPERATING BUDGET PROGRAMS			0	423,392	6,000,000	280,000	6,703,392	6.74	7.26	0.00	14.00

IIB. CAPITAL BUDGET/NON-RECURRING REQUESTS FOR FY 2012-13

Agency Section/Code/Name: Section 38, P26, South Carolina Sea Grant Consortium

SUMMARY OF CAPITAL BUDGET/NON-RECURRING REQUESTS FOR FY 2012-13

CAPITAL BUDGET/NON-RECURRING REQUESTS				Additional State Funds	Previously Authorized State Funds	Total Other Fund Sources	Project Total
Project No.*	Project Name	Activity Name	Activity No.				
N/A	N/A	N/A					0
							0
							0
							0
							0
For additional rows, place cursor in this gray box and press "Ctrl" + "c". (You need to start in this gray box for each row needed or the formulas will not copy properly.)							
TOTAL OF ALL CAPITAL BUDGET/NON-RECURRING REQUESTS				0	0	0	0

*if applicable

Section 38, P26, South Carolina Sea Grant Consortium

A. Summary description of programs and how they relate to the mission of the agency:

The S.C. Sea Grant Consortium (hereinafter referred to as the Consortium), created in 1978 by the S.C. General Assembly, has as its purpose the management and administration of the Sea Grant Program and related activities to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources. The Consortium's unique mission is to generate and provide science-based information to enhance the practical use and conservation of coastal and marine resources that fosters a sustainable economy and environment. The Consortium vision is to be the best Sea Grant Program in the Nation, and one of the most efficiently and effectively managed state agencies in South Carolina. The agency's motto is *Science Serving South Carolina's Coast*. A link to the agency's recently revised strategic plan, titled *The Changing Face of Coastal South Carolina: Valuing Resources – Adapting to Change*, may be found at [://www.scseagrant.org/SeaGrant/](http://www.scseagrant.org/SeaGrant/).

The Consortium develops and supports balanced and integrated research, education, and extension programs for South Carolina which seek to provide for future economic opportunities, improve the social well-being of its citizens, and ensure the wise use and development of its marine and coastal natural resources. It administers an effective and efficient communications and outreach network among academia, business, government, and the general public to ensure that Consortium activities are responsive to marine and coastal stakeholders and that information generated is delivered in a useful and timely fashion. The Consortium is part of a nationwide network of 32 Sea Grant Programs that report to the National Sea Grant College Program, National Oceanic and Atmospheric Administration (NOAA), U.S. Department of Commerce; thus, Consortium activities are responsive to regional and national needs, as well as to those of South Carolina. The Consortium is unique among Sea Grant programs nationally in that it is an academically based independent state agency.

The goal of the Consortium's strategic planning process is to maximize the ability of S.C. Sea Grant's research, education, and outreach programs to address the coastal resource needs of South Carolina. To this end, the Consortium's legislative mandate identifies the following three strategic goals which provide the foundation for Sea Grant activities.

- i. "To provide a mechanism for the development and management of the Sea Grant Program for the State of South Carolina and adjacent regions which share a common environment and resource heritage."
- ii. "To support, improve and share research, education, training and advisory services in fields related to ocean and coastal resources."
- iii. "To encourage and follow a regional approach to solving problems or meeting needs relating to ocean and coastal resources in cooperation with appropriate institutions, programs, and persons in the region."

B. Budget Program Number and Name:

I. Administration

C. Agency Activity Number and Name:

[Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.]

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal (estimated)	Other	Total
1262	Research and Education	0	\$ 91,890	\$5,000,000	\$200,000	\$5,291,890
1263	Communications	0	\$ 98,294	\$ 150,000	\$ 30,000	\$ 278,294
1264	Sea Grant Extension	0	\$ 000	\$ 500,000	\$ 40,000	\$ 540,000
1265	Administration	0	\$233,208	\$ 350,000	\$ 10,000	\$ 593,208

Section 38, P26, South Carolina Sea Grant Consortium

D. Performance Measures:

MEASURE	FY08-09	FY09-10	FY10-11
Mission Accomplishment			
NOAA National Sea Grant College Program Performance Rating	High Performance	High Performance	Highly Commended
Communications Awards (#)	5	4	4
Res/Educ Proposals Submitted (#)	39	32	47
Res/Educ Proposals Funded (#)	27	23	38
University Faculty Supported (#)	59	40	62
Grads/Undergrads Supported	66	50	48
K-12 Teachers Trained	300	116	110
K-12 Students Reached	600	2,875	2,750
Number of Curricula Developed	ND	11	9
Customer Satisfaction			
Extension Programs	201	190	74
Participants - Extension Events	4,157	6,450	2,785
Pubs/Products - Extension	71	76	26
Pubs/Products - Communications	28	20	26
Unsolicited Requests for Pubs	1,262	900	1,030
Publications Distributed	3,851	4,002	2,913
News Releases (#)	8	10	11
Placements from News Releases	195	220	155
Unsolicited Media Placements	40	20	20
Agency Web Site - Hits	2,667,257	2,645,939	2,049,117
Agency Web Site - Unique Visits	151,618	188,376	263,835
Agency Web Site - Downloads	2,080,617	2,326,268	1,439,341
Volunteer Site Cpts Beach Sweep	115	110	100
Volunteers (#) Beach Sweep	4,500	3,200	4,700
Financial Performance			
State Recurring Funding	\$ 444,756	\$ 405,460	\$ 360,134
Extramural Funding	\$3,227,636	\$3,621,363	\$4,604,549
Return on (State) Investment	726%	993%	1278%
Single Agency Audit	No Audit	No Audit	No Audit
Human Resource Results			
Agency Staff Retention/Rehiring	3 Vacancies	4 Vacancies	5 Vacancies
Staff Training Opportunities	12	17	25
SG Extension Staffing level	Fully Staffed	1 Vacancy	1 Vacancy

E. Program Interaction:

Organizational Structure. The Consortium is structured as a partnership of state institutions with a mutual interest in and capacity to address state and regional coastal and ocean resource needs and opportunities. Institutions that hold membership in the Consortium include The Citadel, Clemson University, Coastal Carolina University, the College of Charleston, the Medical University of South Carolina, South Carolina State University, S.C. Department of Natural Resources, and the University of South Carolina. Consortium institutions provide the expertise of their respective faculty and professional staffs, as well as a wide range of facilities, equipment, and matching funds, necessary to carry out the range of programs supported by the Consortium. In addition, each member institution has Institutional Liaisons to provide direct administrative contact between the Consortium staff and each member institution.

Member Institution Support. As an indication of their support and commitment to the Sea Grant program, each Consortium-member institution waives indirect costs on all Sea Grant-funded projects. In addition, these institutions provide matching funds to help the Consortium meet its federal matching fund requirement of \$1 of match for every \$2 of federal Sea Grant support.

Section 38, P26, South Carolina Sea Grant Consortium

Building Relationships with Customers and Stakeholder Groups. The Consortium builds and maintains solid relationships through our daily interactions with our various stakeholders. We make it evident to them how we support their goals and needs, while receiving a continual stream of information from them on ways to better serve their needs. Building strong, working relationships is the bedrock for the agency's success. One of our guiding management principles is to seek out the *active engagement* of our stakeholder groups. The Consortium's success is built on a strong foundation of *partnerships* formed with appropriate individuals and groups. During the reporting period the Consortium worked with numerous individuals representing over 100 federal, state and local agencies, county and municipal governments, K-12 schools, universities, businesses, and industry. (A listing of Consortium partners can be found in the Consortium's FY10-11 State Accountability Report.)

National Evaluation Comments on Consortium Engagement. The Consortium partners and leverages with a host of organizations, institutions, business, industry, and academia as mentioned above. Comments provided by the National Sea Grant College Program office as a result of our September 20-21, 2010 Program Evaluation related to the Consortium's diverse partnerships and ability to leverage illustrate the effectiveness of the Consortium to do both:

- "There are currently eight institutions in the Consortium... The Program's inherent multi-institutional structure has created many opportunities and, given the effective leadership of the Program, significant efficiency and productivity."
- "Site Visit participants noted that the structure and performance of the Consortium helped convince individual institutions that creating and facilitating partnerships would lead to more effective use of funds."
- The Consortium, "through the use of its Program Advisory Board, gets excellent guidance on initiatives related to coastal and marine resource issues and opportunities."
- The Consortium "blends science, outreach, and communication components well."
- The Consortium's "stakeholder engagement is broad, impressive, diverse, and multidimensional. The Consortium has been entrepreneurial and has used creative 'out-of-the-box' thinking. This has leveraged credibility and provided opportunities to match resources to needs."
- The Team "was also impressed with the Consortium's work with the private sector where, through education and creative partnering, private sector resources were brought to bear in areas with aligned public and private goals."

F. Change Management:

Levels and Trends for Workforce-Focused Results. The S.C. Sea Grant Consortium currently has 7.26 state FTEs and 6.74 federal FTEs. The total number of FTEs essentially has remained constant over time. However, due to state funding reductions, five of the Consortium's 14 FTE positions are vacant. While the Consortium's programmatic activities continue to increase, administration costs remained level over time until state fiscal difficulties and budget cuts affected the agency in the first half of the present decade. Since FY00-01, the Consortium has had to absorb severe budget reductions; thus, administration costs decreased by almost 50 percent through fiscal year 04-05. The Consortium received increases in FY05-06, FY06-07, and FY07-08, but the volatility in state revenues, with significant budget reductions being absorbed over the past four years, make agency stability again a significant challenge. In FY11-12, the Consortium's administrative costs were cut further due to the state's financial difficulties in the present budget climate.

Addressing Stakeholder Needs through Innovative Strategic Planning. The agency's strategic plan is a process – it is dynamic – and therefore the Consortium's strategic planning for 2010-13 focuses on the "changing face" of the South Carolina coast and the ever-increasing demands by the agency's constituencies for its products and services. During the last reporting period, and continued into this period, the Consortium initiated efforts to improve the focus and responsiveness of its future programmatic activities. The Consortium's Core Group conducted an internal planning process to review its programmatic areas and update the entire Strategic Plan. Previous program area designations were evaluated and reorganized into a performance-based set of strategic goals. These goals reflect the Consortium's desire that it address the relevant and pressing coastal and marine resource needs of South Carolina. As a result, our 2010-13 Strategic and Implementation Plan includes both an Administrative component and Programmatic component. It has also been "aligned" with the NOAA National Sea Grant College Program Strategic Plan. The Administrative component includes an emphasis on maintaining and enhancing a viable administrative, management, and financial system and encouraging an "environment of excellence" by supporting the development of leadership skills among staff. This includes focusing efforts to strengthen the Consortium's administrative process and eliminate any weaknesses that are identified. It also ensures that the Consortium remains current regarding the technology being used in the State for administrative procedures. The Programmatic components focus on key issues on which the Consortium directs its programs.

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- Restore a critical level of program management and administrative capacity to be able to regain program staff efforts to effectively meet the agency's strategic goals (as identified in the Executive Summary), its ever-increasing responsibilities, and growing demand for Consortium programs and services; and
- Effectively and proactively solicit, manage, and administer competitively acquired research and outreach grant funding to support programs and projects that meet the ever-growing constituent demands for the Consortium's quality programs and services.

The S.C. Sea Grant Consortium has had to manage and administer its ever-growing programmatic responsibilities (as identified in the Executive Summary) with no increases in staff FTEs in over 16 years. The Consortium has five FTE vacancies at this time due to these budget cuts, so the agency has no ability to add critical personnel to address emerging issues requested by our stakeholders (i.e., citizens of and visitors to South Carolina), and to be able to meet the increasing number of administrative requirements of the state (e.g., SCEIS) and the federal agencies (e.g., NIMS and PIER) that provide the Consortium with its programmatic support through competitive funding opportunities.

Federal Funds request: The Consortium is consistently preparing and submitting proposals to a variety of organizations to compete for funding that it can use to support research and outreach programs and projects which address the myriad of issues and opportunities that are identified in its 2010-13 Strategic and Implementation Plan. We have been quite successful in securing non-state funding to support the work that we have set out to do based on the needs of South Carolinians; indeed, the return on the state's investment in the Consortium in FY01-11 exceeded 1,300 percent! However, this coming year, the Consortium is slightly lowering its expectations for federal funding in FY12-13 to reflect a tightening of the availability of extramural funding, especially from federal resource agencies, due to current economic conditions and the desire to reduce the federal deficit. We do anticipate a successful year of support, nevertheless.

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
10010000	State of SC	423,392				
50570000	Federal Grants					2,100,000
55420P00	Federal Grants					2,500,000
50570P00	Federal Grants					1,400,000
33K30000	Various			280,000		

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

J. FTE Positions:

Please detail the number of FTE's filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time-limited (non-FTE)
2012-2013 (A)	7.26		6.74	14.00	6
2011-2012 (A)	7.26		6.74	14.00	6
2010-2011 (F)	4.94		4.06	9.00	7

III. Budget Category Justification Sheet	Agency Code	Agency Name
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Section 38, P26, South Carolina Sea Grant Consortium

2010-2011 (A)	7.26		6.74	14.00	7
2009-2010 (F)	5.14		4.86	10.00	7
2009-2010 (A)	7.26		6.74	14.00	7
2008-2009 (F)	6.14		4.86	11.00	7
2008-2009 (A)	7.26		6.74	14.00	7
2007-2008 (F)	7.26		6.74	14.00	7
2007-2008 (A)	7.26		6.74	14.00	7

K. Detailed Justification for FTEs: N/A

(1) Justification for New FTEs

(a) Justification:

(b) Future Impact on Operating Expenses or Facility Requirements:

(2) Position Details:

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

Section 38, P26, South Carolina Sea Grant Consortium

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

- Proviso number 38.1

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

- I. Administration

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

- Proviso is agency-specific

D. Action

(Indicate Keep, Amend, Delete, or Add):

- Keep

E. Title

Descriptive Proviso Title:

- SGC: Publications revenue

F. Summary

Summary of Existing or New Proviso:

- "Funds generated by the sale of pamphlets, books, and other promotional materials, the production of which has been paid for by non-state funding, may be deposited in a special account by the consortium and utilized as other funds for the purchase of additional pamphlets, books, and other promotional materials for distribution to the public."

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

- N/A

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary

- This proviso does not direct the expenditure or appropriation of state or federal funds.

I. Justification

Refer to the instructions for the correct question to answer in this space, based on the action you selected

- The Consortium request that the proviso be kept as it is presently stated. Consortium staff are working with legislative budget-writers to have this proviso codified in this upcoming session.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)

- There is no fiscal impact on the general Fund or on agency Other Funds as funds raised via this proviso are being paid by members of the general public who are interested in purchasing publications and other promotional products produced by the Consortium. The agency raises approximately \$2,000 to \$3,000 per year, which is used to re-publish/reproduce materials that have been sold to replenish stocks of those materials.

K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline

(INSERT PROVISOR FROM FY 2012-13 RENUMBERED PROVISOR BASE HERE)

- N/A

Federal Aid Justification

NA09NMF4540138 (Current Award)

Summary

Award Title	Testing of Fisheries Stock Assessment Tools		
CFDA Number/Title	(XX.XXX) Other CFDA	→ If "Other", identify:	11.454
Award Number (Federal)	NA09NMF4540138 (Current Award)	Start Date	09/01/10
		Federal Agency	Department of Commerce (13)
Award Number (State)	N/A	End Date	08/31/12
		Federal Subagency	National Oceanic and Atmospheric Administration (1330)
Award Period	One-Time	→ If "Other", explain:	

Financial

Total Award Amount	\$ 50,147.00	Amount Available in FY 2012-13 (approximately)	\$ 25,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Cooperative Agreement	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain:

The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

The majority of funding that is received by the Consortium is sub-awarded to faculty, staff, and students of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive research and outreach projects.

Federal Aid Justification

NA09NMF4540138 (Current Award)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage" and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources." The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the NOAA related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

Amber Von Harten, Marine Fisheries Extension Specialist

Federal Aid Justification

NA06OAR4170015 (Current Year); NA06OAR4170212 (Current Year); NA11OAR4170012 (Current Year); NA09OAR4170216 (Current Year); NA10OAR4170073 (Current Year)

Summary

Award Title	National Sea Grant College Program Support		
CFDA Number/Title	(XX.XXX) Other CFDA	→ If "Other", identify:	11.417
Award Number (Federal)	NA06OAR4170015 (Current Year); NA06OAR4170212 (Current Year); NA11OAR4170012 (Current Year); NA09OAR4170216 (Current Year); NA10OAR4170073 (Current Year)	Start Date	Various (starting in February 1, 2006)
		Federal Agency	Department of Commerce (13)
Award Number (State)	N/A	End Date	Various
		Federal Subagency	National Oceanic and Atmospheric Administration (1330)
Award Period	Continuing	→ If "Other", explain:	

Financial

Total Awards Amount	\$8,640,330	Amount Available in FY 2012-13 (estimate)	\$ 2,200,000.00
State Match Required?	Yes	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	The Consortium uses a significant portion of its recurring state appropriation to meet the required 50% match (\$1 non-federal to \$2 federal). In addition, the sub-awardee institutions provide a 50% match on all projects and programs that are funded through the Consortium with Sea Grant funds. SAP number is state 10010000
Local Match Required?	No	If "Yes", describe	N/A
Assistance Type	Project Grant	If "Other", explain	
Is administrative and/or indirect cost recovery permitted? If so, explain:	Some administrative support is provided by the National Sea Grant College Program, for program management, extension, communications, and education		

Federal Aid Justification

NA06OAR4170015 (Current Year); NA06OAR4170212 (Current Year); NA11OAR4170012 (Current Year); NA09OAR4170216 (Current Year); NA10OAR4170073 (Current Year)

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

A good portion of funding that is received by the Consortium is sub-awarded to faculty and staff of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive Sea Grant research and outreach proposals. The Consortium makes some 20-25 sub-awards per year for Sea Grant-supported projects.

South Carolina Sea Grant Consortium

Federal Aid Justification

NA06OAR4170015 (Current Year); NA06OAR4170212 (Current Year); NA11OAR4170012 (Current Year); NA09OAR4170216 (Current Year); NA10OAR4170073 (Current Year)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the National Sea Grant College Program related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium tracks a great number of performance measures and metrics that are too numerous to include within this section of our budget submission. This information (the measures and targets) can be found in the Consortium's Strategic and Implementation Plan (<http://www.scseagrant.org/SeaGrant/> and click on the plan), and the actual metrics can be found in the National Sea Grant College Program's PIER (Planning, Implementation, Evaluation Resources) database and in the Consortium's State Accountability Report. The Consortium also monitors 30 Agency Management Performance Measures; metrics for these measures from 2003-2011 can also be found in the Consortium's 2010-11 State Accountability Report.

What is the name and title of the individual in your agency who is responsible for the success of this program?

M. Richard DeVoe, Executive Director (who is the Principal Investigator on all Sea Grant awards)

Federal Aid Justification

NA06NOS4190617 (Current Year); PO#31782/11-1871 (NOAA via SCDHEC)

Summary

Award Title	National Coastal Ocean Science Studies				
CFDA Number/Title	(XX.XXX) Other CFDA	→	If "Other", identify:	11.419	
Award Number (Federal)	NA06NOS4190617 (Current Year); PO#31782/11-1871 (NOAA via SCDHEC)	Start Date	09/15/10	Federal Agency	Department of Commerce (13)
Award Number (State)	N/A	End Date	09/14/11	Federal Subagency	National Oceanic and Atmospheric Administration (1330)
Award Period	Periodic Renewal	→	If "Other", explain:		

Financial

Total Awards Amount	\$ 109,918.00	Amount Available in FY 2012-13 (estimate)	\$ 500,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Project Grant	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain:

The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

A good portion of funding that is received by the Consortium is sub-awarded to faculty and staff of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive research and outreach proposals.

Federal Aid Justification

NA06NOS4190617 (Current Year); PO#31782/11-1871 (NOAA via SCDHEC)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources. " The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the NOAA related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

Denise Sanger, Ph.D., Assistant Director for Research and Program Development

Federal Aid Justification

NA08OAR4310715 (Current Year) NA09OAR4310151 (Current Year)

Summary

Award Title	Sea Level Rise, Salt-Water Intrusion, Drought, and Climate Studies in the Carolinas				
CFDA Number/Title	(XX.XXX) Other CFDA	→	If "Other", identify:	11.431	
Award Number (Federal)	NA08OAR4310715 (Current Year) NA09OAR4310151 (Current Year)	Start Date	07/01/08	Federal Agency	Department of Commerce (13)
Award Number (State)	N/A	End Date	06/30/11	Federal Subagency	National Oceanic and Atmospheric Administration (1330)
Award Period	Periodic Renewal	→	If "Other", explain:		

Financial

Total Awards Amount	\$ 235,717.00	Amount Available in FY 2012-13 (estimate)	\$ 250,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Project Grant	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain:

The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

A good portion of funding that is received by the Consortium is sub-awarded to faculty and staff of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive research and outreach proposals.

Federal Aid Justification

NA08OAR4310715 (Current Year) NA09OAR4310151 (Current Year)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage" and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources." The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the NOAA related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

Jessica Whitehead, Ph.D., Regional Coastal Climate Extension Specialist

Federal Aid Justification

NA08NOS4730298 (Current Award); NA08NOS4730409 (Current Award)

Summary

Award Title	Regional Coastal Ocean Observing and Ocean Planning and Management				
CFDA Number/Title	(XX.XXX) Other CFDA	→	If "Other", identify:	11.473	
Award Number (Federal)	NA08NOS4730298 (Current Award); NA08NOS4730409 (Current Award)	Start Date	06/01/08	Federal Agency	Department of Commerce (13)
Award Number (State)	N/A	End Date	07/31/13	Federal Subagency	National Oceanic and Atmospheric Administration (1330)
Award Period	Periodic Renewal	→	If "Other", explain:		

Financial

Total Awards Amount	\$ 3,363,820.00	Amount Available in FY 2012-13 (estimate)	\$ 2,000,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Cooperative Agreement	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain:

The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

The majority of funding that is received by the Consortium is sub-awarded to faculty and staff of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive research and outreach proposals.

Federal Aid Justification

NA08NOS4730298 (Current Award); NA08NOS4730409 (Current Award)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage" and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources." The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the NOAA related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

M. Richard DeVoe, Executive Director

Federal Aid Justification

OCE1038397 (Current Year); OCE0527849 (Current Year)

Summary

Award Title	Center for Ocean Sciences Education Excellence in the Southeast				
CFDA Number/Title	(XX.XXX) Other CFDA	→	If "Other", identify:	47.050	
Award Number (Federal)	OCE1038397 (Current Year); OCE0527849 (Current Year)	Start Date	10/01/10	Federal Agency	National Science Foundation (49)
Award Number (State)	N/A	End Date	09/30/13	Federal Subagency	National Science Foundation (4900)
Award Period	Periodic Renewal	→	If "Other", explain:		

Financial

Total Award Amount	\$ 1,049,989.00	Amount Available in FY 2012-13 (estimate)	\$ 500,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Project Grant	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain: The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed? A good portion of funding that is received by the Consortium is sub-awarded to faculty and staff of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive research and outreach proposals.

Federal Aid Justification

OCE1038397 (Current Year); OCE0527849 (Current Year)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage" and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources." The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the NSF related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

Lundie Spence, Ph.D., COSEE-SE Director

Federal Aid Justification

1U38EH000343-01 (Current Award)

Summary

Award Title	Impacts of Harmful Algal Blooms		
CFDA Number/Title	(XX.XXX) Other CFDA	→ If "Other", identify:	93.283
Award Number (Federal)	1U38EH000343-01 (Current Award)	Start Date	09/30/08
		Federal Agency	Department of Health and Human Services (75)
Award Number (State)	N/A	End Date	09/29/13
		Federal Subagency	Centers for Disease Control and Prevention (7523)
Award Period	Continuing	→ If "Other", explain:	

Financial

Total Award Amount	\$ 720,000.00	Amount Available in FY 2012-13 (estimate)	\$ 200,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Cooperative Agreement	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain:

The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

Ninety-five percent of funding that is received by the Consortium is being sub-awarded to faculty and staff of four Consortium-member institutions that sought funding support through the successful submission of competitive research and outreach proposals.

Federal Aid Justification

1U38EH000343-01 (Current Award)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage" and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources." The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the CDC related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

M. Richard DeVoe, Executive Director

Federal Aid Justification

MOA-201-049/8135 (Current Award);

MOA-2006-025/7182 (Current Award)

Summary

Award Title	Oceans and Human Health Research, Education, and Training				
CFDA Number/Title	(XX.XXX) Other CFDA	→	If "Other", identify:	11.472	
Award Number (Federal)	MOA-201-049/8135 (Current Award); MOA-2006-025/7182 (Current Award)	Start Date	10/01/10	Federal Agency	Department of Commerce (13)
Award Number (State)	N/A	End Date	09/30/15	Federal Subagency	National Oceanic and Atmospheric Administration (1330)
Award Period	Continuing	→	If "Other", explain:		

Financial

Total Award Amount	\$ 590,000.00 (approx.)	Amount Available in FY 2012-13	(approximately) \$ 200,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Cooperative Agreement	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain:

The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

The majority of funding that is received by the Consortium is sub-awarded to faculty, staff, and students of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive research and outreach proposals.

Federal Aid Justification

MOA-201-049/8135 (Current Award);

MOA-2006-025/7182 (Current Award)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage" and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources." The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the NOAA related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

Elaine L. Knight, Assistant Director

Federal Aid Justification

NA09NMF4540138 (Current Award)

Summary

Award Title	Marine Debris Studies and Habitat Conservation		
CFDA Number/Title	(XX.XXX) Other CFDA	→ If "Other", identify:	11.463
Award Number (Federal)	NA09NMF4540138 (Current Award)	Start Date	07/01/09
		Federal Agency	Department of Commerce (13)
Award Number (State)	N/A	End Date	12/31/11
		Federal Subagency	National Oceanic and Atmospheric Administration (1330)
Award Period	Periodic Renewal	→ If "Other", explain:	

Financial

Total Award Amount	\$ 64,500.00	Amount Available in FY 2012-13 (approximately)	\$ 50,000.00
State Match Required?	No	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	
Local Match Required?	No	If "Yes", describe	
Assistance Type	Cooperative Agreement	If "Other", explain	

Is administrative and/or indirect cost recovery permitted? If so, explain:

The Consortium has a policy of securing a small portion of these grants to partially cover administrative and grants management responsibilities for these competitive project grants.

Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?

The majority of funding that is received by the Consortium is sub-awarded to faculty, staff, and students of Consortium-member institutions and other organizations that seek funding support through the successful submission of competitive research and outreach proposals.

Federal Aid Justification

NA09NMF4540138 (Current Award)

Questions

How is the use of these funds essential to your agency's mission?

Managing the Sea Grant Program for the State of South Carolina is the Consortium's primary mission, as stated in its authorizing legislation; to wit "The principal purpose of the consortium is to provide a mechanism for the development and management of the Sea Grant Program for South Carolina and adjacent regions that share a common environment and resource heritage" and "The consortium serves to support, improve, and share research, education, training, and advisory services in fields related to ocean and coastal resources." The director is authorized to "prepare consortium proposals to be submitted to interested agencies."

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The Consortium must adhere to program and project management requirements as they relate to grants and contracts, found principally in OMB Circulars A-87 and A-133 and relevant federal register provisions, and requirements of the NOAA related to overall performance and accountability. The latter has taken up more time and effort recently due to an increased emphasis on accountability and performance by the federal government.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Consortium will track the performance of the Principal Investigators, who are responsible for performing the tasks and activities as put forth in their proposals, and for reporting on those tasks to the Consortium management team who assess project performance. The specific tasks, activities, expected outcomes, and products are different for each project funded.

What is the name and title of the individual in your agency who is responsible for the success of this program?

Lundie Spence, Ph.D., COSEE-SE Director