

n08

Agency Certification and Transmittal Sheet

Code: N080

Name: SC Department of Probation, Parole and Pardon Services

Mission Statement:

To *prepare* offenders under our supervision toward becoming productive members of the community;
To provide assistance to the victims of crimes, the courts and the Parole Board; and to
To protect public trust and safety.

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SUGGESTION
OFFICE OF STATE BUDGET

To the Office of State Budget

This, and accompanying statements, schedules, and explanatory sheets consisting of 36 pages constitute the operating budget estimates of this agency for all proposed expenditures for the 2012-2013 fiscal year.

All statements and explanations contained in the estimates submitted herewith are true and correct to the best of my knowledge.

Signed: 
Kela E. Thomas, Director

Date: 9/29/11

FISCAL YEAR 2012-13 BUDGET PLAN

I. EXECUTIVE SUMMARY

A. Agency Section/Code/Name:

Section 52/N080/ SC Department of Probation, Parole and Pardon Services

B. Summary Description of Strategic or Long-Term Goals:

The South Carolina Department of Probation, Parole, and Pardon Services (SCDPPPS) faced broad challenges as all phases of the Omnibus Crime Reduction and Sentencing Reform Act of 2010 took effect. In addition to focusing energy and resources on implementing this critical legislation, concerted efforts were made to continue to provide essential services in support of the Department’s mission and related public safety initiatives. The commitment to and quest for excellence was not waived and mission critical-services were sustained. To provide these services the Department works in close collaboration with local, state, and federal agencies, non-profit organizations, the General Assembly, Cabinet, as well as the Governor and her staff.

- Supervising offenders to successfully complete their Court or Board ordered periods of supervision; and assist with successful integration back into the community;
- Assisting victims of crime; including oversight of restitution payments;
- Supporting and conducting Parole Board Hearings;
- Providing assistance to other law enforcement and emergency response organizations in support of homeland security, natural disaster response activities, and special events requiring law enforcement augmentation; and
- Educating the community about new initiatives and projects.

SCDPPPS Accountability Report Section I pg. 4

C. 2011-2012 Agency Recurring Base Appropriation:

State	\$20,470,931
Federal	\$250,000
Other	31,173,492

D. Number of Budget Categories:

9

E. Agency-wide Vacant FTEs

Vacant FTEs as of July 31, 2011:196

% Vacant: 26%

F. Efficiency Measures:

The Department continues to provide a variety of initiatives that enhance offender supervision reentry, and accountability in the community. These initiatives are primarily supported by the Department’s strong focus on enhancing offender success, and an expanding network of integrated partnerships with service and treatment providers.

- Disbursed \$5, 869,663.56 to victims of crime.
- Continued building upon the Ignition Interlock Device Program. A total of 6,262 drivers were eligible for the program. This is an increase of 37% more drivers.
- Monitored 255 new participants in the Ignition Interlock Device Program. This represents a 50% increase in population from the previous fiscal year.

- Supervised 456 new offenders with Global Positioning Satellite (GPS); with an additional 136 incarcerated offenders that will require GPS monitoring upon release.
- Operated a 24/7 statewide response GPS Operations Center (GOC) for all GPS alerts. The GOC processed more than 95,402 tracking alert messages, an increase of 38%.
- Supervised 1,254 sex registry offenders at the end of the fiscal year. Of these, 922 were actively supervised for a sex offense and more than 790 participated in treatment.
- Conducted seven comprehensive workshops on expungements and pardons to assist offenders in clearing criminal records.
- Conducted nine workshops on setting up an “On the Outside” Mentoring Program to assist offenders with community reentry and adjustment.
- Collected 6,393 DNA samples
- Participated in five hurricane\natural disaster exercises with multiple agencies.
- Participated in five special operation security details with multiple agencies and three tabletop exercises with South Carolina Highway Parole Troops 1, 6, and 7.
- Supervised offenders that contributed 198,063 hours of public service work. Calculated minimum wage of \$7.25 per hour, contributes \$1,435,957 worth of labor to the state.
- Delivered an agency-wide overview of mandates in preparation for full implementation of the Omnibus Crime Reduction and Sentencing Reform Act of 2010.
- Transitioned Department to the South Carolina Enterprise Information System (SCEIS).
- Submitted arrearage accounts to SC Department of Revenue and collected \$101,735.
- Hired a total of 34 new staff members to fill mission-critical positions and to comply with Sentencing Reform directives.

SCDPPS Accountability Report Section I pg. 5

- G. **Number of Provisos:**
Number of Proviso changes is -0-

IIA. OPERATING BUDGET PROGRAMS

Agency Section/Code/Name: Section 52 / N080 / SC Department of Probation, Parole and Pardon Services

SUMMARY OF OPERATING BUDGET PROGRAMS FOR FY 2012-13

OPERATING BUDGET PROGRAMS			FUNDING					FTEs			
Title	Activity Name	Activity No.	Non-Recurring State	Recurring State	Federal	Other	Total	State	Federal	Other	Total
Offender Supervision 1500.050100.000	Community Supervision - Regular	1172	168,000	14,559,450	243,200	28,760,557	43,731,207	308.00		298.00	606.00
Offender Supervision 1500.050100.000	Community Supervision - Intensive	1173					0				0.00
Offender Supervision 1500.050100.000	Victim Services	1174			6,800	306,883	313,683			4.00	4.00
Offender Supervision 1500.050100.000 1500.050105x000	Sentencing Reform Sentencing Reform	New	600,000	1,927,488 1,970,296			4,497,784	52.00			52.00
Residential Programs 1500.150500.000 1500.152000.000 1500.153000.000	Residential Programs	1175				225,000	225,000				0.00
	Statewide Emergency Operations Plan	1176					0				0.00
Parole Board 1501.200000.000	Parole Board Support	1177		549,238		443,385	992,623	11.00		7.00	18.00
Parole Board 1501.200000.000	Parole Board	1178		155,230		110,553	265,783				0.00
Administration 0100.000000.000	Core Administration	1179		1,163,931		1,017,204	2,181,135	20.00		14.00	34.00
Sex Offender Monitoring 1500.051000.000	Sex Offender Monitoring	1750		3,227,278		300,000	3,527,278	54.00			54.00
							0				0.00
TOTAL OF ALL OPERATING BUDGET PROGRAMS			768,000	23,400,911	250,000	31,163,582	55,734,493	445.00	0.00	323.00	768.00

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IIB. CAPITAL BUDGET/NON-RECURRING REQUESTS FOR FY 2012-13
Section 52 / N080 / SC Department of Probation, Parole and Pardon Services

SUMMARY OF CAPITAL BUDGET/NON-RECURRING REQUESTS FOR FY 2012-13

CAPITAL BUDGET/NON-RECURRING REQUESTS				Additional State Funds	Previously Authorized State Funds	Total Other Fund Sources	Project Total
Project No.*	Project Name	Activity Name	Activity No.				
N080 does not have any Capital Projects to report.							0
							0
							0
							0
							0
For additional rows, place cursor in this gray box and press "Ctrl" + "c". (You need to start in this gray box for each row needed or the formulas will not copy properly.)							
TOTAL OF ALL CAPITAL BUDGET/NON-RECURRING REQUESTS				0	0	0	0

*if applicable

A. Summary description of programs and how they relate to the mission of the agency:

Provide executive leadership and administrative support for the internal operations of the Department. The activities supported include Legislation, Accounting, Revenue, Budgeting, Human Resources, Procurement, Audit, Training and other miscellaneous administrative functions.

B. Budget Program Number and Name:
0100.0000000.000 Administration

C. Agency Activity Number and Name

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
1179	Administration		\$1,163,931		\$1,017,207	\$2,181,136

D. Performance Measures:

- To provide sound and effective executive leadership.
 1. Analyze organizational/operating practices of the agency which affect the fiscal and administrative responsiveness of the Agency and provide technical assistance.
 2. Ensures the functional units of the Administration Division operate efficiently and responsively to Agency demands within state and federal law/guidelines.
- To ensure impeccable financial audits.
 1. Audit documents for accuracy before items are processed through the SCEIS accounting system to ensure all internal procedures have been followed.
 2. Ensure staff are trained and following all the standard operating procedures.
- Restitution payments are distributed to victims within 30days of receipt of funds.
 1. 96% of the restitution payments collected were distributed within 30 days of receipt.
 2. 96.2% of these payments were received by victims and 3.8% were unclaimed.

E. Program Interaction:

Administration encompasses a variety of services to all programs by promoting leadership and guidance, utilizing technology to improve business processes, ensuring the Department has a knowledgeable workforce through training. Administrative policies and procedures are followed consistently. Analysis, data, and information are distributed to divisions on a timely basis. The Department’s workforce is well informed and knowledgeable of all administrative requirements. External entities receive accurate and timely data.

F. Change Management:

Administration is continuing to provide effective and efficient services that support the Department. Management promotes and facilitates effective workforce. The workforce is utilizing the SCEIS system to consolidate resources.

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs*		20.00	0.00	14.00	34.00

Personal Service	\$0	\$873,263	\$0	\$650,427	\$ 1,523,690
Employer Contributions	\$0	\$290,668	\$0	\$215,135	\$ 505,803
Program/Case Services	\$0	\$0	\$0	\$0	\$ 0
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$0	\$0	\$0	\$151,642	\$ 151,642
Total	\$ 0	\$ 1,163,931	\$ 0	\$ 1,017,204	\$2,181,135
<i>* If new FTEs are needed, please complete Section G (Detailed Justification for FTEs) below.</i>					

Is this budget category or program associated with a Capital Budget Priority? No
 If yes, state Capital Budget Priority Number and Project Name:.

Please List proviso numbers that relate to this budget category or programs funded by this category.

H. Changes to the Appropriation: N/A

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year’s appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act		\$ 1,163,931		\$ 1,017,204
2012-2013 Act		\$ 1,163,931		\$ 1,017,204
Difference		0		0
% Difference		0%		0%

Totals include contributions

Explanation of Changes: N/A

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. **If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
10010000 General Fund	State Appropriations	\$1,163,931				
30350000 Operating Revenue	**Circuit Court Filing Fees, Pardon Applications Fees, Electronic Monitoring, Extradition Fees, Traf Ed Prog App Mag., Probation & Parole Supervision Fees, Interstate Compact			\$599,679		
39480000 Omnibus Crim Act 1985	Court Fines			\$417,525		
Total		\$1,163,931		\$1,017,204		

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

J. FTE Positions:

Please detail the number of FTE's filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)	20	14		34	
2011-2012 (A)	20	14		34	
2010-2011 (F)					
2010-2011 (A)	20	14		34	
2009-2010 (F)					
2009-2010 (A)	20	14		34	
2008-2009 (F)					
2008-2009 (A)	20	14		34	
2007-2008 (F)					
2007-2008 (A)	20	14		34	

Note: (F) lines are not tracked nor reported by program

K. Detailed Justification for FTEs: N/A

(1) Justification for New FTEs

(a) Justification:

(b) Future Impact on Operating Expenses or Facility Requirements:

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

A. Summary description of programs and how they relate to the mission of the agency:

The Offender Supervision program field agents monitor offender’s adjustment according to level of supervision and standards under the Department’s jurisdiction. The field supervision requirements are; home visits; intervention; warrant service; residence verifications; collection of fees; correspondence; technology initiatives (GPS, Mapping, Tablets, Live Scan); training; and preparation of offender related documentation. Also, Victim Services provides crime victims with information and notification concerning offenders on probation, parole and appearing before the Parole Board.

B. Budget Program Number and Name:

1500.050100.000 Offender Supervision

C. Agency Activity Number and Name:

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
1172	Community Supervision - Regular	\$168,000	\$14,559,450	\$243,200	\$28,760,557	\$43,731,207
1173	Community Supervision - Intensive					
1174	Victim Services			\$6,800	\$306,883	\$313,683

*Includes contributions in totals

D. Performance Measures:

To provide sound, evidence-based supervision practices.

- Establish and maintain effective knowledge and skills of staff
- Operate supervision strategies that successfully reduce recidivism by effectively identifying and intervening with offender criminogenic needs.
- Operate supervision strategies that successfully maintain public safety by effectively identifying and responding to community safety violations.

E. Program Interaction:

Offender Supervision encompasses the management of almost 45,000 jurisdictional offenders, through the use of Probation / Parole Agents, Field managers, technology and evidenced-based supervision strategies. These supervision strategies are the key driver to the Department’s staffing needs. As the Department adapts evidence-based supervision practices, staffing concepts and needs will be affected in an effort to meet the goal of recidivism reduction. However, evidence/research indicates that the costs of any increase need for staffing to meet EBP strategies is positively offset by the reduction in recidivism.

F. Change Management:

Field Operations is continuing to identify and integrate best practices for offender supervision in an effort to meet the goals of the Department and public policy expectations. Current research indicates that specific populations such as young offenders and female offenders are most at risk of long term recidivism if specialized management and treatment are not utilized. The Department has identified evidence-based practices that are effective for targeting and better serving this population.

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs*		308.00	0.00	298.00	606.00
Personal Service	\$0	\$10,673,594		\$14,592,312	\$23,533,634
Employer Contributions	\$0	\$3,409,640	\$0	\$4,707,067	\$8,116,283
Program/Case Services	\$0	\$0	\$0	\$42,425	\$ 42,425
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$168,000	\$476,640	\$250,000	\$9,725,636	\$10,620,276
Total	\$ 168,000	\$14,559,450	\$ 250,000	\$ 29,067,440	\$44,044,890

* If new FTEs are needed, please complete Section K (Detailed Justification for FTEs) below.

Is this budget category or program associated with a Capital Budget Priority? No
 If yes, state Capital Budget Priority Number and Project Name.: N/A

Please List proviso numbers that relate to this budget category or programs funded by this category.

- 52.2 (DPPP: Interstate Compact Application Fee)
- 52.3 (DPPP: GED Learn and Earn Program)
- 52.5 (DPPP: Offender Drug Testing Fee)
- 52.6 (DPPP: Public Service Employment Set-Up Fee)

H. Changes to the Appropriation:

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year’s appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act		\$13,844,958	250,000	\$29,067,440
2012-2013 Act	\$168,000	\$14,559,450	250,000	\$29,067,440
Difference	\$168,000	\$ 714,492	0	0
% Difference	100%	5.16%	0	0

Explanation of Changes:

- Realign existing funding and FTE's from Offender Supervision-Sentencing Reform to the program established in the FY12 ACT for Sentencing Reform (1500.050105x000).

Recurring - (\$1,927,488) / FTEs - (52.00)

Additional Funding:

- **Young Offender Supervision Program – Total Amount Requested - \$2,809,980**

Non-Recurring - \$168,000 / Recurring - \$2,641,980 / FTEs - 48.00 – Included in current FTE total.

Young offenders, ages 17-24, placed under supervision have a less successful community supervision success rate than the general adult populations served by the Department. This proposal is intended to provide a comprehensive approach to identifying strategies designed to improve the success rate for this population.

- FY 2010 Failure Rate – 38% (1,611 unsuccessful closures)
- According to FBI statistics, offenders age 17 – 24 accounted for almost 33% of the criminal arrests nationwide in 2009.

Funding is requested to implement a Young Offender Supervision Program (YSP) to improve the supervision success rates and reduce the impact of incarceration costs to the SCDC.

- The Omnibus Crime Reduction and Sentencing Reform Act of 2010 mandates that the Department identify and implement supervision strategies that are supported with Evidence Based Practices.
- The YSP program would be modeled on an evidence based Intensive Supervision program operated by the S.C. Dept of Juvenile Justice. The Intensive Supervision Officer (ISO) program is widely recognized for its effectiveness at reducing recidivism among a similar population and reducing the incarceration costs of that Agency. The model utilizes specialized caseloads with a 1:20 ratio of Agent to offenders.
- The ISO program concept is based on the nationally recognized Intensive Aftercare Program (IAP) which promotes family functioning, community safety and reduction of recidivism.
- The YSP program will provide for enhanced specialized caseloads for moderate to high risk/need non-YOA Young Offender populations that are at high risk of recidivism to ensure continued structure, treatment and offender accountability.

SCDPPPS Reduction in Recidivism and Incarceration Costs Strategies

Young Offender Supervision Program (YSP)

Part I – Implement YISP concepts / strategies with a similar high risk non-YOA population with the intent of reducing recidivism and incarceration costs.

- Assign 30 Supervision Agents to a designated and specialized caseload of Young Offenders ages 17-24 (non-YOA). Intensive services and management provided to each offender. Frontloaded supervision services intended to successfully transition the offender into the community, quickly address offender needs and integrate offender into necessary services, and intensive case management activities with the family support system
- Utilizing evidence based assessments practices, identify high risk/need population for specialized caseloads. Population target / maximum of 1200 offenders annually.
- Specialized caseloads with a 1:20 ratio of Agent to offenders to allow for intensive services and management of the offender. Target supervision period of six (6) months.

Part II – Implement Young Offender Standard Supervision targeted to those young offenders identified as with Moderate risk / need supervision requirements.

- Assign 18 Supervision Agents to support a designated and specialized caseload of young offenders. The caseloads will effectively identify and target the Moderate Risk/Needs population. Agents will provide case services and management to each offender to ensure continuity of structure, treatment and offender accountability.
- Utilizing evidence based assessments practices, identify high risk/need population for specialized caseloads. . Population target / maximum of 1200 offenders annually.
- Specialized caseloads with a 1:50 ratio of Agent to offenders to allow for intensive services and management of the offender. Target supervision period of nine (9) months.

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. **If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
10010000 General Fund	State Appropriations	\$14,727,450				
50550000 Grant	Federal Funding					\$250,000
30350000 Operating Revenue	**Circuit Court Filing Fees, Pardon Applications Fees, Electronic Monitoring, Extradition Fees, Traf Ed Prog App Mag., Probation & Parole Supervision Fees, Interstate Compact			\$13,340,077		
31520000 DNA Reimbursement	DNA Fees			\$248,781		
32690000 Super Furlough	Probation & Parole Supervision Fees Probation & Parole Youth Offender			\$1,506,394 \$13,607		
32730000 DACOR	Collection Fees			\$1,500,000		
39480000 Omnibus Crim Act	Court Fines			\$12,458,581		
Total		\$14,727,450		\$29,067,440		\$250,000

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

J. FTE Positions:

Please detail the number of FTE's filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)	308	302		610	
2011-2012 (A)	360	302		662	
2010-2011 (F)					
2010-2011 (A)	391	355		746	
2009-2010 (F)					
2009-2010 (A)	391	337		728	
2008-2009 (F)					
2008-2009 (A)	391	337		728	
2007-2008 (F)					
2007-2008 (A)	396	340		736	

Note: (F) lines are not tracked nor reported by program

K. Detailed Justification for FTEs: N/A

(1) Justification for New FTEs

(a) Justification:

(b) Future Impact on Operating Expenses or Facility Requirements:

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

A. Summary description of programs and how they relate to the mission of the agency:

The South Carolina General Assembly passed the Omnibus Crime Reduction and Sentencing Reform Act 2010 for the purpose to reduce recidivism, provide fair and effective sentencing options, employ evidence-based practices for smarter use of correctional funding, and improve public safety.

B. Budget Program Number and Name:

1500.050105x000 Offender Supervision-Sentencing Reform

C. Agency Activity Number and Name:

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
New in FY12-Activity Number not assigned	Sentencing Reform		4,497,784			4,497,784

*Includes contributions in totals

D. Performance Measures:

To implement strategies designed to accomplish the legislative mandates and goals of the Omnibus Crime Reduction and Sentencing Reform Act of 2010.

- To implement and integrate evidence-based practices (EBP) within 50% of Department programs, practices and policies.
- To implement and integrate more than 85% of the mandates and goals identified in the Act.
- To reduce the rate of recidivism for compliance violations by 10%.

E. Program Interaction:

The Sentencing Reform Act has generated significant impact upon the Department’s by mandating the integration of EBP strategies to our supervision philosophy and strategies. The Department has initiated sweeping reviews and changes to its practices to adapt outcome based measures. National EBP supervision strategies are aggressively being identified and considered for implementation in SC, with the goal to improve supervision success and reductions in recidivism.

Staffing levels have been increased to meet the initial workload requirements for key EBP practices such as the use of a scientific, actuarial supervision risk / need assessment instrument that will allow staff to better identify and respond to offender criminogenic needs thereby impacting recidivism. The Act has also generated extensive communication by this Department with key partner Agencies such as SCDC and DJJ, to identify common strategies / practices that can support / improve the services to our common population.

F. Change Management:

The Sentencing Reform Act has generated a re-tooling of the Department’s supervision philosophy. The principles of supervision and EBP are now key drivers of the Department’s goals and strategies. The Department has refocused its training strategies and management strategies with a goal of enhancing the skill and knowledge of its staff. In addition, offender supervision strategies are being targeted for the purpose of enhancing offender success, access to services and reentry services.

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs*		52.00	0.00	0.00	52.00
Personal Service		\$1,446,137	\$0	\$0	\$1,446,137
Employer Contributions	\$0	\$481,351	\$0	\$0	\$481,351
Program/Case Services	\$0	\$340,000	\$0	\$0	\$ 340,000
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$600,000	\$1,630,296	\$0	\$0	\$2,230,296
Total	\$ 600,000	\$3,897,784	\$ 0	\$ 0	\$4,497,784

* If new FTEs are needed, please complete Section G (Detailed Justification for FTEs) below.

Is this budget category or program associated with a Capital Budget Priority? No
 If yes, state Capital Budget Priority Number and Project Name:

Please List proviso numbers that relate to this budget category or programs funded by this category.

H. Changes to the Appropriation:

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year’s appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act		\$1,530,296		
2012-2013 Act	\$600,000	\$3,897,784		
Difference	\$600,000	\$2,367,488		
% Difference	100%	155%		

Explanation of Changes:

- Realign existing funding and FTE’s from Offender Supervision-Sentencing Reform to the program established in the FY12 ACT for Sentencing Reform (1500.050105x000).

Recurring - \$1,927,488 FTEs - (52.00)

New Appropriations:

- Offender / Treatment Services – Total Amount Requested - \$590,000

Non-Recurring - \$200,000 /Recurring - \$390,000

To design, plan and implement a continuum of evidence-based treatment options (from Agents to Providers) to address offender criminogenic needs in the community.

Sentencing Reform legislation enabled the Department to implement a validated assessment tool to be used in supervision and the parole release decision making that identifies the risk of reoffending and criminogenic needs. However, treatment services to respond to the assessed needs are desperately needed. Currently, appropriate

treatment is not easily accomplished due to a lack of services and resources available, a history of referrals that were not based on validated assessment tools, and inadequate training. Offenders often fail treatment due to an inability to pay for treatment and a lack of agency training in the skills necessary to facilitate offender behavior change. The impact of budget reductions over the past seven years has forced suspension of training efforts that have negatively impacted recidivism reduction efforts. While the Department is investing what it can from S. 1154 investments into Agent training; a thoughtful strategy is needed to develop and implement a comprehensive continuum of treatment services, from Agents to community-based treatment providers, to address criminogenic needs and support recidivism reduction. It is expected that funds saved by reductions in revocations will be reinvested into building this continuum. However, structuring the continuum and developing mechanisms to quality assure them will be a new frontier for the state. Funding of this strategy would afford the Department the opportunity to effectively develop and implement a comprehensive continuum and employ quality assurance mechanisms to measure its effectiveness.

SCDPPPS Reduction in Recidivism and Incarceration Costs Strategies

Design and implement a comprehensive treatment / service continuum model that is fully integrated with the Department's Risk / Need Case Planning.

- Design and implement an EBP model
- Design and integrate the model within the Department's Offender Management System. Projected costs for system design and implementation is approximately \$200,000 with system maintenance/enhancement of approximately \$50,000 annually.
- Utilizing evidence based assessments practices, identify high need population and target for key services in high volume counties.
- Contract with key treatment / service providers in the target counties to provide up to \$150 for the initial assessment of evidence-based specialized Substance Abuse Treatment and Services to the high need population. Target up to ten (10) counties to pilot this evidence-based practice and remove financial barriers to immediate involvement with treatment services.

FY 2011 Service Data (total cost projection = \$340,000):

New Appropriations:

- Administrative Sanctions Strategies – Total Amount Requested - \$450,000

Non-Recurring - \$400,000 /Recurring - \$50,000

Design, implement and train Agents to administer an evidence-based violation and incentives matrix.

While Sentencing Reform provides incentives to reduce revocations to prison, the Department's supervision violation and incentive response strategies do not meet the standard of an EBP and do not adequately support the concepts of scientific matrices. The identification and implementation of swift and certain violation and positive reinforcement strategies is necessary for the overall success of reinvestment strategies. For example, in FY 2010 SCDPPPS revoked 3,293 offenders to prison. Over 50% of those revocations came from six counties. It is anticipated reforms in SCDPPPS violation and incentive policies and practices, especially in the key driver counties, will significantly reduce the number of revocations. Funding of this strategy would provide the state the opportunity develop a comprehensive plan for moving forward with identifying, designing and implementing the matrix. Through an evidence-based matrix, effective training and quality assurance mechanisms it is expected the rates of revocations to prison will be significantly reduced and the costs offset will be re-invested into enhancing our ability to address criminogenic needs; therefore, further reducing recidivism.

SCDPPPS Reduction in Recidivism and Incarceration Costs Strategies

Design and implement an EBP comprehensive violation / response matrices that is fully integrated with the Department's Risk / Need Case Planning and OMS.

- Design and implement an EBP model
- Design and integrate the model within the Department's Offender Management System. Projected costs for system design and implementation is approximately \$400,000 with system maintenance/enhancement of approximately \$50,000 annually.
- Utilizing evidence based assessments practices, design and implement a comprehensive, graduated continuum of violation responses.

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
10010000 General Fund	State Appropriations	\$4,497,784				
Total		\$4,497,784				

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

J. FTE Positions:

Please detail the number of FTE's filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)	52			52	
2011-2012 (A)					
2010-2011 (F)					
2010-2011 (A)					
2009-2010 (F)					
2009-2010 (A)					
2008-2009 (F)					
2008-2009 (A)					

2007-2008 (F)					
2007-2008 (A)					

Note: (F) lines are not tracked nor reported by program

K. Detailed Justification for FTEs:

(1) Justification for New FTEs

(a) Justification: Realign existing FTE's from Offender Supervision-Sentencing Reform to the program established in the FY12 ACT for Sentencing Reform (1500.050105x000).

(b) Future Impact on Operating Expenses or Facility Requirements: N/A

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title: Probation Agent					
Number of FTEs	52.00	0.00	0.00	0.00	52.00
Personal Service	\$1,446,137	\$0	\$0	\$0	\$ 1,446,137
Employer Contributions	\$481,351	\$0	\$0	\$0	\$ 481,351

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

A. Summary description of programs and how they relate to the mission of the agency:

Offenders ordered by the Court to GPS monitoring under the Sex Offender Accountability and Protection of Minors Act of 2006.

B. Budget Program Number and Name:

1500.051000.000 Sex Offender Monitoring

C. Agency Activity Number and Name: N/A

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
1750	Sex Offender Monitoring		3,227,278		300,000	3,527,278

*Includes contributions in totals

D. Performance Measures:

To implement and operated strategies designed to control, contain and manage sex offenders affected by the SOAPMA.

- To establish a viable and effective monitoring plan with each offender within 48 hours of program placement.
- To respond to 95% of monitoring alerts within 15 minutes of alert notice.
- To address 85% of monitoring violations within 1 hour of violation notice.
- Reduction of new sex offense victimization.

E. Program Interaction:

The SOMP targets high risk sex offenders with a nationally recognized offender management model known as the “Containment Approach”. The model includes the use of sex offender specific risk assessment instruments, intensive surveillance / monitoring and a treatment team approach with sex offender specific counseling. Key field staff are specifically and continuously trained to meet the supervision model for this population. GPS monitoring is live, real time offender movement and compliance data so many of our SOMP Staff are tasked with to support 24 hour / 7 day per week / 365 days per year, on a rotating basis, to respond and address alerts and violations.

F. Change Management:

The SOMP was one of the first evidence-based practices to be implemented by the Department. The concepts of treatment team, specialized staff training and specialized supervision strategies based on population were introduced to staff through this program and have been recognized by most staff as very beneficial. These concepts are supporting the Department’s further integration of EBP within its other supervision programs.

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs*		54.00	0.00	0.00	0.00
Personal Service	\$0	\$2,200,000	\$0	\$0	2,200,000
Employer Contributions	\$0	\$732,277	\$0	\$0	\$732,277
Program/Case Services	\$0	\$0	\$0	\$0	\$ 0
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$0	\$295,001	\$0	\$300,000	\$595,001
Total	\$ 0	\$ 3,227,278	\$ 0	\$300,000	\$3,527,278

* If new FTEs are needed, please complete Section G (Detailed Justification for FTEs) below.

Is this budget category or program associated with a Capital Budget Priority? No
 If yes, state Capital Budget Priority Number and Project Name:.

Please List proviso numbers that relate to this budget category or programs funded by this category.

H. Changes to the Appropriation:

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year's appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act		\$3,227,278		\$300,000
2012-2013 Act		\$3,227,278		\$300,000
Difference		0		0
% Difference		0%		0%

Totals include contributions

Explanation of Changes: N/A

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
10010000 General Fund	State Appropriations	\$3,227,284				
34650000 Sex Offender Monitoring	Electronic Monitoring Fee Probation & Parole Supervision Fees			\$100,000 \$200,000		
Total		\$3,227,284		\$300,000		

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

J. FTE Positions:

Please detail the number of FTE's filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)	54			54	
2011-2012 (A)	54			54	
2010-2011 (F)					
2010-2011 (A)	54			54	
2009-2010 (F)					
2009-2010 (A)	54			54	
2008-2009 (F)					
2008-2009 (A)	54			54	
2007-2008 (F)					
2007-2008 (A)	54			54	

Note: (F) lines are not tracked nor reported by program

K. Detailed Justification for FTEs: N/A

(1) Justification for New FTEs

(a) Justification:

(b) Future Impact on Operating Expenses or Facility Requirements:

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

A. Summary description of programs and how they relate to the mission of the agency:

Residential programs are to provide life skill training and employment for high risk offenders under a highly structured residential setting.

B. Budget Program Number and Name:

1500.150500.000 Residential Programs – Spartanburg
 1500.152000.000 Residential Programs – Charleston
 1500.153000.000 Residential Programs - Columbia

C. Agency Activity Number and Name:

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
1175	Residential Programs				225,000	225,000

*Includes contributions in totals

D. Performance Measures:

Due to previous budget reductions, the programs are not currently operational. Minimal budget needs are necessary to maintain facilities in compliance with code / insurance requirements. The Department is prepared to use the facilities and program areas as alternative administrative sanctions programs, if funding is available.

E. Program Interaction:

F. Change Management:

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs*		0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0
Program/Case Services	\$0	\$0	\$0	\$0	\$ 0
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$0	\$0	\$0	\$225,000	\$225,000
Total	\$ 0	\$ 0	\$ 0	\$225,000	\$ 225,000

* If new FTEs are needed, please complete Section G (Detailed Justification for FTEs) below.

Is this budget category or program associated with a Capital Budget Priority? No

If yes, state Capital Budget Priority Number and Project Name:

Please List proviso numbers that relate to this budget category or programs funded by this category.

H. Changes to the Appropriation:

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year’s appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act				\$225,000
2012-2013 Act				\$225,000
Difference				\$0
% Difference				0%

Explanation of Changes: N/A

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
39480000 Omnibus Crim Act	Court Fines			\$225,000		
Total				\$225,000		

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

J. FTE Positions:

Please detail the number of FTE’s filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)					
2011-2012 (A)					
2010-2011 (F)					

2010-2011 (A)					
2009-2010 (F)					
2009-2010 (A)		18			
2008-2009 (F)					
2008-2009 (A)		18			
2007-2008 (F)					
2007-2008 (A)		18			

Note: (F) lines are not tracked nor reported by program

K. Detailed Justification for FTEs: N/A

(1) Justification for New FTEs

(a) Justification:

(b) Future Impact on Operating Expenses or Facility Requirements:

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

A. Summary description of programs and how they relate to the mission of the agency:

The Board has the sole responsibility for granting or denying parole and pardons, revoking, modifying, or rehearing paroles and making recommendations on petitions for reprieves and commutations referred by the Governor

B. Budget Program Number and Name:

1501.200000.000 Parole Board

C. Agency Activity Number and Name:

Note: If more than one activity maps to this program; provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring	State Recurring	Federal	Other	Total
1177	Parole Board Support		\$549,238		\$443,385	\$992,623
1178	Parole Board		\$155,230		\$110,553	\$265,783

*Includes contributions in totals

D. Performance Measures:

The following statistical data is being provided if funding were to be distributed on outcome based performance metrics.

Parole Rate

Year	Cases Heard	Paroled	Rate
2008	4505	511	11.34%
2009	4166	560	13.44%
2010	3983	906	22.75%

Please note the above information is based on the fiscal year.

Pardon Rate

Year	Applications Received	Pardoned	Rate
2008	600	254	42.33%
2009	568	310	54.58%
2010	638	461	72.26%

Please note the above information is based on the calendar year.

E. Program Interaction:

The Parole Board and the Parole Board Support staff have a wide range of interaction with various staffing levels within the Agency, supports and/or uses other programs and shares state resources through collaboration with other agencies. Parole Board and Parole Board Support continuously works with the ITS section to improve the flow of information to the Parole Board through the implementation of Tablet technology to disseminate the parole summaries to the Board members electronically. The Parole Board utilizes the Parole Employment Program (PEP), Self Paced In-Class Education Program

(SPICE) and the Addictions Treatment Unit (ATU) to help improve the success rate of offenders that are released to supervision. The partnership between SCDC, SCDPPPS, and the Technical Colleges is an effective way to pool resources for the common good of the state. The Sentencing Reform Act through its mandate has provided the Parole Board and the Parole Board Support Staff the opportunity to attend and receive Evidenced-Based Practices (EBP) training where they acquired tools and techniques to use to achieve the desired outcome of successful community supervision for offenders released back into the public.

F. Change Management:

The mission of the section to “provide public safety” through their decision making process remains paramount. The Sentencing Reform Act has mandated the use of Evidence-Based Practices (EBP). The Parole Board and the Parole Board Staff have embraced the philosophy and have been utilizing the skills they have gained from their training. They understand that resources are limited and are thinking and looking outside the box for the most effective ways to modify offender behavior for successful re-entry into the community while protecting public trust and safety.

G. Detailed Funding Information:

FY 2012-13 Cost Estimates:	State Non-Recurring	State Recurring	Federal	Other	Total
Number of FTEs*		11.00	0.00	7.00	0.00
Personal Service	\$0	\$528,541	\$0	\$339,442	\$867,983
Employer Contributions	\$0	\$175,926		\$112,274	\$288,200
Program/Case Services	\$0	\$0	\$0	\$65,000	\$65,000
Pass-Through Funds	\$0	\$0	\$0	\$0	\$ 0
Other Operating Expenses	\$0	\$0	\$0	\$47,132	\$ 47,132
Total	\$ 0	\$704,467	\$ 0	\$563,848	\$1,268,315

* If new FTEs are needed, please complete Section G (Detailed Justification for FTEs) below.

Is this budget category or program associated with a Capital Budget Priority? No
 If yes, state Capital Budget Priority Number and Project Name:

Please List proviso numbers that relate to this budget category or programs funded by this category.

H. Changes to the Appropriation:

Please explain any changes, to include re-alignments and funding or FTE increases requested in this year’s appropriation, as detailed below:

Funding:

Year	State Non-Recurring	State Recurring	Federal	Other (Earmarked or Restricted)
2011-2012 Act		\$704,468		\$563,848
2012-2013 Act		\$704,468		\$563,848
Difference		\$0		\$0
% Difference		0%		0%

Totals include contributions

Explanation of Changes: N/A

I. Revenue Estimates:

Please detail Sources of revenue for this program, identified by SAP fund number if a live SCEIS agency or the STARS number if a STARS agency. **If several sources remit to a single subfund that cannot be split by source and appropriation or program, provide an estimate of the revenue dedicated to this program.

SAP Fund Number	Source Name	General Fund	Other State	Earmarked	Restricted	Federal
10010000 General Fund	State Appropriations	\$704,468				
30350000 Operating Revenue	**Circuit Court Filing Fees, Pardon Applications Fees, Electronic Monitoring, Extradition Fees, Traf Ed Prog App Mag., Probation & Parole Supervision Fees, Interstate Compact			\$430,255		
39480000 Omnibus Crim Act 1985	Court Fines			\$133,593		
Total		\$704,468		\$553,938		

If expenditures for this program are greater than known or estimated revenues and the intent is to bridge part of this shortfall by drawing down balances in agency accounts or reserves, indicate the accounts and amount of the current reserve or balance that will likely be used below.

Please detail the long-term sustainability of this program if cash reserves are needed to operate.

If there is federal fund or other fund spending authority requested above the revenue streams detailed above, please indicate the amount and explanation for each.

J. FTE Positions:

Please detail the number of FTE's filled (F) by the program as of June 30 of each fiscal year, and the number authorized (A) by the Appropriations Act.

Fiscal Year	State	Other-Earmarked or Restricted	Federal	Total	Temporary, Temporary Grant, Time -limited
2012-2013 (A)	11	7		18	
2011-2012 (A)	11	7		18	
2010-2011 (F)					
2010-2011 (A)	11	7		18	
2009-2010 (F)					
2009-2010 (A)	11	7		18	
2008-2009 (F)					
2008-2009 (A)	11	7		18	
2007-2008 (F)					
2007-2008 (A)	11	7		18	

Note: (F) lines are not tracked nor reported by program

K. Detailed Justification for FTEs: N/A

(1) Justification for New FTEs

(a) Justification:

(b) Future Impact on Operating Expenses or Facility Requirements:

(2) **Position Details:**

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

	State	Federal	Earmarked	Restricted	Total
Position Title:					
Number of FTEs	0.00	0.00	0.00	0.00	0.00
Personal Service	\$0	\$0	\$0	\$0	\$ 0
Employer Contributions	\$0	\$0	\$0	\$0	\$ 0

N080 does not have any Capital Projects to report.

A. Project Name:

B. Project Approval:

C. Statement of Need:

D. Agency Activity Number and Name:

Note: If more than one activity maps to this project provide all activity numbers, names, and approximate funding amounts.

Activity Number	Activity Name	State Non-Recurring Funds	State Recurring Funds	Federal Funds	Other Funds	Total Funds
						\$0
						\$0
						\$0
						\$0
						\$0

E. Project Description:

Note: In addition to a basic description, include whether or not this is a capital or non-capital project. If non-capital, explain how this non-recurring appropriation will be spent on non-recurring activities.

F. Funding

Total New Request: _____ Previously Approved Funds: _____ Expenditures to Date: _____

Identify the source(s) of funds for this appropriation (general fund, surplus, federal funding, local match, etc.):

G. Justification for additional future operating costs:

Will additional annual operating costs be absorbed into your existing budget? If so, what resources will lose funding to facilitate this?

If not, will additional funds be needed in the future?

Identify the source of additional funds:

Detail the lifecycle cost of the funded project below

Year	Capital	Operating	Total	State; Non-Recurring	General; Recurring	Federal	Other (Earmarked/Restricted)	Use of Current FTE	Additional FTEs needed
1									
2									
3									
4									
5									
6+									

A. Proviso Number

Using the renumbered 2012-13 proviso base provided on the OSB website indicate the proviso number (*If new indicate "New #1", "New #2", etc.*):

N080 Agency Section-Specific Provisos:

- 52.1 (DPPP: Sale of Equipment)
- 52.2 (DPPP: Interstate Compact Application Fee):
- 52.3 (DPPP: GED Learn and Earn Program)
- 52.4 (DPPP: Sex Offender Monitoring Carry Forward)
- 52.5 (DPPP: Offender Drug Testing Fee)
- 52.6 (DPPP: Public Service Employment Set-Up Fee)

Agency Section-Specific Provisos affecting N080:

- 51.27. (CORR: Credited Jail Time; DNA Sample Collection)

General provisions and statewide revenue:

- 89.13. (GP: SC Health & Human Services Data Warehouse)
- 89.121. (GP: DOC & PPP Potential Consolidation Plan)

B. Appropriation

Related budget category, program, or non-recurring request (*Leave blank if not associated with funding priority*):

- 52.1 (DPPP: Sale of Equipment)
Administration 0100.000000.000
- 52.2 (DPPP: Interstate Compact Application Fee):
Offender Supervision 1500.050100.000
- 52.3 (DPPP: GED Learn and Earn Program)
- 52.4 (DPPP: Sex Offender Monitoring Carry Forward):
Sex Offender Monitoring 1500.051000.000
- 52.5 (DPPP: Offender Drug Testing Fee)
Offender Supervision 1500.050100.000
- 52.6 (DPPP: Public Service Employment Set-Up Fee)
Offender Supervision 1500.050100.000

C. Agency Interest

Is this proviso agency-specific, a general proviso that affects the agency, or a proviso from another agency's section that has had consequences?

None known

D. Action

(Indicate Keep, Amend, Delete, or Add):

- 52.1 - Keep
- 52.2 - Keep

52.3 - Keep
52.4 - Keep
52.5 - Keep
52.6 - Keep
51.27 - Keep

89.13 - Keep
89.121 - Keep

E. Title

Descriptive Proviso Title:

52.1 (DPPP: Sale of Equipment)
52.2 (DPPP: Interstate Compact Application Fee)
52.3 (DPPP: GED Learn and Earn Program)
52.4 (DPPP: Sex Offender Monitoring Carry Forward)
52.5 (DPPP: Offender Drug Testing Fee)
52.6 (DPPP: Public Service Employment Set-Up Fee)

F. Summary

Summary of Existing or New Proviso:

N080 Agency Section-Specific Provisos:

52.1 (DPPP: Sale of Equipment) All revenue generated by the Department of Probation, Parole and Pardon Services from the sale of various equipment in excess of \$575, less the cost of disposition incurred by the Budget and Control Board, Division of Operations, may be retained and carried forward into the current fiscal year and expended for the purpose of purchasing like items.

52.2 (DPPP: Interstate Compact Application Fee) The department may charge offenders an application fee set by the department, not to exceed \$100, to offenders applying for transfers out of or into the state under the Interstate Compact Act. The application fee shall be retained by the department to offset the cost of the Interstate Compact Act. All unexpended funds at year-end may be retained and carried forward by the department to be expended for the same purpose.

52.3 (DPPP: GED Learn and Earn Program) From the funds appropriated in Part IA, the department may enter into agreements with statewide colleges, technical colleges, and school districts for the purpose of providing GED and GED Prep education to offenders. Offenders of the department enrolled in the program must repay the department the cost of the course and materials within six months of obtaining their GED.

52.4 (DPPP: Sex Offender Monitoring Carry Forward) The Department of Probation, Parole and Pardon Services is authorized to carry forward any unexpended funds in the Sex Offender Monitoring program. These funds must be used for the sex offender monitoring program. For the purpose of calculating the amount of funds which may be carried forward by the department, Sex Offender Monitoring program funds carried forward by this provision shall be excluded from the calculation of the carry forward authorized by provision elsewhere in this Act.

52.5 (DPPP: Offender Drug Testing Fee) The department may charge offenders a fee set by the department, not to exceed \$50, for the purpose of drug testing. If it is determined that the offender is indigent, this fee must be waived. The fee shall be retained by the department to offset the cost of drug testing. All unexpended funds at year-end may be retained and carried forward by the department to be expended for the same purpose.

52.6 (DPPP: Public Service Employment Set-Up Fee) In addition to any other fee, the department may charge an adult offender placed under the jurisdiction of the department, who is ordered to public service employment by the court, a twenty-five dollar Public Service Employment set-up fee. The fee must be retained by the department and applied to the department's supervision process. The department shall submit a report to the Chairman of the Senate Finance Committee and the Chairman of the House Ways and Means Committee on the number of offenders who were assessed the set-up fee and the amount of funds collected.

Agency Section-Specific Provisos affecting N080:

51.27. (CORR: Credited Jail Time; DNA Sample Collection) Inmates committed to the Department of Corrections for sentences greater than 90 days, but who have credit for jail time in excess of their sentence to incarceration are not required to be transported to the Reception and Evaluation Center of the Department of Corrections. Cities and counties housing inmates who have credit for jail time in excess of their sentence may, through written agreement with the Department of Corrections, transfer required commitment records to the department electronically or by other means. The Department of Corrections must establish reasonable documentation requirements to facilitate the implementation of this cost savings measure. Employees of the Department of Probation, Parole, and Pardon Services assigned to the court or employees of the Department of Corrections, as applicable, shall obtain DNA samples from the offenders who are required to submit DNA samples. This provision does not exempt the above referenced inmates from the \$250 DNA fee as required by Section 23-3-670 of the 1976 Code. The \$250 fee shall be collected in the same manner as other fines and fees and submitted to the State Treasurer for remittance to SLED.

General provisions and statewide revenue:

89.13. (GP: SC Health & Human Services Data Warehouse) There is hereby established within the Office of Research and Statistics, South Carolina Budget and Control Board, the South Carolina Health and Human Services Data Warehouse. The purpose of the Warehouse is to ensure that the operation of health and human services agencies may be enhanced by coordination and integration of client information. Client data is defined as person-level data that is created, received, and/or maintained by state agencies and other entities required to report client information to the Office of Research and Statistics under this provision. To integrate client information, client data from health and human services state agencies will be linked to improve client outcome measures, enabling state agencies to analyze coordination and continuity of care issues. The addition of these data will enhance existing agency systems by providing client data from other state agency programs to assist in the provision of client services. Certain client information shall be delivered to the Office of Research and Statistics in order to assist in the development and maintenance of this Warehouse. The following agencies shall report client information:

- Departments of
 1. Health and Human Services;
 2. Health and Environmental Control;
 3. Mental Health;
 4. Alcohol and Other Drug Abuse Services;
 5. Disabilities and Special Needs;
 6. Social Services;
 7. Vocational Rehabilitation;
 8. Education;
 9. Juvenile Justice;
 10. Corrections;
 11. Probation, Parole and Pardon Services;
- Office of the Governor
 1. Children's Foster Care Review Board;
 2. Continuum of Care;
- Office of the Lieutenant Governor, Division on Aging;
- South Carolina School for the Deaf and the Blind;
- Commission for the Blind, and
- Other entities as deemed necessary by the Office of Research and Statistics.

These agencies and departments shall collect and provide client data in formats and schedules to be specified by the Office of Research and Statistics (Office). The Office shall establish a Memorandum of Agreement with each agency, department or division. These Memorandums of Agreement shall specify, but are not limited to, the confidentiality of client information, the conditions for the release of data that may identify agencies, departments, divisions, programs and services, or clients, any restrictions on the release of data so as to be compliant with state and federal statutes and regulations on confidentiality of data, conditions under which the data may be used for research purposes, and any security measures to be taken to insure the confidentiality of client information.

To ensure accountability and the coordinated, efficient delivery of health and human services, the Office shall implement, in consultation with state health and human services agencies and other entities as deemed necessary by the Office, an integrated data system that includes client data from all participating agencies.

In order to provide for inclusion of other entities into the South Carolina Health and Human Services Data Warehouse and other research and analytic-oriented applications that will assist the state in the efficient and effective provision of services, the Office shall have the authority to enter into agreements or transactions with any federal, state or municipal agency or other public institution or with any private individual, partnership, firm, corporation, association or other entity to provide statistical, research and information dissemination services including, but not limited to, program and outcomes evaluation, program monitoring/surveillance, projects to determine the feasibility of data collection and/or analyses, information dissemination and research. The confidentiality of data collected under these initiatives shall comply with applicable state and federal laws governing the privacy of data.

The Office shall have the power to promulgate regulations, policies and procedures, in consultation with the participating agencies, for the development, protection and operation of the Data Warehouse, other research and analytic-oriented applications, and their underlying processes.

The Office shall develop internet-accessible secure analytic query tools (such as analytic cubes) using integrated client data from the Warehouse. All agencies shall cooperate with the Office in the development of these analytic tools. It is the intent of this provision that the analytic tools developed under this provision shall be made available to members of the South Carolina General Assembly and their research staff members, state agencies, and researchers. To that end, the Office shall, in consultation with the participating agencies, promulgate regulations addressing access to and use and release of information generated through use of the query tools.

All state agencies participating in the Warehouse shall utilize it and its associated software applications in the day-to-day operation of their programs and for coordination, collaboration, program evaluation and outcomes analysis. The Department of Health and Environmental Control shall be exempt from usage of the integrated client management system and the analytic query tools in the day-to-day operation of their Client Automated Record and Encounter System and their South Carolina Community Assessment Network, but shall provide the Warehouse with client data from the system and network.

No state agency shall duplicate any of the responsibilities of this provision.

For purposes of this subsection, all state laws, regulations, or any rule of any state agency, department, board, or commission having the effect or force of law that prohibits or is inconsistent with any provision of this subsection is hereby declared inapplicable to this subsection.

89.18. (GP: Replacement of Personal Property) The Department of Juvenile Justice, Department of Corrections, Department of Probation, Parole and Pardon Services, Department of Mental Health, Department of Disabilities and Special Needs, Continuum of Care, Department of Social Services and School for the Deaf and the Blind may replace the personal property of an employee which has been damaged or destroyed by a client while in custody of the agency. The replacement of personal property may be made only if the loss has resulted from actions by the employee deemed to be appropriate and in the line of duty by the agency head and if the damaged or destroyed item is found by the agency head to be reasonable in value, and necessary for the employee to carry out the functions and duties of his employment. Replacement of damaged or destroyed items shall not exceed \$250 per item, per incident. Each agency must have guidelines to insure the reasonableness of the replacement payments.

89.35. (GP: State DNA Database) Funds collected by the South Carolina Department of Corrections, the Department of Probation, Parole and Pardon, and Department of Juvenile Justice to process DNA samples must be remitted to the State Law Enforcement Division to offset the expenses incurred to operate the State DNA Database program. SLED may retain, expend, and carry forward these funds. Any carry forward funds resulting from the DNA Database program must be used solely to operate the DNA Database program.

89.68. (GP: Sex Offender Monitoring and Supervision) The funds appropriated to the Department of Probation, Parole and Pardon Services in Part IA, Section 52, Program II.A.2. for the Sex Offender Monitoring Program and to the Department of Juvenile Justice in Part IA, Section 53, Program III.A., Special Item: Sex Offender Monitoring are to be used and expended only for GPS monitoring programs of the departments. In cases of limited funds, monitoring of "Jessie's Law" offenders shall take precedence over all other GPS programs of the departments. Funds appropriated for this program may not be used for any other purpose or transferred to any other program. Unexpended funds appropriated for Sex Offender Monitoring may be carried forward and used for the same purpose. The departments are directed to submit a report to the General Assembly by January fifteenth each year accounting for the expenditure of the funds including any carry-forward funding; the total costs and per-day costs for equipment, supervision, and monitoring; the total number of staff assigned to the activity and the average agent case loads; the amount of funds collected from sex offenders for both intensive supervision and electronic monitoring; and the anticipated fiscal needs for the upcoming fiscal year. The report shall also include, but not be limited to, data regarding the number of offenders sentenced to electronic monitoring, including the number sentenced for life; the number of alert notifications received, investigated, and prosecuted; and the number of offenders returned to prison as a result of electronic monitoring violations

89.121. (GP: DOC & PPP Potential Consolidation Plan) From the funds appropriated to the Department of Corrections and the Department of Probation, Parole and Pardon Services, the directors of the departments may collaborate and develop a plan to consolidate the functions of the departments.

G. Explanation of Amendment to/or Deletion of Existing Proviso

(If request to delete proviso is due to codification, note the section of the Code of Laws where the language has been codified):

H. Explanation of how this proviso directs the expenditure or appropriation of funds, and why this direction is necessary

52.1 (DPPP: Sale of Equipment): This proviso would be utilized if the agency sold assets within the fiscal year and proceeds would be used to for offset the cost of the replacement.

52.2 (DPPP: Interstate Compact Application Fee): This fee is used to offset the cost of the Interstate Compact Act.

52.3 (DPPP: GED Learn and Earn Program) The agency enters into agreements with statewide colleges, technical colleges, and school districts for the purpose of providing GED and GED Prep education to offenders.

52.4 (DPPP: Sex Offender Monitoring Carry Forward): Unexpended funds from one fiscal year to the next may be carried forward and must be used for the sex offender monitoring program to defray expenses.

52.5 (DPPP: Offender Drug Testing Fee): The fee retained by the department is used to offset the cost of drug testing.

52.6 (DPPP: Public Service Employment Set-Up Fee): The fee collected from an adult offender who is ordered to public service employment is used to offset the supervision process.

I. Justification

These provisos are recurring and have not been codified due to potential law changes.

J. Fiscal Impact (Include impact on each source of funds – state, federal, and other)

52.1 (DPPP: Sale of Equipment):
Earmarked revenue dollars are dependent on the quantity and items sold

52.2 (DPPP: Interstate Compact Application Fee):
Earmarked revenue \$76,000

52.3 (DPPP: GED Learn and Earn Program):
Earmarked revenue \$165

52.4 (DPPP: Sex Offender Monitoring Carry Forward):
State appropriations

52.5 (DPPP: Offender Drug Testing Fee):
Earmarked revenue \$210,316

52.6 (DPPP: Public Service Employment Set-Up Fee)
Earmarked revenue \$34,608

K. Text of New Proviso with Underline or Entire Existing Proviso Text with Strikeover and Underline

N/A

(INSERT PROVISOR FROM FY 2012-13 RENUMBERED PROVISOR BASE HERE)

Federal Aid Justification

1V09108

Summary

Award Title	<input type="text"/>				
CFDA Number/Title	<input type="text" value="(XX.XXX) Other CFDA"/>	→	If "Other", identify:	<input type="text" value="16.575 /Crime Victim Assistance"/>	
Award Number (Federal)	<input type="text" value="1V09108"/>	Start Date	<input type="text" value="07/01/11"/>	Federal Agency	<input type="text" value="Department of Justice (15)"/>
Award Number (State)	<input type="text"/>	End Date	<input type="text" value="06/30/12"/>	Federal Subagency	<input type="text"/>
Award Period	<input type="text" value="One-Time"/>	→		If "Other", explain:	<input type="text"/>

Financial

Total Award Amount	<input type="text" value="\$ 6,800.00"/>	Amount Available in FY 2012-13	<input type="text" value="\$ -"/>
State Match Required?	<input type="text" value="Yes"/>	If "Yes", describe, and provide SAP Fund Number(s) of funding sources	<input type="text" value="80/20 32730000"/>
Local Match Required?	<input type="text"/>	If "Yes", describe	<input type="text"/>
Assistance Type	<input type="text" value="Project Grant"/>	If "Other", explain	<input type="text"/>
Is administrative and/or indirect cost recovery permitted? If so, explain:	<input type="text" value="No."/>		
Will funds be passed-through to other entities? If so, what types of entities, and how will funds be distributed?	<input type="text" value="No."/>		

Federal Aid Justification

1V09108

Questions

How is the use of these funds essential to your agency's mission?

One of the Agency's mission is to provide assistance to victims of crime. This grant provides victims the opportunity to attend Parole Hearings via videoconferencing without having to travel from the upstate to Columbia.

What budgetary, compliance, and programmatic obligations will the state incur (now or in the future) through the receipt of these funds?

The only funds incurred by the state will be the 20% Agency Match; the maximum amount is \$1,700.

What outcome and/or performance measures will you track and/or report on in association with this award?

The Coordinator of the site will assist up to 200 victims in the hearing process at the remote videoconferencing site. The Coordinator will mail surveys to victims who attended the hearing to query their satisfaction with the location of the site and the direct services provided by the staff.

What is the name and title of the individual in your agency who is responsible for the success of this program?

Carol R. Woodard, Director of Victim Services